

Joint University Librarians/SOPAG Meeting

UC Berkeley

May 7, 2004

Action Minutes

ULs attending: Don Barclay (M, for Miller), Karen Butter (SF)/convenor, Dan Greenstein (SLP/CDL), David Rios (R, for Jackson), Tom Leonard (B), Gerry Munoff (I), Sara Pritchard (SB), Brian Schottlaender (SD), Marilyn Sharrow (D), Gary Strong (LA), Robert White (SC).

SOPAG members: Patricia Cruse (CDL), Tammy Dearie (SD/LAUC), Bernie Hurley (B), Julia Kochi (SF), Kate McGirr (SC)/recorder, Marilyn Moody (SB), Phyllis Mirsky (SD), Terry Ryan (LA), Lorelei Tanji (I), John Tanno (D)/chair, Stefanie Wittenbach (R)

Absent: Bruce Miller (M)

1. UC Libraries Digital Preservation Repository

Greenstein reported that there was some confusion that surfaced in the systemwide Deans' meeting regarding e-dissertations services that will be provided via the UC libraries repository. CDL will build ingest tools (such as METS) to get unique campus materials into the repository. The ULs agreed that the distribution of the preservation policy will help alleviate any confusion and further define the services that will be provided.

ACTION: Greenstein will distribute the final preservation policy to ULs; Cruse will provide it to SOPAG, and ensure it is placed on the Preservation website.

2. Systemwide Planning Consultation Needs and Methods

The Lawrence document on strategic and operational consultation was created to address the changing needs and manage costs in light of systemwide library planning. The CDL is reviewing the current consultation structures and justifying the continuation of several advisory groups as they relate to strategic consultation needs arising from the adoption of the "Systemwide Strategic Directions for Libraries and Scholarly Information." Some advisory groups could be eliminated or their focus revamped as needed to meet these goals.

ACTION: Greenstein will re-work the recommendations and consult with SOPAG on overlapping consultative groups, looking specifically to strengthen the relationship between JSC and CDC.

3. Principles for Collaborative Collection Development

The licensing principles and framework for collaborative development for licensing, including both electronic and shared print materials, need review and possible revision. The current principles focus on price and persistence, and there is a belief that the principles should now shift to include operability issues and be reflective of our scholarly communication strategy. As collaborative collection development continues to be reviewed and revised, special consideration on the re-education of campus staff enacting the new principles should be considered. SOPAG will review the principles as they now stand and develop a regular review process.

ACTION: SOPAG will charge CDC to review and recommend the revisions. The ULs would like to review a draft principles document within several months.

4. **Provision for items from ULs' meeting**

○ **Regional Library Facilities and Persistent Collections**

After reviewing the Regional Library Facilities Task Force Report, the ULs agreed on a persistence definition, and recommended several minor changes to the draft document prepared for the discussion. Implementation issues need additional discussion by the ULs; and they may ask other groups to work on implementation issues. Prospective materials are all that are being considered at this point, with the consideration of retrospective at a later date.

ACTION: Greenstein will provide an introduction to the report and ensure the documents are mounted on the two regional library websites.

○ **Recommendation on Governance of the Regional Library Facilities**

ULs have discussed the current regional library facility governing structure and are recommending a single board for governance.

ACTION: ULs will recommend to the Provost the single governance structure. ULs will work with Greenstein and Provost on the action.

○ **JSTOR Update**

ULs have agreed to the setting of an archive of the JSTOR materials using one of two options for creating an archive at the regional library facilities. Greenstein will work with JSTOR on options 3 and 4, the feasibility of creating a dark and dim (3), and just dim (4) archive. The ULs encouraged CDL to look at incentives for the campuses (funding, release from quotas) for participation in the project. Cost for facility participation will need to be worked into the picture.

ACTION: CDL will look at various scenarios based upon the holdings on NRFL and SRLF. In the Fall they should be able to come back with draft contract, title list, and detail behaviors statements. Greenstein will be the lead on the project.

○ **Office of Scholarly Communications Discussions**

The strategic directions document was adopted by ULs in February, 2004. SLASIAC has also reviewed and approved the document and made its recommendations. The document is now available in its final form and will now serve as the framework for the UC Libraries' strategic plan. A COC and COVC skin was suggested by the Provost.

ACTION: The report will be distributed to library staff before sending it to the other stakeholders. SOPAG will work with Gary Lawrence to finalize the staff skin then the report will be distributed to the ULs to send out to their staff. The final document and the various "skins" (e.g. faculty, budget, finance) are ready to be mounted on the University of California libraries website. In addition, the document with the appropriate "skin" will be forwarded with the link to the systemwide COL.

- **CDL briefing with Provost Greenwood**

Greenstein and other CDL members recently met with new Provost Greenwood to brief her on the CDL and systemwide library structure and initiatives. Greenwood was very supportive of the CDL's work, and in particular understood the opportunities provided by focusing on scholarly communications.

- **Systemwide Planning Consultation Needs and Advisory Structure**

The ULs recommended creating a Scholarly Communications Committee to report to the ULs and replace the current e-Scholarship Committee. CDL reported that the Shared Print Director search is moving well. Additionally, the ULs are working with CDC on new methodologies for CRL membership.

