

HOTS Meeting
CDL, Oakland
April 9, 2007

Present: Jim Dooley (M, chair), John Riemer (LA, SCP AC), Brad Eden (SB, co-recorder), Patti Martin (CDL), Sharon Scott (R), Karleen Darr (D), Martha Hruska (SD), Lee Leighton (B, co-recorder), Tony Harvell (LAUC), Carole Kiehl (I), Julia Kochi (SF). Lai-Ying Hsiung (SC) participated in the morning discussion by conference call.

Guests: Luc Declerck (SOPAG liaison), Terry Ryan (chair, OCLC Implementation Team)

Announcements

Jim reminded the group that his two year term as chair is almost over and that others should be thinking of taking over HOTS leadership

OCLC implementation discussion

The morning was given over to a discussion with Luc Declerck and Terry Ryan regarding the project to see if OCLC WorldCat can serve as a replacement for the current Melvyl. Terry talked about SOPAG's desire to want to move forward quickly in the future, working with ACG's to do this. SOPAG is trying itself to be more nimble in the future.

The document presented is not SOPAG's charge to HOTS; rather it is the implementation team that is looking for input, etc.

The purpose of the pilot project is to help UC evaluate whether the OCLC suite of services could serve as the next generation of Melvyl. We are not looking for a replacement but trying for an expanded suite of services. The pilot will also test adding records for all of the things represented in the local OPAC but not currently in Melvyl: brief records, etc. although OCLC won't replace the local ILS. The key audience for pilot is end users. We need to look at this as an end-user tool, not a library staff tool. The pilot will not be a one-off product by OCLC for UC System but rather a springboard for collaborative opportunities with OCLC/others.

Timeline: September – December 2007. Features and functions in three buckets: what's in it live in September; what might be added to service(s) in pilot period; things required before this can be a truly productive service, but can't be done during pilot. What is in each bucket will be fluid and moving; things will move between buckets. Separating the outcome (user service) from our preconceptions of how this will or should be done is very important during the pilot. Evaluation and assessment may determine that pilot ends in December, or that we keep moving forward with pilot/implementation past December.

Current thinking by implementation team:

Links to request

Links to local ILS systems

Delivery system as well as a discovery system

Links to UC eLinks

Test but not fully implement:

Getting records into OCLC that aren't in there now

Full reclamation/record load is not part of the pilot, but the pilot should help us see what needs to be done

Merging UC's onto same/one record

Features and functions: how many on day 1, how many to add during pilot, and how many that we will do later.

Discussion ensued about what a long-term model for collaboration would be in the future. We need to identify areas that will be difficult for OCLC to deliver; how do we influence roadmap, as well as how (the order in which) they are implemented. While we need to be open to ongoing development, we shouldn't use this as a cop-out. We need to evaluate the pilot on what it is, not on vaporware or what it could be.

Terry gave a demo of University of Washington pilot on WorldCat.org (screenshot). This is a branded version of UW Worldcat.org. Upper right corner: Your Library Account = Link to local system. Each record has: Held by University of Washington Libraries. Their records make the highest relevance. Next screen: Holdings: Local, Group, Global. Records: UW first, then Summit (UW consortia), then rest of WorldCat. Goes to full record display, with location and availability with the data Pulled in real time from the UW III system. The user has the ability to place a hold on an item; there is also a link to request an item. The user can also find another WorldCat library to request the item from (link). There is the ability to display bibliographic information in records in different citation styles (APA, Chicago, MLA, etc.) as well as to attach user reviews and comments. The WorldCat interface has been internationalized (German, Spanish, French, and Dutch available so far). Hitting on the Request button gets the user out of WorldCat and passed to the UW consortial catalog; this is similar to what we would want to do to move the user to local the ILS systems for delivery. The pilot also links to UW's Online Full Text resolver.

Luc re-emphasized that there are three sets of buckets and that the implementation team needs our input. He handed out a proposed methodology for this. Input needs to go directly to the implementation team, but HOTS should/can be included as well. On the handout two proposed immediate tasks are given, with charges, proposed teams, and a liaison to the implementation team. Likely future charges are then given (4), with charges, proposed teams, and a liaison (some are still open). An interim report from the teams is requested by May 15, 2007. Luc went through each of the tasks needing to be done. (Terry indicated that much of this information should not be broadcast publicly; an FAQ will soon be publicly distributed).

