Outline

• Background
• 2011-2012 Goals – Highlights
• Service uptake / usage
• Challenges
• Budget 2012-2013 status
• 2012-2013 Strategic Themes
Background

- **SLASIAC Library Planning Task Force Report**

- **CDL Review**

- Council of University Librarians **Plan and Priorities, FY 2013-2016**
<table>
<thead>
<tr>
<th>CDL 2011-2012 Goals</th>
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<tbody>
<tr>
<td>1. Support Next Generation initiatives and recommendations of the SLASIAAC Library Planning Task Force</td>
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<tr>
<td>2. Support the UC scholarly research and teaching lifecycle</td>
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<tr>
<td>3. Provide leadership in digital library development and service adoption</td>
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<tr>
<td>4. Diversify funding sources and extend efficiencies</td>
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</table>
1. Support Next Generation initiatives / SLASIAAC Library Planning Task Force

- Finalized Next Generation Melvyl using OCLC’s WorldCat Local platform

- **Impact**
  - 34M items (UC) vs. 250M (WorldCat)
  - 10,000 libraries worldwide
  - 35 databases
1. Support Next Generation initiatives / SLASIAC Library Planning Task Force

Western Regional Storage Trust (WEST): distributed retrospective print journal repository program

• Over 100 libraries
• CDL roles:
  • Administrative Host
  • Developed Print Archives Preservation Registry (PAPR) for Center for Research Libraries
• Impact: Enables collection management decisions
1. Support Next Generation initiatives / SLASIAAC Library Planning Task Force

- OAC RecordExpress: Create and edit collection descriptions
  - CLIR Cataloging Hidden Special Collections
  - Impact: supports “more product, less process”

- Calisphere/OAC Assessment
2. Support the UC scholarly research and teaching lifecycle

- Open Journals System transition
- DMP Tool: Guidance and Resources for your Data Management Plan
- EZID: long-term identifiers made easy
- Outreach for UC Curation Center services
3. Provide leadership in digital library development and service adoption

• **Zephir metadata management system**
  – *Impact*: authoritative source of digitized material; integrated into library catalogs and elsewhere

• **Social Networks and Archival Context**
  – UVA, UCB iSchool
  – 35 contributors of data (LC, NARA, Smithsonian, BL, BnF...)

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*HATHI TRUST*
SNAC – Surfacing relationships

Whitman, Walt, 1819-1892. DLC
(1819, May 31 – 1892, Mar. 26) United States English
→ Alternative forms of name

Occupations
- Poets.
- American literature.
- American poetry.
- American prose literature.
- Presidents--United States--Election--1840.

Biographical History
- **1819, May 31**
  Born, West Hills, N.Y.
- **1830–1846**
  Held various jobs as office boy, schoolteacher, typesetter, and journalist chiefly in Long Island and Brooklyn, N.Y.
- **1839–1840**
  Writer and typesetter, Long Island Democrat
- **1841–1848**
  Associated with numerous newspapers and magazines, including the New York Aurora and the New York Evening Tattler
- **1846–1848**
  Editor, Brooklyn Eagle
- **1848**
  (1) Writer, New Orleans Crescent (2) Editor, Brooklyn Freeman
- **1855**
  Published Leaves of Grass (Brooklyn, N.Y.: n.p. 95 pp.). Enlarged and revised in succeeding editions of 1856, 1860–1861, 1867, 1871, 1876, 1881–1882, 1888–1889, and 1891–1892
- **1857–1859**

Related Entries

- Archival Collections (11)
- People (65)
  - Barrus, Clara, 1864–1931. correspondedWith
  - Bucke, Richard Maurice, 1837–1902. correspondedWith
  - Burroughs, John, 1837–1921. correspondedWith
  - Burroughs, John, 1837–1921. correspondedWith
  - Carpenter, Edward, 1844–1929. correspondedWith
  - Church, Francis Pharcellus, 1839–1906. correspondedWith
  - Church, William Conant, 1836–1917. correspondedWith
  - Conway, Moncure Daniel, 1832–1907. correspondedWith

- Corporate Bodies (2)
4. Diversify funding sources and extend efficiencies

• Licensing:
  – Acquired journals that would cost the University nearly four times what we currently pay—approximately $100 million, or 50% more than the combined materials budget of all ten campuses—if they were independently licensed by each campus at list price
  – Every $1.00 spent on shared journal collections produces $4.00 in savings for the campus.

• Virtualization of server infrastructure
  – 25% reduction in costs
From CDL Review

Value - “A strategic resource for UC…”

The CDL operates at a current annual cost-equivalent of $68 per UC faculty, student, and academic staff member (based on 2010 budget and data).

