HOTS Annual Report to SOPAG
2005-2006

2005-2006 continued to be a very active year for HOTS, with two in person meetings and eight conference calls. In addition, several HOTS members are also members of the Shared Cataloging Program Advisory Committee and/or the Acquisitions Common Interest Group where they participated in drafting recommendations for HOTS action. Both of these groups continue to be very productive as evidenced by their annual reports.

Most HOTS activities for the year were related in one way or another to the BSTF report. HOTS presented an extensive response to the report and coordinated responses prepared by the SCP Advisory Committee and the ACIG. We have continued to respond to the spirit of the report by investigating ways to increase coordination and cooperation among technical services within the UC Libraries. A significant accomplishment was the creation of the Cataloging and Metadata Common Interest Group reporting to HOTS, one of whose key responsibilities is to "develop and recommend shared cataloging and metadata policies."

In 2006-2007 HOTS anticipates that decisions will be made by the University Librarians as to which recommendations of the BSTF report will be implemented. We expect that these decisions will guide much of our work during the current year. We will also continue to develop mechanisms for increased cooperation and coordination.

2005-2006 Goals

1. Research and develop any technical services processes needed for the effective performance of UC system-wide initiatives. Advise SOPAG on technical services standards and issues as necessary. Respond to the upcoming Bibliographic Services Task Force report and assist in further research and/or implementation of selected recommendations, as appropriate.

- Established the Cataloging and Metadata Common Interest Group (CAMCIG) to advise and assist HOTS on the development of cataloging and metadata services. The group held its first meeting on Sept. 12, 2006 at UC Irvine. The CAMCIG web site is linked from the HOTS site.
- Via a subgroup, began to explore the desirability and feasibility of sharing cataloging expertise among campuses. The investigation began in the wake of the retirement of the UCSD Japanese-language cataloger.
- Supported the creation of the UC CONSER Funnel to advance cooperative serials maintenance. This is a move toward the development of system-wide cataloging policy and the elimination of redundant local work. The funnel web site is linked from the HOTS site.
- Investigated a consortial license for the Marcive Documents Without Shelves service to provide bibliographic records for online federal documents. Consortial pricing proved to be unavailable.

- Investigated a consortial license for the ISSN Portal to assist serials cataloging. Consortial pricing proved to be unavailable.
- Currently preparing a response to a request from SOPAG to research the use of OCLC as a single data source for Melvyl and as a single cataloging tool.
- Responded to the Bibliographic Services Task Force report.
- Discussed strategies for adding URLs to bibliographic records for titles digitized by the Open Content Alliance.

2. Advise CDL on issues related to standards, practices and data quality in the Melvyl Union Catalog.

- Responded to a request from CDL for guidance on indexing and merging records for integrating resources.

3. Provide guidance and oversight to the Shared Cataloging Program Advisory Committee by responding to issues needing policy decisions and identifying potential new directions for program development.

- Discussed and approved the proposal to add field 793$g to represent the content status of electronic serials in SCP records.
- Discussed and approved the proposal to add SFX ID numbers to SCP records to assist in Verde implementation.

4. Work with the Acquisitions Common Interest Group to review acquisitions policies and practices across the University of California system.
- Requested the ACIG to respond to acquisitions-related issues raised by BSTF recommendations.
- Requested the ACIG to research and report to HOTS on the acquisitions-related issues raised by the Library of Congress series authority decision.

5. Provide guidance to the Shared Print Program by responding to issues needing policy decisions and identifying potential new directions for program development.
- Monitored developments in the IEEE and Canadian literature projects.

6. Participate as appropriate in decisions leading to the implementation of a system-wide Electronic Resources Management System.
- Monitored the progress of the ERMS Implementation Team.

7. Share information relating to digital preservation programs such as eScholarship and the Digital Preservation Repository and proactively assess their relationship to campus technical services activities.
- Shared information on the current status of electronic theses and dissertations on each campus.

8. Work with other UC groups and with organizations such as the California Library Association to create and promote education and training opportunities for UC technical services staff.
- Worked with the CONSER Funnel coordinators to provide appropriate training for staff at participating campuses.

9. Monitor metadata standards particularly with regard to interoperability and the furtherance of integrated access. Monitor innovative uses of metadata including potential uses in products outside of traditional catalogs that would provide improved intellectual access to materials.
- Monitored development of a draft METS schema for digital theses and dissertations.

2006-2007 Goals

1. Research and develop any technical services processes needed for the effective performance of UC system-wide initiatives. Advise SOPAG on technical services standards and issues as necessary. Assist in research and/or implementation of selected recommendations contained in the Bibliographic Services Task Force report as appropriate.
2. Advise CDL on issues related to standards, practices and data quality in the Melvyl Union Catalog.
3. Provide guidance and oversight to the Shared Cataloging Program Advisory Committee by responding to issues needing policy decisions and identifying potential new directions for program development.
4. Provide guidance and oversight to the Cataloging and Metadata Common Interest Group by responding to issues needing policy decisions and identifying potential new directions for development of shared cataloging and metadata policies and practices.
5. Work with the Acquisitions Common Interest Group to review acquisitions policies and practices across the University of California system.
6. Work with CDC and other groups as appropriate to improve coordination of book approval plans and current print monograph purchasing to eliminate unnecessary duplication and maximize campus resources.
7. Provide guidance to the Shared Print Program by responding to issues needing policy decisions and identifying potential new directions for program development.
8. Participate as appropriate in decisions leading to the implementation of a system-wide Electronic Resources Management System.
9. Share information relating to digital preservation programs such as eScholarship and the Digital Preservation Repository and proactively assess their relationship to campus technical services activities.
10. Work with other UC groups and with organizations such as the California Library Association to create and promote education and training opportunities for UC technical services staff.
11. Monitor metadata standards particularly with regards to interoperability and the furtherance of integrated access. Monitor innovative uses of metadata including potential

uses in products outside of traditional catalogs that would provide improved intellectual access to materials.

Submitted 10/2006 by Jim Dooley, HOTS Chair