EXECUTIVE SUMMARY OF *PATHWAYS TO OA*

Overview

This Executive Summary accompanies a Pathways to OA document ("Pathways document") prepared pursuant to the Council of University Librarian's (CoUL)[1] 3 August 2017 charging statement. In the Pathways document, our Working Group[2] analyzes the various approaches to or models for achieving open access (Green, Gold-APC, Gold-non-APC), and the actionable strategies that exist to implement each approach (e.g., for Gold OA APC-based approach, one strategy is library subvention funding). Our Pathways document is intended to assist campus libraries and the California Digital Library (CDL) with individual and, where appropriate, collective decision-making about which OA strategies, possible next steps, or experiments to pursue in order to achieve large-scale transition to OA.

For each approach to OA that we address in the Pathways document, we systematically analyze the:

- Nature of approach
- Prevalence and impact
- Strategies to achieve approach (including specific challenges and opportunities of each strategy)
- Systemic challenges
- Systemic opportunities

Approaches & Strategies

For readers' ease of reference here, and to guide them in reading the Pathways document, we have prepared the attached Chart Summarizing OA Approaches and Strategies ("Chart"). The Chart captures and summarizes our Pathways document analysis at a very high level. Like the Pathways document, the Chart also identifies various "universal strategies" that could underlie multiple approaches. In preparing the Chart, we endeavored to roughly gauge (via a 20,000-foot survey sent to campus representatives) and note which particular strategies are already being implemented by each UC campus library and CDL.

It is possible to rely upon the Chart and this Executive Summary to gain the entire picture of our efforts—and to use the Pathways document as the documentation of our substantive analysis.

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Possible Next Steps

Based on our analysis set forth in the Pathways document's discussion of approaches and strategies, we have also developed a set of possible next steps—experiments, pilots, efforts, and the like—in which UC libraries may wish to engage either collectively or individually.

These include:

Green OA
1. Use licensing arrangements to strengthen reuse rights for and rights to deposit the publisher's final version.
2. Engage in outreach to journal publishers to streamline deposits of post-prints into eScholarship.
3. Continue strategic support of disciplinary and subject-matter repositories.
4. Explore licensing of additional research information management system modules, with potential integration into campus reporting mechanisms, to maximize return on investment.

Gold OA – APC-based
1. Negotiate offsetting agreements, and/or decline renewals of licenses with major publishers who do not engage in offsetting agreements.
2. Identify and engage with disciplines for flipping their journals to OA.
3. Expand and streamline application of campus OA funds to cover APCs.
4. Continue to explore memberships.
5. Research strategies for and impact of an APC model in the Global South.

Gold OA – Non-APC-based
1. Identify and engage with disciplines for flipping their journals to OA.
2. Continue to explore memberships and crowd-funding.
3. Explore opportunities to leverage eScholarship as a publishing platform.
4. Explore 2.5% Commitment or similar commitment to open scholarly commons infrastructure.

Universal Strategies
1. Conduct library-led outreach, and allocate funding or investments, to support author communities' preferred transition modes (e.g. as envisioned by Scholar-owned OA movement, f.k.a. "Red OA").
2. Engage the campus author community, research office, and academic departments in supporting an OA transition--and potentially supporting author-led boycotts.
3. Engage with scholarly societies and editorial boards to support a flip to OA.
4. Engage in national and international opportunities for investment in or transition toward OA (e.g. OA2020, ICOLC, OA Publishing Cooperative).
5. Utilize STAR Team processes to pursue shared investment in transformative publishing.
6. Augment discovery and visibility of OA scholarly outputs.