

# 2011-10-14 SOPAG ACG Chairs Meeting Minutes

## SOPAG and ACG Chairs Joint Meeting

October 14, 2011

9:30am-3:00pm

### Kaiser Building

Room 612 (510.987.0496 conference room phone)

300 Lakeside Drive

Oakland, CA

BART: 19th Street BART Station <http://www.ucop.edu/services/directions-kaiser.html>

**Note Taker:** Bob Heyer-Gray (UCD, LAUC)

### Attendees:

**SOPAG:** Diane Bisom, Chair (UCR), Elizabeth Cowell (UCSC), Luc Declerck (UCSD), Vicki Grahame (UCI), Bob Heyer-Gray (UCD,LAUC), Bernie Hurley (UCB), Julia Kochi (UCSF), Emily Lin (UCM), Susan Parker (UCLA), Felicia Poe (CDL), Lucia Snowhill (UCSB), Gail Yokote (UCD)

**ACG Chairs:** Jim Dooley (UCM, CDC), Ann Frenkel (UCR, HOPS); Martha Hruska (UCSD, NGTSMT), Eric Scott (UCM, RSC), Patti Martin (CDL, Melvyl), Adrian Petrisor (UCI, LTAG), John Riemer (UCLA, HOTS)

**CoUL:** Ginny Steel (UL UCSC, CoUL Chair); Laine Farley (CDL Executive Director, CoUL)

### Themes to Frame Meeting Outcomes

- To move the CoUL Priorities forward as an "integrated whole" it is essential to acknowledge the growing trend of "all things digital" and to recognize the continued importance of print for some disciplines.
- To leverage and continue UC's exemplary achievements in collaboration and coordination, integrated and transparent strategic directions are critical.
- To highlight the UC-wide successes and remind all UC constituencies of those successes is a key communication strategy -- the story of how the UC Libraries collaborate and integrate these share strategic initiatives, and activities such as shared print, best among the consortia who try to do these things

### Meeting Outcomes

- ACG/SOPAG agreements on how best to integrate implementation of the initiatives associated with production Melvyl and "NGTS" under the UC Collection for the 21st Century framework.

### Agenda

1. Welcome, Introductions, Logistics (Diane Bisom, Felicia Poe) **(9:30-9:40am)**

2. Agenda Review (Diane Bisom) **(9:40-9:45am)**

- SOPAG Pending Items (agenda item 9) are included mainly to give a sense of what might be coming back to the ACGs to work on.
- No changes/additions to the agenda.

3. CoUL Update (Ginny Steel) **(9:45-10:15am)**

- We are still in a time of tremendous change & uncertainty.
- We are moving towards more shared services/resources.
- Scholarly Communication model is still an area where forward movement is needed.
- Based on a recommendation from the CDL review to clarify the role of CDL & campus coordination/communication, a facilitated ½ day retreat with SOPAG will be held in February (around the time of joint SOPAG/CoUL/UCOLASC mtg.).

### **ACTION: SOPAG members hold February 15, 16, & 17 as possible dates for retreat.**

- The UCL Systemwide Plan and Priorities should be thought of as a working document, where progress is assessed and planning and revising happens yearly. Metrics are playing a greater role in planning priorities.
- With SLASIAAC asking for an annual report from CoUL and paying more attention to CoUL activities, determining what metrics are needed and how to accurately reflect the work libraries are doing is vital. During the SLASIAAC Library review it became obvious that SLASIAAC wasn't

clear on exactly how libraries work. The

SLASIAAC Planning TF Report draft has been finalized and will be on its way to Academic Council and Chancellors. Comments were due to Larry Pitts @ OP in September. A couple dozen responses were received and JoAnn Miller, Senior Library Planning Analyst grouped the responses into three broad categories. CoUL is thinking that these responses can be appended to the final TF report.

