

HOTS meeting minutes 4/21/06

Present:

Linda Barnhart (UCSD), Jim Dooley (Chair)(UCM), Pat French (UCD), Lai-Ying Hsiung (UCSC), Carole Kiehl (UCI), Sara Shatford Layne (UCLA), Lee Leighton (UCB), Patti Martin (CDL), John Riemer (SCP AC), Sharon Scott (UCR), Paul Wakeford (UCSF), Amy Weiss (UCSB), Tony Harvell (LAUC)

1 Jim began by welcoming Sharon Scott to the group and bidding Pat French goodbye.

2 Integrating resources

Patti passed along a couple of questions from Rebecca Doherty about integrating this new format into Melvyl.

A Indexing/Display - The group discussed the issues and agreed that it was not a major issue for any other than catalogers. We recommended not creating a separate category for integrating resources. We recommended including them in both monographs and serials formats. It would be appropriate to run this by HOPS but we anticipated that they won't consider it significant. While there are not a great many of these records in Melvyl yet, OCLC will be updating records in June and this will increase the numbers in Melvyl.

B Merging – The group requested more information from Rebecca regarding how these records merge currently. We should determine how we are treating these on our campuses. HOTS and CDL will have to answer these questions before asking HOPS for their feedback.

3 Vendor records

Lee informed the group about his recent meeting with LC and several large northeastern universities on UCB partnering with Harrassowitz to create vendor records via MARCnow. This project will be less expensive than the unsuccessful Casalini/LC partnership as they will check for, but not create, authority records for the bibliographic records. Beacher Wiggins at LC was impressed with the possibilities for cost savings. Lee hopes to have OCLC records from this Harrassowitz project but this may not happen. He will keep us up to date.

The group then discussed the very recent announcement from LC that it would cease to provide controlled series access in the bibliographic records that its catalogers produce effective May 1. Some members had more concerns than others regarding the impacts on the campuses, but all agree this will have a significant impact and will reduce the effectiveness of series as an access point. As this is a significant issue that will have long reaching effects, it was decided that some appropriate group should write up the possible impacts of this LC decision on UC cataloging. The merits of having an expert cataloging group were discussed as the SCP or the SCP AC cannot speak for all UC cataloging. Paul informed us that there was a cataloging group prior to the 1990s – however, they were found to be slow to make determinations and were disbanded in the reorganizations

on early 1990s. Further discussion on this topic was deferred to Jim's afternoon agenda item.

4 E-Dissertations

Discussion will be on email to give all the opportunity to review the SOPAG research document that was sent out the previous evening.

5 SCP Report and SCP AC report

It was decided to merge these two reports in future as they discuss many of the same topics.

Adolfo's maintenance work information led to a discussion on the impact of incorrect SFX data. This will have an impact on the ERMS implementation as Verde will link to all past records and citations will not necessarily be correctly linked. Linda was asked to provide an estimate of the percentage of records with problems.

CDL is writing detailed functional specs for the PID Server transition. A telephone conference is scheduled for May.

The Open Content Alliance records will require some study as to how to deal with them. Will there be URLs added to OCLC records? Should records be added to local catalogs or even Melvyl? Will there be duplication of content? Robin Chandler at CDL held a meeting in February with the SCP folks to discuss options. Further work on this is slated to happen this spring. Patti Martin and Anne Jensen at CDL will be working with SCP to figure out a plan for getting the OCA records into local catalogs and into Melvyl. In any case the OCA has begun scanning and it is a larger issue - going beyond UC.

6 Shared Print Report

Patti shared an update handout from Nancy Kushigian who could not attend. Patti will check on a question as to how the Canadian Literature project is being traced. [During a break, Patti and Sara checked Melvyl and the Canadian Literature records all have a 793 field with the text: UCL Shared Print Anglophone Literature. These records can be retrieved in Melvyl by performing a title search for: UCLA Shared Print Anglophone Literature. The 793 field displays only in the MARC display in Melvyl.]

Linda noted that there is an outstanding issue regarding the continued receipt of the shared print copy of ACM journals and monographs at UCSD before being sent on to UCLA/SRLF that will need to be resolved before Nancy retires. Patti will remind Nancy.

Following a question on whether claiming is being done for this archive, Jim, who sits on CDC, informed the group that CDC is revisiting the issue of the need for dim archives. It has now been demonstrated that they are little used. Is there any need to have these holdings in Melvyl? What changes now that Portico has been purchased? If the electronic versions are secure do we need paper archives? How does this shift of thinking affect print monograph shared collections?

