

Re: UC Libraries Advisory Structure Quarterly Report

To: UC Libraries Advisory Structure (via Jayne Dickson for information)
Users Council (via Jayne Dickson for information & distribution)
CoUL (for information)
LAUC (via Matt Conner for information & distribution)

From: Coordinating Committee, UC Libraries Advisory Structure

Date: May 21, 2015

The following quarterly report provides an update on UC Libraries Advisory Structure (UCLAS) activities provided by the Council of University Libraries, the advisory structure's Coordinating Committee, Strategic Action Groups and Collection Licensing Subgroup.

[Council of University Librarians \(CoUL\)](#)

- CoUL reviewed the UCLAS Assessment Survey and after much discussion began to draft a modification of the current UCLAS structure. In order to get early input, they met with Coordinating Committee, and then with Coordinating Committee and SAG/CLS Chairs via conference calls. In order to reach out further, the ULs Steering Committee held a joint webinar with all the CC, SAGs1-3, CLS, and LAUC Executive Board Officers. The proposal has continued to be revised and there is a communication plan to share the draft proposal and timeline more widely beyond UCLAS members in order to engage campus library/CDL employees and to implement the transition to UCLAS 2.0.
- CoUL reviewed and discussed numerous documents and initiatives including the Shared ILS/RMS report; FedDocArc Project; SAG3 Collaborative Collection Development via Digitization TF (CCDD) proposal; progress on the UC OA Policy Implementation; Presidential OA Policy drafts; UC Copyright, etc.
- CoUL revised and pared the 2014-2018 Systemwide Plan & Priorities down to ten priorities. But after receiving feedback from various UCLAS members, instead agreed to accept with some minor revisions the "Top 3 Priorities" identified by each of the SAGS/CLS.
- CoUL members met with representatives from the UC ITLC (Information Technology Leadership Council) to discuss areas of common interest and intersection.
- CoUL members and selected campus representatives attended the Joint VCR/CIO Summit. This was an event sponsored by the UC's Vice Chancellors of Research and Chief Information Officers to engage faculty from a wide range of disciplines in a discussion on their current and future needs for information technology that supports scholarship and research. The goal is to create a five year roadmap for UC's cyberinfrastructure. Brian Schottlaender (UL, UCSD) was one of the speakers.
- CoUL reviewed the Unizin proposal (<http://unizin.org/>) and the analysis by ITLC's Educational Technology Leadership Group. Still under discussion.
- CoUL discussed the RLF space constraint situation with RLF Directors Erik Mitchell (UCB, NRLF) and Colleen Carlton (UCLA, SRLF). After various discussions, the RLF Collection Management Group (RLF-CM) was charged to examine the potential cost benefits (workflow; cost models) if UC were to hypothetically de-duplicate collections across the RLFs. All of this information-gathering and analysis will help inform future conversations that UC Libraries might have with campus constituents prior to any decision-making. Beyond collection management

opportunities--other approaches are being explored ranging from potential building expansion to investigating space management opportunities. The work of RLF-CM also intersects with the work of the Federal Documents Archive (FedDocArc) program and Shared Printed initiatives in terms of collaborative collection management and leveraging the use of the RLFs in a collective way. CoUL has discussed all of these issues with SLASAC and UCOLASC and received encouragement and support to continue exploring options.

- CoUL developed orientation procedures for new CoUL members. The UCM UL will be starting in late June 2015.
- Via the UC Mexico Initiative--Mexico's National Council for Culture and the Arts (Conaculta) expressed interest in exploring potential digital collaborations with particular interest in special collections. Steve Mandeville-Gamble will be CoUL's contact to Conaculta.

Coordinating Committee (CC)

- Met with SAG/CLS Chairs to review their workplans; asked them to provide top three priorities for the remainder of the FY
- Reviewed and approved the UC Libraries Shared Metadata Policy from SAG2; it was sent to CoUL.
- Reviewed the AV/Preservation Proposal from SAG3, which outlines a process for next steps in addressing how to proceed with digitization of at risk AV materials. Sent suggested edits to SAG3 to make some revisions to clarify the request before sending onto CoUL.
- Shared systemwide the final report from the consultant hired to conduct the advisory structure's assessment.
- CoUL drafted and shared with the Coordinating Committee a proposal for modification for the current UCLAS structure based on the assessment. The Coordinating Committee provided feedback. The Coordinating Committee organized a webinar with all UCLAS members, with UL Steering Committee members (Laine Farley, Ginny Steel, Lorelei Tanji and Joanne Miller) to review the CoUL UCLAS proposal.
- In collaboration with the LAUC Executive Board, the UCLAS webinar series is back on track. A session with Sherri Berger, Product Manager for the UC Libraries Digital Collection (UCLDC) project was held on April 10. Upcoming sessions planned on the HathiTrust and the UC Open Access Policy. Schedule and recordings available here: <http://libraries.universityofcalifornia.edu/cc/projects-groups/uclas-webinar-series>