#### 1. Ongoing questions about scholarly publication

- Faculty are unaware of the magnitude of the problem.
- Concerns that Open Access doesn't include peer review.
- Faculty shouldn't be so aggressively dissuaded from publishing in specific journals.
- A white paper has been drafted by Joanne Miller (CDL) covering what actions/steps might need to be taken
- Laine Farley and Joanne Miller (CDL) will provide a Scholarly Communication update for SLASIAAC
- CoUL believes that the faculty with UCOLASC need to take the lead in this area. We should work through our local academic Senate Library Committees and through UCOLASC.
- Tasks that need to be accomplished: faculty need to maintain ownership of IP and we need an OA policy for UC
- CoUL is hoping for an action agenda by the end of the year from UCOLASC to be able to move these issues forward.

#### 2. Perception that there is not enough faculty participation

- Timeline of TF overlapped with period that faculty weren't on campus.
- Timelines are too ambitious
- Desire for more transparency pertaining to the process
- Need to think carefully how faculty input is worked into the process

#### 3. Miscellaneous

- Topics not addressed in the report/not in charge
- Things that will be addressed as the process goes forward or at a later date.

The Final Report should be submitted with the next month or so.

#### 4. UCL Systemwide Plan and Priorities, FY 2012-2015 (Version 2.2) (Ginny Steel) (10:15-10:45am)

UCL Systemwide Plan and Priorities document builds on previous reports. A matrix was created, laying out UC initiatives and from that a revised mission and vision statement was created and refined over the summer. There are two versions, an internal version to be shared within the library, and a version intended for an external audience. They both contain the same language and priorities, with the internal version containing acronyms and more details that the external audience might not understand. The documents are ready to be shared.

**ACTION: UCL Systemwide Plan and Priorities, FY 2012-2015 will go out via SOPAG to be distributed to constituents.**

This should be thought of as a working document, so that initiatives can be removed/added, with the thought that by next summer changes can be easily made.

**ACTION: SOPAG will go through the document to make sure priorities/initiatives are being assigned to the correct groups and to make sure that ACG goals & priorities are in synch.**

**ACTION: SOPAG will create a document based on the UCL Systemwide Plan and Priorities, FY 2012-2015, coordinating the activities called out and adding details of groups, timeline/calendar, deliverables, etc. that should be in process.**

- It was suggested that perhaps a cover letter from the CoUL providing context and reflecting the changes and progress should be included with the distribution.
- Additional topics discussed in response to the document included:
  - The creation of an agility fund from savings identified from the new courier contract.
  - Discussion of new formats, not just retrospective digitization/preservation.
  - Are we structured to move the plans forward?
  - We need to maximize the effectiveness of resource sharing.
    - HOPS – ILL loan periods, Media and Special Collections sharing
    - Delivery mechanism – How does resource sharing need to change?

- Tier 1 & 2 package reviews

• Outside initiatives are also factors that need to be considered in making plans & priorities.

### **BREAK (10:45am)**

#### 5. UCL Systemwide Plan and Priorities, FY 2012-2015 (Version 2.2) -- influencing factors: HathiTrust, West, Mass Digitization, etc. (Laine Farley) (11:00am-12:00pm)

