

**UC Resource Sharing Committee – Interlibrary Loan Advisory Group (RSC-IAG)  
January 20, 2005 Conference Call**

Present: Jason Newborn (UCD), Colleen Carlson (SRLF), Bob Freel (UCLA), Ann Harlow (UCR), Gary Johnson (recorder, UCSB), Pam LaZarr (UCI), Terri Mason (chair, UCSF), Charlotte Rubens (UCB), Barbara Slater (UCSD) Jennifer Walker (UCSC), Jutta Wiemhoff (NRLF), Mary Heath (Guest).

1. Minutes from the October 27, 2004 meeting were approved.

Side topics: The status of PIR blocking for reserved materials was forwarded to RSC but they have not yet met. Bob Freel will investigate and inform IAG. Bob Freel indicated that NLM will be meeting with FDI this March/April concerning interoperability testing. He will notify RSC-IAG when testing begins. The survey results from potential workload increases due to some campuses charging the UC National Labs for interlibrary loan materials was briefly discussed. The impact seemed to be minimal and all were reminded that each campus determines whether to charge or not.

2. VDX Records Retention Policy Discussion.

The existing “University of California Records Disposition Schedules Manual” is significantly outdated and requires full reassessment mindful of general UC ILL needs, both legal and operational. Moreover, there are likely local campus requirements that need to be addressed in adopting a records retention policy for VDX. To better understand campus needs, a survey was distributed to IAG by the VDX Records Retention Subgroup with a response deadline of January 28, 2005. Preliminary indications are that campus needs vary significantly from one to another and might be best served if CDL can produce a generic report incorporating all the campus’s requirements. According to an agreed upon schedule, this would potentially be made available for retrieval or delivery to each campus. In this model, the campus would be responsible for record retention. With the purpose of aligning the development of the VDX records retention policy with UC’s overarching policies, Connie Williams at UCOP was contacted for general guidance and she will continue to be consulted as needed. VDX data archiving, reports production, and safeguarding user privacy are tied to both policy and technical issues and require involvement from CDL and relevant UC library committees, such as IAG, RSC, and SOPAG.

Action: IAG representatives asked to respond by Friday January 28, 2005 to the records retention needs survey originally distributed by Chris Dechoretz via e-mail on 1/13/05; Mary Heath will query FDI about their current archiving endeavor and also evaluate archiving/records retention options at CDL; IAG sub-group of Charlotte Rubens, Chris Decoretz, and Gary Johnson will draft a recommendation for later assessment by IAG.

3. Specifications for changing how Request handles journals in Melvyl and combined ILL/DDS requests.

The discussion was based on a document distributed on 1/11/05 by Sherry Willhite at CDL to RSC-IAG, RSC, HOPS, PIR campus liaisons, and CDL UC-elinks team. Of the two options concerning the way Request handles DDS and ILL, Option # 2, entitled "Decide once for the entire list", was unanimously endorsed by IAG since it collapsed the decision process for DDS/ILL requests into a single event. The second topic considered was the "Specifications for in-line handling of journal Requests from Melvyl." Although all supported the proposal's general intent and direction, event sequencing and the associated directions to the user warranted further evaluation before endorsement. To better clarify the issues, Bob Freel will suggest changes directly on the document itself and distribute them to IAG by Friday January 21, 2005 for further review.

Action: Bob Freel to distribute suggested changes to CDL proposal for "Specifications for in-line handling of journal Requests from Melvyl" by Friday January 21, 2005. IAG representatives will evaluate these changes and respond back to the RSC-IAG list ASAP.