A general discussion followed of the proposed team members for each of these charges. There is a need to get input broadly from everyone. Leads would be chair of each group and responsible for calling meetings, including all campuses and reporting back on a regular basis to everyone. HOTS asked how policy issues are to be addressed during the gathering of this information. HOTS also stressed the importance of having public services people involved in helping to answer/ask these questions as well.

HOTS spent some time suggesting names for the proposed teams for each of the Immediate Tasks and Likely Future Charges. Luc is looking for HOTS to eventually put together a document that explains all the technical services issues for us and perhaps as a background document for OCLC.

Digital Preservation update

Patricia Cruse provided an overview of the key activities of the UC Digital Preservation Repository (DPR). The copy of the ppt presentation is located http://wiki.cdlib.org/WebAtRisk/tiki-download_file.php?fileId=236 The DPR allows the campuses to preserve their digital content (including images, audio files, etc.); updating versions of the files, and adding and deleting metadata; and allowing the campuses to make intellectual decisions about their materials.

The DPR includes the content from the Tobacco Archive (UCSF), the Hoover Collection (UCLA), the Eastman Collection (UCD) as well as materials including the Open Content Alliance digitization project. Content for the Google digitization project will be added in the future.

Continuing investigations include sustaining the service, storage and network issues, instilling trust as well as policies and procedures.

Third party content from the approximately \$1,000,000 tier 1 licensed journals and storing web content as the collections of the future are also important programs.

The digital preservation program is also involved with a grant from the Library of Congress to build tools that will allow libraries to collect, manage and preserve web-published content. The project is currently focusing on government and political information and is assessing the impact of digital information on traditional collection development practices, and exploring the sustainability of web archiving. The Web Archiving Service will be in production in Jan. 2008.

Shared Print update

Ivy Anderson presented an update on the activities of the Shared Print Program. The UC licensed content, digital formatting and shared print programs were consolidated last July with Ivy as the program manager.

Phase I of the JSTOR retrospective archive, a cost share project between UC and JSTOR, will be completed at the end of April. The project is able to validate 1,000 pages of journal content an hour, and they have replaced student employees with temporary and some permanent staff at UCLA. Moving wall titles will be added to the project for several more years. A Phase II project to add 600 additional titles is under consideration;

an initial list of ca. 275 titles to be validated through December 2007 has been tentatively approved pending final review of title lists by the campuses.

CDC has tentatively agreed to a new Taylor and Francis project for a shared print archive. Additional cost analysis will be performed before a final decision is made. The archive will consist of approximately 700-1,100 titles depending on whether pre-existing subscriptions or all licensed titles are included.

The goals and objectives of the Shared Print program include examining the changes the new persistence policy at the RLFs may have on context of shared print, adding additional projects with a new shared print manager, and developing sustainable staffing and funding for the programs.

Verde implementation

Heather Christenson provided an update on the current status of Verde implementation.

Verde 2.0 was successfully installed in a test instance at CDL. Access was given to test participants (from both CDL and campuses), our SOPAG implementation team and some CDL staff. We have been conducting testing on specific functionality that is important to us as a consortium: search and display across multiple campus instances, interoperability with SFX, and reporting across multiple instances. We will attempt to present as honest a picture as we can in reporting the results to SOPAG. So far the SFX testing has not turned up any red flags. We will ask ExLibris to respond to our concerns at the end of the testing.

The implementation team has been focusing on activities which will help us prepare for Verde, but are still somewhat vendor agnostic and applicable for any ERMS, such as overall principles: the need for agreement on a minimum set of data elements across all of our campuses; charting the decisions we'll need to make re authority and conventions for entering data; getting the system-wide (CDL) licenses into electronic form and developing best practices that campuses can use (using the CDL model license); analysis of the workflow for our system-wide licensed resources; and creating a checklist for campus readiness. CDL will also pilot test the Verde data loaders (right now only license data and admin data). CDL data population could start in the fall.

Main activities campuses could be doing now: cleaning up data for initial data population, converting license data to electronic form, and maintaining "sparkling clean" SFX data.

Heather asked the group for comments on the SOPAG implementation team's "Principles of the UC Electronic Resource Management System" document. A suggestion was made to add language stating that the ERMS is not an end-user discovery tool. Heather will add the language. HOTS will provide additional comments to Heather via Jim by Friday April 13.

HOTS agreed that a FAQ for the campuses would be useful. Heather will report to SOPAG on the pilot at the end of April. [Follow-up note: The report is scheduled to be considered at SOPAG's May meeting.]