- Certain initiatives go beyond the scope of just the UCs.
- HathiTrust could not have been accomplished without the economies of scale gained.
- WEST couldn't have happened at the UC level given the scope of the project.
- We need to look for collaborative opportunities within and beyond the UCs.
- UC Press is refactoring what it does. Its vision/mission are being reworked as the press tries to find the right balance. Given changes at the UC Press, new collaborations between the UC/CDL and UC Press might not happen any time soon.
- eScholarship reporting line lies firmly within CDL.
- CDL is replacing the current Berkeley Electronic Press (bepress) eScholarship submission system with the Public Knowledge Project (PKP) open source software, Open Journals System (OJS) for journal management and publishing, administered by Simon Fraser University Library. In light of CDL's substantive development work in adapting OJS for eScholarship, PKP administrators have approached CDL to become a major development partner.
- Public domain and orphaned works remain the priority with HathiTrust and with Google drastically reducing its digitization project, Internet Archive and local options are all options for digitization projects.
- The user experience for HathiTrust materials in WorldCat Local/Melvyl remains awkward and examination as to how it can be streamlined continues.
- OCLC has plans for HathiTrust full text searching in WCL; question remains if this would further exacerbate user experience mentioned earlier.
- HathiTrust and OCLC need to work with each other since libraries are not interested in updating multiple locations.
- HathiTrust Constitutional Convention ballot proposal pertaining to distributed print monographs archive passed. A proposal to broaden the current stated focus on building a "digital archive of library materials converted from print" was referred to the HT Board of Governors.
- HathiTrust revised governance structure will include a 12-person Board of Governors and a 6-person Executive Committee. UC will have two permanent seats on the Board of Governors, as will CIC; Michigan and Indiana will each have one seat; and 6 at-large members will be elected.
- Heather Christensen, HathiTrust Project Manager, is working on HathiTrust materials geared directly at Public Services staff.
- As CDL projects go to operational phase there is sometimes a disconnect between planning/background development.

#### Shared Print Topics

- SMCG Monographic Series work is forthcoming
- SPIPTF contained 13-14 recommendations, a number of which will go back to ACGs (CDC, HOTS, HOPS)

#### **ACTION: SPIPTF action chart and cover letter can be sent to ACGs.**

#### UC3 update

- Data Management Tool ("DMP") scheduled for release in October and will include a formal press release soon after. A Webinar on the DMP is scheduled for October 19, 2-3 p.m. <dmptool.cdlib.org>
- CDL has launched a new project dubbed Digital Curation for Excel (DCXL), funded by the Gordon and Betty Moore Foundation and Microsoft Research. The goal of the DCXL project is to facilitate data management, sharing, and archiving for earth, environmental, and ecological scientists.
- WAS and EZID both have non-UC clients. <was.cdlib.org> and <n2t.net/ezid>.
- Merritt is moving along as well. <merritt.cdlib.org>
- Updates distributed last week from UC3 are meant to be the first of regular monthly updates.
- Tools/services provided by CDL will be highlighted at the VCR and CIO Summit; Laine Farley and Luc will be co-presenting, with Luc providing the campus perspective.

## UC Libraries Website update

· UC Libraries Website is in need of updating. Rachael Hu (CDL) will lead an assessment of campus, ACG, and user needs. Currently she is analyzing a site inventory and investigating how the site is utilized. No preconception about how the site will evolve...could be cosmetic/reorganization changes or a major overhaul.

### **LUNCH / DISCUSSION (12:00-12:45pm)**

#### 6. Melvyl Organization and Governance (Diane Bisom, Patti Martin, Felicia Poe) (12:45-1:15pm)

- Implementing the new structure
- Role of ACG Chairs
- Users Council

Approval has been received to move forward with the revised governance structure.

**ACTION: Melvyl Advisory Group needs a formal charge, especially since they have already started work.**

Operational decisions lie with MAG and policy lies with SOPAG.

Melvyl Strategic Team still not appointed.

**ACTION: Melvyl Operations Liaisons need a formal charge.**

Users Council will now have a tighter collaboration with HOPS, formalizing communication between the two groups.

This new Users Council/HOPS arrangement will be reassessed in a year.

In an attempt to address the needs of expert searchers, especially since WCL was initially designed as an end user product, CDL with a number of OCLC team members hosted 3 webinars attended by over 200 people ([http://www.cdlib.org/services/d2d/melvyl/melvyl\\_webinars.html](http://www.cdlib.org/services/d2d/melvyl/melvyl_webinars.html)).

The proposed campus visits for Melvyl, given the success of the webinars, will not take place.