#### 4. Best Practices" for ILL with VDX.

Thinking ahead to the UC ILL Spring Time meetings, the theme of "best practices", originally explored and promoted system-wide in the summer of 2000, was considered in relation to VDX. Since VDX is the consortial borrowing system for the UC and we are moving closer to its full use throughout UC, it makes sense that "Best Practices for ILL with VDX" become the central theme for the upcoming meetings. The suggestion was unanimously supported by IAG with an acknowledgement that the original "Best Practices" workshops, facilitated by Mary Jackson, in 2000 were valuable. Given that the northern and southern springtime meetings occur at different times, this will require coordination. A subcommittee of Charlotte Rubens (UCB), Jennifer Walker (UCSC), Gary Johnson (UCSB), and, if available, Barbara Slater (UCSD) volunteered to organize this endeavor and identify resources needed. The southern UC springtime meeting is tentatively scheduled for March 22, 2005 at UC Riverside. The northern meeting will follow, the time and place to be established later. Some notions about the components of the meetings included specific break-out sessions such as borrowing or lending, VDX Implementation Team update, and "your 3 favorite ah ha's."

Action: "Best Practices" subcommittee to review previous best practices workshop and draft a proposed agenda and schedule. Charlotte Rubens will coordinate the northern springtime meeting.

#### 5. Coordination of upgrade to Ariel 4.0?

Those attending the ALA Mid-winter Convention in Boston, briefed IAG on the current dilemma with Ariel 4.0 not being backward compatible with previous releases. Although Infotrieve is offering a free upgrade to version 3.4 for all 3X users, only version 3.4 with a "patch" can communicate with 4.0. An unknown number of institutions are still currently operating with 2X versions. Infotrieve recognizes these difficulties and is evaluating how to best proceed. One possibility, though unlikely, is re-configuring 4.0 to

be backward compatible. The JEDDS component needed for allowing interoperability between Ariel and VDX no longer functions in 4.0 but Infotrieve has assured FDI that this will be remedied soon. Infotrieve will be announcing by March 2005 what their strategy to manage the transition to 4.0 is. The current, albeit tentative, cut-off date will be early July 2005. The overall consensus of the Ariel Users Group discussion at ALA Mid-winter is that a single cut-off day was preferable to a staged/long term transition. IAG agreed that 4.0 should not be installed throughout UC until further clarification is made by Infotrieve.

Action: Add coordination of Ariel 4.0 upgrade to the April 14, 2005 RSC-IAG conference call.

## 6. Report from ALA.

OCLC will be retiring ILL Passport for Windows, ILL Web, and the ILL Micro-Enhancer on May 1, 2005. In its place, and currently available for use, is the WorldCat Resource Sharing utility (staff view of OCLC FirstSearch). Third party products such as Clio, ILLiad, RLG ILL Manager, and VDX will still be able to send messages to OCLC without making any changes since the port numbers will remain unchanged. Direct request profiles, constant data records, and custom holdings information will be automatically migrated. All libraries, however, are encouraged to double check the accuracy of their current information. The WorldCat Resource Sharing utility is still being developed and lacks areas of functionality.

VDX version 3.0 is scheduled for release in late March / early April 2005 and it is NCIP (NISO Circulation Interchange Protocol) compliant. Briefly stated, NCIP will automatically create a brief item record for a newly received returnable item in the borrowing library's circulation system and subsequently notify the patron. On the lending side, incoming requests to VDX will automatically check an item's availability in the local OPAC. In the case where the item is not-available for loan, an unmediated message will automatically be passed from VDX to the requesting entity, allowing the request to go to the next potential lender. In the case where the item is available, the item will display in the picklist and then staff can simultaneously check out the item in the circulation system by updating to shipped in VDX. Upon return, completing the transaction in VDX also checks the item in on the circulation system. In order to gain benefit from NCIP, the local integrated library system such as III, Endeavor, or ExLibris must also be NCIP compliant. All are encouraged to lobby their ILS system administrator to encourage NCIP implementation.

FDI will be making a custom patch to allow an override of the lender's due date when issuing the local due date. FDI created a fix to allow the proper passing of the lending library's account to OCLC for VDX to OCLC in lending transactions. This patch was installed by CDL and it will be used in the upcoming VDX to OCLC lending test scheduled for UCSB on January 27, 2005. As ISO-ILL issues are increasingly important for UC, the chair of the RUSA-STARS committee was directly asked about whether ISO-ILL should be a topic of discussion for that committee. This is a topic that the RUSA-

STARS sub-committee focusing on standards and codes is aware of and will be looking at more closely.

Next Conference call is April 14, 2004 from 1-3 p.m.