Different campuses have different policies or wishes whether to include or display records for these archived materials. Loading into Melvyl would have to be approved.

7 Conser Funnel

The Conser Funnel has been approved by LC. Plans include having three coordinator positions. The main LC coordinator will be Valerie Bross from UCLA. Communications coordinator will be Renee Chin from UCSD and for the time being Valerie will also be the training coordinator. The group is moving forward with training set up at UCD for May 11. UCD will be absorbing the training costs. Other campuses will also need to address the cost issue.

The Funnel is not part of the organizational structure of the SCP. HOTS will have to decide where it reports – this decision was deferred to the afternoon discussion.

It is not expected that there will be a lot of activity on most campuses at first.

Patti was asked if CDL could host a website and listserv for the Funnel. This was agreed to and in process by end of day

8 Melvyl and ERMS updates

Patti shared that Melvyl is still on track to move to the 16.02 version by the end of the year. This is not the latest Ex Libris 18 version. 16.02 integrates better with UCeLinks. Four techs and five-six staff are working on the upgrade but they also work on VERDE and OA. Patti noted that upgrades are intensive with Ex Libris products and that changes throughout the system are not documented which requires a lot of testing. Campuses will be asked to help test the new Melvyl version looking for differences in merging, etc. The latest version of Oracle has been loaded.

The 13 digit ISBN is being implemented by OCLC and RLG. OCLC is about to flip the 13 digit ISBN from the 024 to the 020 field. Rebecca Doherty (CDL) is preparing a summary which will come to HOTS for discussion. Patti Martin (CDL) noted that the ISBN change has ripple effects beyond catalogs; it affects UC eLinks and Request as well.

Verde report

The Verde Implementation Team had met the day before and would be practicing on Version 1.1.

The consortial version 2 for early adopters is now to be delivered at end of May. Ex Libris has said that the release product will be 6-8 weeks after that, but given the uncertainties around the public dates provided by Ex Libris, CDL cannot confidently predict when we will receive a stable, release version of the product. CDL is asking SOPAG to define an exit strategy if EX Libris doesn't deliver.

At CDL the hardware and software has been set up and CDL staff have received training. They are now just waiting for the collaborative product to be delivered.

Campus members are to

- Put together a team to work on aspects of implementation
- Get familiar with the product
- Determine what data can be migrated from other systems
- Get local SFX up to date
- Agree on needs for reports etc

9 Cataloging reporting structure and policy coordination

Jim introduced the topic. Given the importance of the BSTF and the centrality of cataloging to this report, he felt it behooved HOTS to discuss how cataloging activity and policy can best be coordinated system wide. We had previously discussed expanding the scope of the SCP AC to include more formats of cataloging, could it morph into a Cataloging Policy Group?

A full and engaged discussion ensued. Some of the many points made included:

- Linda expressed concern that SCP issues may be sidelined in a more generally focused group. John suggested a broader group could dedicate one meeting a month to SCP issues.
- The SPC AC already has full agendas – a group dealing with broader issues would need to meet more often.
- All campuses would have to be able to be represented without the group becoming an official All Campus Group. Such groups are difficult to get established due to funding issues.
- We can leverage the BSTF to support our request for a Heads of Cataloging group as there is an obvious need for cataloging coordination and planning in the BSTF.
- Even if the BSTF goes nowhere – such a systemwide cataloging group can improve policy to assist CDL with Melvyl difficulties
- The SCP AC has high level and line catalogers – is this the right group to make system-wide policy?
- Two separate committees would have duplication of membership – would this be an undue burden?
- Who makes cataloging policy on campuses? The Heads of Cataloging – approved by Heads of Technical Services.
- There are three options – have SCP AC take on a bigger portfolio, turn SCP AC into a larger, broader group with a new charge, or create a Heads of Cataloging group in addition to SCP AC.
- Some have felt the lack of an easy means of communicating with peer Heads of Cataloging or Metadata Services on other campuses.
- HOTS must be closely involved in any implementation of BSTF recommendations if they are to be successfully implemented on the campuses.
- The Conser Funnel would logically report to the Heads of Cataloging and Metadata if such a group were created but should report to HOTS in the meantime.
- A Heads of Cataloging and Metadata group would logically report to HOTS as an Advisory Group.

It was decided that Jim and Carole will work on a statement to SOPAG that will express HOTS keen interest in supporting the BSTF report in its progress to realization. The statement will say that we feel a Cataloging and Metadata Advisory Group to HOTS will provide vital assistance in this endeavor.
Carole and Jim will write a draft charge for a Cataloging and Metadata Advisory Group.