<table>
<thead>
<tr>
<th>Expenditure Per</th>
<th>CDL</th>
<th>University</th>
<th>CDL Cost as a % of University Expenditure</th>
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</thead>
<tbody>
<tr>
<td>Student</td>
<td>$76</td>
<td>15,120</td>
<td>0.50%</td>
</tr>
<tr>
<td>Faculty</td>
<td>$1,240</td>
<td>$265,000</td>
<td>0.46%</td>
</tr>
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Service uptake/usage

• Usage up in all services

• Cost recovery services on track
  – EZID: 31 institutions
  – WAS: 25 institutions

• Content increasing
  – eScholarship: 57 journals

• Campus library service profiles
Service uptake - eScholarship
Service uptake - Merritt

Merritt Collections, Objects, Size, by Type/Month/Year

- Collections
- Objects (thousands)
- Total size (in TB)

Collections: 185
Objects (thousands): 402.531
Total size (in TB): 10.52332806
Service usage – OAC/Calisphere
Challenges

• Authors Guild suit against HathiTrust
  – Suspended Orphan Works initiative
  – Copyright Review Management System: participating in non-US research
  – Recent ruling affirms fair use

“I cannot imagine a definition of fair use that would not encompass the transformative uses made by Defendants' MDP [Mass Digitization Project] and would require that I terminate this invaluable contribution to the progress of science and cultivation of the arts that at the same time effectuates the ideals espoused by the ADA [Americans With Disabilities Act].” - Judge Baer
Challenges

UCSF Open Access Policy - Implementation

UCSF Open Access Policy Options

The UCSF Academic Senate voted on and unanimously passed an Open Access Policy for UCSF faculty at its May 21, 2012 Division Meeting. View the policy and supporting documents at the Academic Senate website.

To request a waiver or an embargo of this policy, please complete the appropriate form below. You can also request an addendum for inclusion alongside your author agreement.

If you are not sure whether you need a waiver, embargo or addendum or if you have additional questions about the policy or this process, visit our Frequently Asked Questions page. You may also contact us at oapolicy@ucsf.edu. If you do not yet have a final version please deposit that version as soon as it’s available.

Deposit: Submit your article to UC’s eScholarship repository or notify us if it is already OA or available in another OA repository.

Embargo: Request verification that your publication will not be displayed in UC’s eScholarship repository until your publisher’s required embargo period has expired. (Learn more)

Addendum: Notify publishers of your rights under the OA policy. 
Budget 2012-2013

• New Process: 3 scenarios (base, +/- 25%)

• SLASIAC Budget Subcommittee Review

• Augmentation request presented by Provost to Campus Budget Committee:
  – $2.5M in next 2 years
Budget 2012-2013
Augmentation Request

• Collections - $2M
  – Emphasis on ebook licensing; leverage for journal discounts
  – Open Access matching fund for article fees
  – Support for extending digital collection

• Shared services – $500K
  – Collection management
  – Open Access policy support
  – Two positions: Collections analyst; Rights/IP specialist
Budget 2012-2013
Augmentation Rationale

• Combination of savings, cost avoidance and leveraging effects

• CDL’s “leveraging” fund has been cut 85% in the last 3 years

• Strategies are directly in support of the SLASIAHC Library Planning Task Force priorities
Example: In 2006-2007, UC Libraries purchased 10,600 print copies of Springer books.

Between 2009-2011, conversion to a shared digital license had the following benefits:

- **lowered the unit cost** per title per campus from $120 to $24
- largely eliminated print, producing $1.7M in capital cost avoidance
- increased the number of titles available at each campus to over 3500 new titles per year (equal to 35,000 copies systemwide)

Cumulative expenditure savings over three years: $1.3M
Budget 2012-2013 – Current Status

• 1/3 ($834K) in FY 2012-2013
  – Collections:
    • eBook licensing
    • Leverage in 4 major ejournal negotiations ($9M)
    • Digitization – CoUL priorities
  – $125K one time: reserved for Digital Asset Management System (NGTS)
  – Rights/IP specialist in recruitment

• 2/3 ($1.6M) in FY 2013-2014
2012-2013 Strategic Themes

Advance the transformation in scholarly communication
• Extend open access publishing
• Explore new journal and data metrics
• Explore data publication

Enable a holistic approach to managing the information life cycle
• Support digitization of campus library collections
• Participate in research for systemwide Digital Asset Management System (NGTS)
• Develop infrastructure for research data at a network level

Adopt a strategic CDL financial plan focused on efficiency and value
• Achieve cost recovery for selected services
• Negotiate content licenses to UC’s advantage
• Complete infrastructure virtualization
FY 2012-2013: Delivered

- **DATAUP** with Microsoft Research
- **PAPR** for WEST / CRL
- **OA policy support** for UCSF
- **Access Engineering eBooks** (McGraw-Hill)
FY 2012-2013: Delivered

• VDX moved to hosted service at OCLC
• Acquired a customer relationship management service

 partnership with EDINA, a UK national academic data center based at the University of Edinburgh, and JISC, the UK’s “expert on information and digital technologies for education and research”.

EZID

long-term identifiers made easy
It was the best of times, it was the worst of times...

• “…it was the age of wisdom, it was the age of foolishness, it was the epoch of belief, it was the epoch of incredulity, it was the season of Light, it was the season of Darkness, it was the spring of hope…”
CDL Review - Value Statements

- Licensing
- Scholarly resources
- Collaboration / Community
- Infrastructure (Services)
- Staff Expertise
- Innovation

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