University Librarians/SOPAG

March 13, 2008, 9:30am-12:30pm

Student Conference Center, Doheny Beach Room D

UC Irvine

Present:

University Librarians: T. Leonard (Berkeley) UL Chair, L. Farley (CDL), M. Sharrow (Davis), G. Munoff (Irvine), B. Schottlaender (San Diego), K. Butter (San Francisco), B. Johnson (Santa Barbara), G. Steel (Santa Cruz), B. Miller (Merced), G. Lawrence (UCOP) **Absent:** G. Strong (Los Angeles), R. Jackson (Riverside)

SOPAG: B. Hurley (Berkeley), T. Cruse (CDL), G. Yokote (Davis), L. Tanji (Irvine) SOPAG Chair, B. Miller (Merced), D. Bisom (Riverside) Recorder, J. Kochi (San Francisco), L. Declerck (San Diego), M. Bergstrom (San Diego/LAUC), P. Dawson (Santa Barbara), K. McGirr (Santa Cruz), T. Ryan (Los Angeles)
Guest: I. Anderson (CDL)

.1 Introductions

- B. Johnson, the new UC Santa Barbara University Librarian, was welcomed to the UL/SOPAG meeting.
- G. Lawrence (UCOP) and T. Ryan (UCLA) were congratulated on their upcoming June retirements.

.2 "Headlines" from the 3/12/08 UL Meeting: G. Steel

- D. Greenstein provided an update on the reorganization at UCOP and CDL. C. Candee has changed roles. There has been discussion on options for systemwide governance of CDL.
- The ULs were updated on the Mass Digitization projects. The scanning center has been relocated to San Francisco (city).
- The CDL announced that records for Google mass digitized books are now visible in a test copy of the Melvyl Catalog: http://melvyl-test.cdlib.org:8164/F
This was accomplished by means of Google Book Search API (application programming interface) which extends the ability for UC students, faculty and staff
to find the mass digitized content in the Melvyl Catalog.
- Upcoming licensing negotiations were reviewed, and the ULs agreed with the proposed strategies. The first negotiation will be with Elsevier.
- The ULs were updated on three Open access topics: SCOAP3; NIH deposit requirement; and Open Access Policy.
- Three proposals for addressing the RSC budget shortfall were reviewed, and a spreadsheet of the entire RSC budget was requested for longer-term RSC planning.
- The Digital Preservation Pilot Project, presented by L. Farley and T. Cruse was approved.
- The update on the Shared Library Facilities Board included discussion of the draft policy on non-UC deposits; a review of the revised non-UC fee schedule; and implementation of annual deposit management.
- The ULs were updated on the status of the CDL UL recruitment.

Agenda

1. ERMS Progress Report: I. Anderson

As directed, CDL is in the process of investigating ERM solutions, with goals refocused to support the CDL requirements on two fronts: CDL needs for Tier 1 and CDL-managed Tier 2 resources; and CDL data needed by the campuses. CDL staff expects to finish their investigation within the next two months. In addition to examining commercial ERM systems, CDL will also explore local development of a lightweight system, but will "build only if can't buy". It was noted that a consortial functionality is not available commercial systems. Integration with SFX or link resolver, support of standards, and strong report generation are key considerations. It was also noted that a number of the campuses are pursuing ERM solutions, and CDL was encouraged to take those activities into account.

2. Systemwide strategic directions: G. Lawrence

The ULs have coalesced their thinking around four strategic themes, and are using them to focus their discussions further. SLASIAC has asked for a task force to delve deeper into the impact of the cyber infrastructure issues raised in the ITCG report on scholarly communication. The recent NIH and Harvard initiatives also play into this discussion.

G. Strong is working on another document on intellectual property issues. The ULs have endorsed these directions, and G. Lawrence, with R. Jackson, will continue to develop the document.
SOPAG noted that collections are not addressed directly in the themes, although they are covered in other strategic documents. Following discussion, the ULs asked SOPAG to develop a charge for CDC to provide a comprehensive review of current strategies, programs, successes, lack of success, and candidates for strategic collection development. In addition to reviewing existing documents to compile a statement for inclusion in the larger document, CDC was also encouraged to be forward looking, and to focus on what's changing. For example, if a large part of the UC collection is going to be digital, what does that mean? How will we manage this information life-cycle? What will the definition of collections, collection management, curated collections, and research collections be?
ACTION: SOPAG will develop a charge for CDC.

3. UC/OCLC Pilot: K. Butter, T. Ryan, L. Declerck

T. Ryan updated the ULs and SOPAG on progress with the UC/OCLC pilot. The "Launch packet" has been distributed to the campuses, and the pilot will go live on April 28th. A synchronized launch is planned, so all campuses will need to have WorldCat Local links on their websites on that date (but not before.) Publicity is up to the campuses - a suite of communication tools are included in the launch packet. On Monday, March 17th, there will be a major software release, which will include Z39.50 links, links to UC-eLinks, sample records, including SCP records, three levels of holdings, and the basic configurations. The four lead implementer sites will be up and testing, as well. There is currently an issue with Z39.50 port limitations on the Davis Aleph system. The other six sites will go live in April.
The Assessment Task Force has been charged, and is currently working with OCLC. Usability testing is scheduled for May, and Berkeley and Irvine are the test sites. OCLC has a survey that will be used, and adjusted as needed. The Task Force will also look at statistics being gathered.
T. Ryan demonstrated the UC/OCLC Pilot, and pointed out that E-scholarship repository content appears.