Strategic Action Group 1: Scholarly Research & Communication (SAG1)

- Met with Shu Liu, chair of the Data Curation CKG. We discussed various ways that the SAG and CKG could interact, including some projects that the CKG is planning to propose.
- Received in-depth updates from Catherine Mitchell on the process of rolling out the OA harvester at UCLA and UC Irvine. We had discussions on implications for the other campuses, and started to plan on the schedule for the remainder of 2015.
- Charged and created an ORCID project team. This team has multi-campus representation, including CDL. It is looking at possible recommendations for system-wide ORCID implementations, based on work that's being done on UC campuses, and nationally. The group plans to make its recommendations later in the spring.

- Discussed and approved the Phase 2 plans and timeline of the Transformative Models Task Force.
- Reviewed and discussed the "UC Libraries Strategic Agenda for Shared Data Curation," a document created under the aegis of SOPAG in 2013. Members of SAG1 are preparing a recommendation for next steps based on this document, forthcoming in early spring.
- Discussed various OA processes that are underway at several campuses, including some new initiatives that are being funded.
- Discussed and provided feedback to the Data Curation CKG on their instructional video proposal.

Strategic Action Group 2: Access, Discovery & Infrastructure (SAG2)

- Finalized policy for sharing Metadata across UC, sent to Coordinating Committee for further distribution.
- Launched the Melvyl Configuration and Electronic Resources policy review Project Team
- Reviewed and discussed the new UCLAS structure
- Read and responded to the Shared Print Strategy Team's draft Shared Print Disclosure policy.
- Continued oversight of UCLDC
- Formalized information sharing/discussions with CKGS – CMCKG and ILL CKG were the first two.
- Reviewed Ask a Librarian Service Annual Report for 2013/14

Strategic Action Group 3: Collection Building & Management (SAG3)

SAG 3 Shared ILS/RMS Investigation Task Force

- The availability of the Executive Summary and full Task Force Report on the SAG 3 website (<http://libraries.universityofcalifornia.edu/sag3/shared-ilsrms-tf-documents>) was announced via an email distributed through the UCLAS communication channel on January 6, 2015. With this communication and the posting of the documents, the Task Force completed its charge.
- SAG 3 thanked and concluded the SAG 3 Shared ILS/RMS Task Force on January 22, 2015, with special thanks and recognition to TF Chair Vicki Grahame (SAG 3/UCI) for her leadership and determination in this effort, and MacKenzie Smith (CoUL/UCD) for her guidance and serving as the TF's liaison to CoUL.

UC Federal Documents Archive Task Force

- CoUL approved the Fed Docs Task Force proposal related to disposition options, signed the Shared Housing Agreement, and agreed to actively advocate to open federal docs for full view for general users via HathiTrust.
- The UC Federal Documents Archive Implementation Oversight Team has begun their work.
- SAG 3 members confirmed policy/procedure and technical contacts for each campus, and confirmed acceptance of the disposition approach.

UC Shared Print Strategy and Operations Teams' Print Journal Archiving Campaign and De-Duplication Service Proposal

- CoUL endorsed the ***UC Shared Print Strategy and Operations Teams' Print Journal Archiving Campaign and De-Duplication Service Proposal*** (endorsed by SAG 3 and forwarded to CoUL via the Coordinating Committee on October 28, 2014.)
- SAG 3 reviewed four Shared Print Monograph Series Agreements, which were left-over from CDC, and not yet formalized. The campuses identified as participants in the four agreements were contacted to see if there is still interest in the agreements.
- Emily Stambaugh (CDL/Shared Print Strategy Team) joined the February 25, 2015 SAG 3 meeting to discuss next steps for the *Print Journal Archiving Campaign and De-Duplication Service*, and to request feedback on the SPST's "***Monograph collections analysis expressions of interest***" inquiry. SAG 3 will continue discussions on this project, and will ask Emily to join a future SAG 3 meeting.

Collaborative Collection Development via Digitization (CCDD) Task Force

- In December 2014, SAG 3 endorsed the CCDD Task Force "***Digitization of UC Library Collections via Collaborative Agreement with a Vendor***" proposal, and forwarded it to CoUL via the Coordinating Committee.
- CCDD Task Force Chair Heather Christensen (SAG 3/CDL) was invited to attend the CoUL in-person meeting on January 16, 2015 to present the ***Proposal***.
- CoUL approved the ***Proposal*** with the understanding that they would review and approve the proposed contract terms and the draft agreement with the vendor.
- CCDD prepared a "expression of interest" document for a CLIR grant focused on "water." This document was forwarded to CoUL via the Coordinating Committee. CoUL did not endorse exploring a collaborative CLIR grant at this time.
- SAG 3 completed the charge, and charged the Collaborative Digitization Vendor Partnership Project Team on March 23, 2015.
- SAG 3 member Myra Appel (Davis) will serve as the SAG 3 Liaison and Project Team Leader.
- The charge and membership have been added to the SAG 3 web site:
<http://libraries.universityofcalifornia.edu/sag3/projects-and-groups>