**ACTION: Reminders should go out about the Melvyl Webinar Series.**

**ACTION: Both the Melvyl Governance and Operations graphic and chart can go on the SOPAG web site.**

#### 7. NGTS MT (Martha Hruska, Vicki Grahame) (1:15-2pm)

- POTs
- SPOTs
- Communication process is rolling along. Blog should be than just about reporting out milestones, but be more interactive.
- It's important to keep people engaged.
- Many people @ various levels will be participating.
- Campus visits and/or webinars are under discussion
- Talking points document for SOPAG members to use for local campus discussions would be helpful
- POTs have monthly updates with Management Team.
- Best practices Webinar, initially for Project Managers to describe how best to do surveys, information gathering, etc., presented by Jane Lee, Assessment Analyst, will be held 10/24/11.
- All POT timelines were received by 9/2 deadline. MT will be following up with teams to work through questions that have arisen.

#### POT 2 update

- More shelf ready cataloging
- o 2 Lightning Teams, One to determine shelf ready vendors, who is already doing shelf ready, and how we physically process books. Another LT to determine cost savings/comparisons.
- Bib Standards for UC
- o What kind of and to what standard do we do original cataloging?
- o Does it make sense to adopt BIBCO Standard Record (<http://www.loc.gov/catdir/pcc/bibco/coreintro.html>) as the UC standard?
  - Metrics could be described in terms of staffing freed up.

#### POT 3 update

- Adoption of MPLP and Archivist Toolkit
- Expanded the number of proposed LTs to 5
  - o Implementation plan for AT
  - o Develop manual for MPLP Practices
  - o Define minimal collection-level record specification
  - o MPLP assessment
  - o Develop a UC-wide collaborative strategy to eliminate the UC archival and special collections processing backlog

#### POT 4 update

- Lightning Team to investigate CDL payment processes and recommend improvements
- Potentially a second LT to follow up on how campuses are doing, identifying next steps

#### POT 5 update

- Maximize SCP effectiveness, streamlining operations so SCP can take on other projects
- LT to look at whether or not to continue SCP distribution of SCP records
- Request CAMCIG to identify monetary and staff cost of SCP loading on the campuses
- Document how SCP priorities are made

#### POT 6 update

- Recommend/Develop Collection Service Centers & how to staff these centers
  - Develop a glossary, what is meant by CSC
  - 6 LT identified, charges for the first 4 identified
- o Surveying campuses, identify existing CSC arrangements within and outside UCs
  - o Survey staff resources available, numbers and expertise
  - o Survey/gather tech services tools available, identifying the best
  - o Updating the cataloging backlog being more inclusive, not just the foreign language but other materials
    - These will inform pilot projects that might emerge.

#### POT 7 update

- Transforming Collection Development
  - Group is already well embedded in CDC/JSCo Shared monos/SMCG
- o Licensing, developing pool of expertise
  - o Bibliographers, how best to engage the bibliographer groups
    - Many layers/levels of complexity and will be a long term project
    - Still at the formative stage

CoUL priorities calls out NGTS/POT task as priority work.

#### SPOTs

SPOT 1 NGM Intersections - Perhaps tap MAG to be on the look out for overlaps/synergies now that Melvyl is no longer NG

SPOT 2 Stable Funding Model – Diane, Lucia, and Susan are working on a document to share with SOPAG for transmittal to the CoUL

SPOT 3 System-wide ILS, ERMS, Database of Record – Vicki, Luc still tracking

Question remains on how/when events/steps can be pushed out to a broader audience, for example SLASAC, to show forward movement on the SLASAC TF report and when to engage UCOLASC, given stated feeling of disenfranchisement from faculty.

#### 8. DLSTF2 Next Steps (Diane Bisom) (2:00-2:30pm)

NGTS Management Team should be able to generate a charge from the cover memo for POT 1

POT 1 might possibly be a larger group than other POTs

DLSTF2 reports have been posted on the SOPAG site.

Transmittal memo will go online soon.