L. Farley demonstrated the links to Google books, using the Google API, at the test site:

http://melvyl-test.cdlib.org:8164/F

She noted that the CDL team implemented this in 48 hours.

ACTION: The Implementation Team will investigate if Google API can be done with OCLC as well.

ACTION: L. Farley will report on plans/timetable for moving this feature to production Melvyl.

4. HOPS Big Ideas for the Future of Public Services - Preliminary Report: P. Dawson

P. Dawson provided background on HOPS discussions leading to this preliminary report. At this point, HOPS is in the process of fleshing out one big idea: creating a common user experience across all campuses. In the discussion, the following comments and questions were made:

- How do we track user expectations, and how do we know how they are doing their work? (The University of Rochester example, with their on staff anthropologist, was noted.)
- How are changing needs tracked?
- Has HOPS examined other user studies?
- How can we best observe what users actually do, rather than rely on what they say they do, and how might we approach this "gap analysis?" Can we do this as a system?
- Users are looking for convenience - how can we provide it?
- What could be seriously pursued, and turned into actions; what suite of services might be subsumed in ubiquitous reference service.
- Expand on the ideas and tell the ULs how they move UC forward.

HOPS was encouraged to select a few options, tell the ULs what HOPS would like to work on, and provide a breakdown of needed resources.

ACTION: G. Lawrence will convey the Libraries' need for movement on the identity management/UC Trust front to SLASIAC.

ACTION: G. Munoff will update the ULs on UCI's "Text a Librarian" pilot at a future meeting.

ACTION: L. Tanji and P. Dawson will convey this discussion back to HOPS.

5. Criteria for Purchase of Shared Print Journal Archives: J. Kochi, I. Anderson

J. Kochi and I. Anderson presented an overview of the "Criteria for Purchase of Shared Print ..." document. CDC believes it is time to revisit the rationale and criteria for establishing or retaining shared print archives for licensed journal content. The shared print repositories, in a sense, have served as a transitional support mechanism as digital repositories were developed. The questions now are: Are digital repositories robust and secure enough, and is there confidence in the ability of digital repositories to replace shared print archives, and is the budget situation such that it impacts the criteria? At what point can we consider that UC has made the transition? The CDC document noted the "increasing comfort level of faculty and students working in the digital environment." The comment was made that the original question asked of faculty was if they were comfortable with not having the print copy on campus; they were not asked if they were ok with no print copies at all.

Developments with Portico, and upcoming discussions between CDL and CLR, will impact this discussion. Additional discussion focused on auditing, disaster recovery, and agreements in place to provide access from Portico, for example. The next steps needed, before broad-based decisions can be made, include understanding the Portico service and clarification of Portico's agreement with the vendors, that is, when they can provide the access if vendors can't. It was also noted that there is a strong need for the development of a national shared repository system, and that UC may play a role in that. Perhaps the overarching issue is beyond print copies, and is, rather, "perpetual access," understanding what that implies, and articulating how we deliver it.

6. Negotiations Update: I. Anderson

I. Anderson provided an update on upcoming vendor negotiations and reviewed the Multi-year Contracts spreadsheets. She outlined UC's major issues, objectives and strategies, with selected vendors, and

noted the usefulness of the indexes and tools in negotiating with the vendors. Open access repository and NIH requirements are being added to our basic licenses. Elsevier negotiations begin in late April.

7. Joint CDC/SCO Task Force Report on Criteria to Determine UC Support for Transformative Scholarly Publishing Models: G. Yokote

G. Yokote presented the CDC/SCO Task Force Report. Following discussion, the ULs accepted the report, with some clarification and rewording in recommendations 1 and 4. It was agreed that the criteria could be shared with other institutions, but not the individual assessments, although it was noted, in specific situations, it may be advantageous to release an assessment, to influence the national agenda, for example.
ACTION: CDC/SCO will make the changes and will release the report as a working document.

8. SCO Request for Scholarly Communication Systemwide Support: G. Yokote

G. Yokote presented SCO's request for temporary support for systemwide scholarly communication initiatives, which was endorsed by SOPAG (via email to the ULs on March 4, 2008.) The purpose of this request is to develop a sustainable infrastructure and strategies to address scholarly communications issues as a coordinated effort.
The ULs agreed to consider whatever supplements may need to be made. No dollar amount was agreed to, and campuses may choose whether or not to participate.

ACTION: SOPAG will send a confirmation to ULs summarizing what was discussed. And SOPAG will charge SCO to provide additional information.

9. Other SOPAG Items: L. Tanji.

- G. Lawrence alerted SOPAG to the upcoming charge on Statistics for Digital and Shared Collections. SOPAG will await the formal charge.
- The Digital Library Collaboration Workshop will take place on Friday, March 14, 2008 at UC Irvine. L. Tanji thanked the Steering Committee for developing the workshop, and the CDL for funding it.

Meeting adjourned at 12:50pm