Collection Librarian/Bibliographer Groups

- SAG 3 has developed Guidelines for UC Collection Librarian Groups (formerly Bibliographer Groups) in consultation with CLS.
- SAG 3 member Myra Appel (UC Davis) will serve as SAG 3's liaison to the Collection Librarian Groups.
- SAG 3 has asked CLS and JSC to identify/confirm their liaisons to the Collection Librarian Groups.
- SAG 3 approved a request from the Science and Engineering Librarian groups for an in-person meeting, with the understanding that approval of attendance and travel support continues to be a local campus decision.
- SAG 3 prepared a list of UCLAS website updates needed in preparation for the distribution of the ***Guidelines for UC Collection Librarian Groups***; Rosalie Lack (Coordinating Committee/CDL) agreed to coordinate the website updates.

- With the impending UCLAS reorganization, CoUL has instructed SAG 3 to place the ***Guidelines for UC Collection Librarian Groups*** on hold. Accordingly, the ***Guidelines*** have not been distributed.

Portico Assessment and Audit

- Following up on a report from the Preservation CKG (carried forward from the Preservation Advisory Group) which identified concerns about the level of e-journal preservation provided by Portico, Ivy Anderson (CDL) joined the February 11, 2015 SAG 3 meeting to provide a brief summary of UC's Portico Audit project charge and activities, and to discuss the scope of the issue, and possible next steps, such as which issues need to be addressed by a task group or referred to another level.
- SAG 3 agreed to have Ivy Anderson, Martha Hruska (SAG 3/UCSD), and a CLS representative draft a charge for a project team to: identify and address the issues; consider how to include other universities and Portico; how to determine which publishers to work with; and how to establish an ongoing process and practice of auditing Portico.

AV Preservation

- SAG 3 continued discussion on the scale, scope, and commitment for ongoing support for collaborative AV Preservation strategies, based on the AV Preservation Task Force Report.
- SAG 3 submitted a ***UC Audiovisual Preservation Proposal to CoUL*** (via the Coordinating Committee) on January 21, 2015. CoUL did not approve the Proposal, but provided SAG 3 with clarification and guidance for next steps.
- SAG 3 will discuss a possible task force and charge to address the issues identified by CoUL. SAG 3 thanked and concluded the UC AV Preservation TF on March 21, 2015.
- SAG 3 agreed to monitor this topic as part of SAG 3's portfolio, and will determine next steps once CoUL reviews and refreshes their Strategic Priorities.

WAS Transition

- Rosalie Lack joined the January 21, 2015 SAG 3 call to present an overview of the Web Archiving Services (WAS) transition to Archiv-IT.
- SAG 3 supported the creation of a WAS Transition Working Group now, and a possible WAS CKG once the transition to Archiv-IT is complete.
- SAG 3 agreed to discuss web archiving as collaborative collection building on a future agenda.

CA.Gov Project

- Julie Leferve (UCB) joined the January 21, 2015 SAG 3 call to present an update on the CA.gov project, which will also transition to Archiv-IT.

SAG 3 Work Plan

- As requested by the Coordinating Committee, SAG 3 submitted a reformatted Work Plan for 2015.

SAG 3 Portfolio Manager

- With the impending UCLAS reorganization, SAG 3 will not receive a new Portfolio Manager; Emily Lin will continue to serve as the Interim Portfolio Manager.

SAG 3 Membership

- Kerry Scott (UCSC) will serve as the UCSC liaison to SAG 3 and will attend selected SAG 3 calls; she was added to the SAG 3 listserv and wiki.

[Strategic Action Group 3: Collection Licensing Subgroup \(CLS\)](#)

- Discussed the SAG 3 Collections proposal with SAG 3 reps
- Drafted the CLS workplan and sent to Coordinating Committee
- Formed a cost shares review joint project with JSC to review campus cost share models
- Provided feedback to the Transformative Models Group
- Discussed the results of the JSC survey which requested feedback on the JSC annual survey process
- Discussed upcoming negotiations (on-going)
- Reviewed and provided feedback for the OA Fund Pilot Survey DRAFT
- Discussed the JSC/CLS co-investment models group DRAFT report for CoUL's April 17 meeting

Additional details pertaining to the activities of the UCL Advisory Structure's Coordinating Committee and Strategic Action Groups can be found in public minutes regularly posted online:

<http://libraries.universityofcalifornia.edu/about/advisory-structure>

Any questions about advisory structure activities can be directed to campus and LAUC representatives on the Strategic Action Groups or directly to the Coordinating Committee at CCC-L [at] ucop [dot] edu