ACTION: Butter will continue to work on various formulas for further discussion by the ULs. Schottlaender or Greenstein will carry the proposal to CRL. A final proposal will be forwarded to the ULs early in the Fall.

5. **SOPAG items**

Information Items from SOPAG: In its May 6th meeting SOPAG discussed the quality of scanned images as requested by the ULs. RSC is looking at quality control processes and mechanisms in place at the campuses and will report and recommend enhancements if needed. As CDL is reviewing their advisory structure in light of new systemwide planning goals, SOPAG is looking at all CIGs and the regular annual procedure on goals and objectives as a mechanism for their continuing viability.

5a. Workshop on Government Information

SOPAG presented the proposal for a workshop on Government Information designed to move the ULs agenda forward toward the creation of a UC government document repository. Pritchard suggested that the new ARL government document project should be on the agenda for discussion at the workshop. The ARL proposal should be discussed in light of how it fits with other priorities and initiatives we are conducting at UC.

ACTION: The ULs endorsed the workshop agenda and SOPAG will move toward an October date for the workshop.

5b. Information Literacy Update

SOPAG will appoint Sarah McDaniel (B) as the LAUC member for the Information Literacy work group. After Tanno presented the draft proposal recommended by the interest group for a series of workshops, the ULs advised that there are other opportunities that currently exist to strive toward the same goals as outlined in the proposal. Instead of the workshops proposed, the ULs suggested convening a group for sharing current best practices and looking at ways to evaluate and capture data to assess the impact of our information literacy efforts. The group should be encouraged to look at "best practices" nationally to share with each other and look at feasibility for adoption of these practices at the individual campuses.

ACTION: SOPAG will report back to the CIG asking them to define any unmet needs in this area about this topic, and ask the CIG to look at the strategic planning document

under the shared services concept related to information literacy to see if there are opportunities to explore within that focus.

5c. Electronic Resource Management System (ERMS)

Tanno had forwarded the charge which was an outcome of the March planning group meeting to the ULs. The task force will explore specifications and systems to provide a needs statement and an overview of the current viable systems in the market.

ACTION: The task force will provide a report by July 23rd SOPAG meeting with recommendations on the next steps.

5d. HOTS Common Interest Group on Acquisitions

Discussion took place concerning the need for this CIG. The ULs endorsed the creation of the CIG.

ACTION: Tanno will let HOTS know that the CIG has been approved.

5e. Digital Visual Resources

Tanno described the long evolution of this topic over the last year which now includes comments back from the campuses on the original report. A summary has been forwarded to Laine Farley to analyze the similarities between the recommendations from the report and the probable outcomes from the current pilot on the Image Demonstrator Project. SOPAG is waiting to review the outcome of this analysis and address the issues that arise from the pilots. Tanno asked the ULs to reconfirm the priority of this activity. Greenstein reminded the group that CDL is looking at this issue currently in several different areas: curatorial aspects of LUNA; teaching and personal collections not necessarily available to the public; collections of: cultural materials, art history; and SF is looking at ways to manage images and other media used for teaching basic and clinical sciences.. There is a concern that we could be moving toward the creation of several un-interoperable systems which begs the discussion about the founding principles for creating an interoperable digital visual resource repository for the UC libraries. It was agreed that architecture decisions will need clarification for SOPAG to continue its work in this area.

ACTION: Greenstein will work with CDL to provide clarification on their efforts in architectural understanding of what image assets are and their lifecycle. Dialogue and the creation of a range of structural options will be developed between CDL and SOPAG and recommended to the ULs. Butter will conduct additional discussion with the ULs either in June or September to refocus our digital visual resources efforts.

5f. Elsevier/ACM Pilot Project Assessment

Tanno reported the project is working really well and a good model for prospective print. SOPAG believes the pilot is over and should move to production. In order for this to happen funding models must be developed. As an example, SOPAG reported that Kluwer and Wiley processing will be around 40k annually.

ACTION: CDL will develop some funding models for continuation of the project on a permanent basis for additional discussion. The assessment group should be discharged by

SOPAG with thanks for their work. The other recommendations (app. E) will be taken up by CDL Shared Print Director (once hired) and the SLP group.

5g. ARL Proposal for Digitizing Government Documents

After a SOPAG update on the Government Documents Task Force shared collection project, a discussion about the current ARL proposal for digitizing government documents, and a recognition that the GPO and ARL product could inform our direction with government documents, both SOPAG and the ULs agreed that there are a number of tracks in which to move upon in dealing with government documents and we must decide what is our highest priority. Additional discussions about the possibilities and where to use the limited resources should include: digitizing high use materials, capturing born digital, defining the trusted repository, looking at retrospective low use print, and the feasibility of folding print government documents into the whole shared print process.

ACTION: The pilot project will be put on hold until some of the issues discussed are better defined. The ULs will place the issue of defining the depository focus on their June agenda after reviewing the results of the discussion at the ARL conference next week. SOPAG will redefine the landscape of the project as the priorities to direct our resources and a definition of the repository can be defined. As a separate project, SOPAG was encouraged to investigate the value and full range of services that could be provided by implementing digitization centers (possibly at the RLFs).