**ACTION: Distribute DLSTF2 reports and transmittal memo to ACGs and the campuses.**

#### 9. SOPAG's Pending Items (from SOPAG Agendas) (Diane Bisom) (2:30-2:45pm)

- HOPS -- ILL Charge/Response (Progress report rec'd and distributed to SOPAG 10/3/2011)
- CDC/GILs -- Gov Docs Charge/Response (Sent to CDC/GIL -- reponse due September 2011)
- SPIPTF Final Report/Response (ACG responses rec'd; SOPAG recommendations sent to CoUL 8/29/2011; CoUL discussion at 10/6/2011 conference call)
- Melvyl Governance/Operations (Documents sent to CoUL 9/2/2011; approved by CoUL; CoUL announcement distributed 09/26/11)
- DLSTF2 -- Final Report (Rec'd by SOPAG 5/10/2011; CDL response rec'd 7/25/2011; SOPAG recommendation sent to CoUL Steering Committee 10/09/11 by Bisom)
- CoUL -- Discovery Principles and Goals (CoUL approved 9/29/2011; posted to SOPAG web site 10/12/11; distribution pending)
- ACG Reorganization (CoUL Charge to SOPAG) (Discussion pending)

10. Wrap-up/Action Item Review (Diane Bisom) (2:45-3:00pm)

#### Relevant Documents

- 4.[UCL Systemwide Plan and Priorities, FY 2012-2015 (Version 2.2) Internal Audience]../download/attachments/50988066/UCL\_Priorities\_FY2012\_2015\_internal\_v2.2.pdf?version=1&modificationDate=1318463626000]
4. [UCL Systemwide Plan and Priorities, FY 2012-2015 (Version 2.2) External Audience]../download/attachments/50988066/UCL\_Priorities\_FY2012\_2015\_external\_v2.2.pdf?version=1&modificationDate=1318463651000]
6. [Melvyl Governance and Operations: SOPAG Transmittal Memo to CoUL (09/01/11)]../download/attachments/50988066/Melvyl\_Governance\_SOPAG\_Memo\_CoUL\_01Sept2011.pdf?version=2&modificationDate=1318463651000]
6. [Melvyl Governance and Operations: SOPAG Proposal for Implementation (09/01/11)]../download/attachments/50988066/Melvyl+Governance+and+Operations+SOPAG+Proposal+for+Implementation+September+2011.pdf?version=1&modificationDate=1318463651000]
6. [Melvyl Governance and Operations: Implementation Structure Image (09/01/11)]../download/attachments/50988066/Melvyl\_Governance\_SOPAG\_Image\_01Sept2011.pdf?version=1&modificationDate=131846531700]
8. [DLSTF2: SOPAG Transmittal to CoUL (10/10/11)]../download/attachments/50988066/DLSTF2\_SOPAG\_COUL\_transmittal\_06Oct2011.pdf?version=1&modificationDate=1318465331000]
8. DLSTF2 Final Report (05/10/11) [http://libraries.universityofcalifornia.edu/sopag/DLSTF2\\_FINAL\\_10May2011.pdf](http://libraries.universityofcalifornia.edu/sopag/DLSTF2_FINAL_10May2011.pdf)
8. DLSTF2 Final Report: CDL Suggestions and Opportunities - Part 1 (07/25/11) [http://libraries.universityofcalifornia.edu/sopag/DLSTF2\\_FINAL\\_CD\\_Lresponse1\\_25July2011.pdf](http://libraries.universityofcalifornia.edu/sopag/DLSTF2_FINAL_CD_Lresponse1_25July2011.pdf)
8. DLSTF2 Final Report: CDL Suggestions and Opportunities - Part 2 (07/25/11) [http://libraries.universityofcalifornia.edu/sopag/DLSTF2\\_FINAL\\_CD\\_Lresponse2\\_25July2011.pdf](http://libraries.universityofcalifornia.edu/sopag/DLSTF2_FINAL_CD_Lresponse2_25July2011.pdf)
8. DLSTF2 Response to CDL Suggestions and Opportunities (08/31/11) [http://libraries.universityofcalifornia.edu/sopag/DLSTF2\\_FINAL\\_DLSTF2response\\_31Aug2011.pdf](http://libraries.universityofcalifornia.edu/sopag/DLSTF2_FINAL_DLSTF2response_31Aug2011.pdf)