Final Minutes - Joint UL/SOPAG meeting 11/28/07

Joint University Librarians/SOPAG Meeting Minutes
Wednesday, November 28, 2007

Location: UC Los Angeles, Young Research Library

University Librarians: Tom Leonard (UCB, Chair); Gary Strong (UCLA); Ruth Jackson (UCR); Ginny Steele (UCSC); Brian Schottlaender (UCSD); Patrick Dawson (UCSB, and SOPAG); Karen Butter (UCSF); Laine Farley (CDL); Bruce Miller (UCM); Karen Butter (UCSF); Gail Yokote (UCD, for Marilyn Sharrow)

SOPAG: Lorelei Tanji (UCI, Chair); Bernie Hurley (UCB); Terry Ryan (UCLA); Diane Bisom (UCR); Luc Declerck (UCSD, Recorder); Trisha Cruse (CDL); Mary Linn Bergstrom (LAUC); Julia Kochi (UCSF)

Guests : Gary Lawrence (UCOP); Sue Santon and Kathy Fitzgerald (UCLA Facilities Planning)

Absent: G. Munoff (UCI) ; Kate McGirr (UCSC); Marilyn Sharrow (UCD)

1. Reports of the HOPS/SOPAG workshop on the future of public services

The ULs reviewed the HOPS report on the 5 Big Ideas for the Future of Public Services and the 11/15/05 SOPAG memo on the 3 Big Ideas with interest and would like HOPS to flesh out the 3 most promising ideas in further detail. The ULs would like a 2-3 page report. The report should contain an expanded articulation of the ideas, an assessment of the resources required to deliver on each idea, and a clear indication of which idea HOPS would prefer to pursue should it only be possible to proceed with one. The ULs recognize that there is considerable overlap among the three most promising ideas and that it may be difficult to disambiguate one idea from the others. The ULs urge HOPS to think at a high conceptual level, consider the existing momentum generated by the UC/OCLC WorldCat Local Pilot and Mass Digitization projects, and specifically consider the significant impact those projects will have on the user experience. One possible fruitful direction, for example, would be for HOPS to re-conceptualize public services in the face of WorldCat Local and Mass Digitization. The above request does not preclude HOPS from investigating/moving on other smaller efforts via the CIGs or other vehicles.

ACTION: SOPAG will requests HOPS to elaborate on the three most promising ideas and recommend the one idea HOPS would prefer to pursue should it only be possible to proceed with one.

2. Mass digitization in relation to local catalogs

The ULs accepted Patti Martin's 11/19/07 memorandum in spirit. The ULs agree that ingesting mass digitized content bibliographic records into local ILSs is not desirable in the immediate term, but find that the reasons which have thus far been articulated to justify the decision are too technical services-centric. Challenges associated with the size of the effort are not the only reasons to exclude mass digitized content bibliographic

records from ILSs. There are important public services reasons as well and the ULs would like to see those reasons articulated before the explanation is made public. B. Hurley pointed out that the reasons for excluding mass digitized content bibliographic records from Melvyl should be included in the same message. Proposed locations for the articulation include the HOPS FAQ, which is in progress, and the UC/OCLC Pilot Implementation website at http://libraries.universityofcalifornia.edu/about/uc_oclc.html. Before leaving this topic, it was emphasized that the decision not to include mass digitized content bibliographic records in Melvyl and ILSs is temporary in nature. It is premature to make a final decision and the ULs fully expect to revisit this question as the project unfolds.

3. Update from University Librarians' meeting of November 27, 2007

B. Schottlaender reported on topics discussed at the ULs meeting the previous day.

• Google: Visits to UCSD and UCSC have been scheduled. Any press releases regarding the expansion of Google digitization to the campuses will be coordinated by the ULs with UCOP Strategic Communications and Google.

• Bibliographic Services Task Force: The UC/OCLC Implementation Team is coming to the end of the analysis/design phase and moving into preparations for the pilot, which is scheduled for April 2008. There are a number of actions that each campus will need to invest effort in. These include: record reclamation, testing and configuring Z39.50 servers, confirming WorldCat Local settings, and preparing for the launch. The pilot assessment phase will probably extend to the end of December and the partnership between UC and OCLC will be evaluated against success criteria developed by the UC/OCLC Executive Team.

• Resource Sharing Budget: The budget is in deficit. Systemwide funds can cover the 2007/08 fiscal year but ULs are concerned about future years. The UL Steering Committee will prepare a charge asking CDC to review expenditures and recommend options for reducing central funding. The report will be due by mid-February 2008. The ULs will also ask for a review of the shared print budget.

• Systemwide Strategic Directions: The ULs had a long discussion regarding systemwide activities and the possible addition of data curation services, amongst other things. G. Lawrence and G. Strong will be drafting text for addition to the Strategic Directions document, although there is also the possibility that it may soon be time to create a whole new document.

• Binding Report: The ULs endorsed some of the recommendations in the report and asked D. Martinelli for additional information regarding the feasibility of certain recommendations.

• Digital Preservation: A draft policy which will include criteria for the deposit of materials by UC and non-UC depositors into the Digital Preservation Repository (DPR) is close to completion. The ULs have also endorsed the SLFB to serve as the governing body for Digital Preservation.

4. Planning for SRLF Phase 3: programs and services

G. Lawrence invited all present, including guests Sue Santon and Kathy Fitzgerald from UCLA Facilities Planning, to comment, brainstorm, and provide advice and feedback regarding the SRLF Phase 3 planning process.

ACTION: B. Hurley to send past NRLF planning documents to UCLA

ACTION: Sue Santon, Kathy Fitzgerald, and Gary Strong will identify next steps

5. SOPAG Items

a. SOPAG Collaborative Principles

B. Hurley reported that SOPAG had held a "lessons learned" exercise based on the systemwide project to install the ILL VDX system and had identified a number of areas where processes could be improved or better defined. One such area is the allocation of responsibilities. The UC Libraries have had a tendency to appoint a single individual to cover a wide range of project management responsibilities which is often too much for a single person to handle. After much discussion and review, SOPAG crafted a document intended to guide the allocation of roles and responsibilities both centrally and on campuses. SOPAG intends to use it when the next systemwide project is undertaken. G. Steele remarked that the document was very helpful, but that in her experience systemwide project issues often arise due to a perceived lack of clarity about the level of buy-in from ULs. Following additional discussion, it was agreed that the ULs need to be advocates for all Systemwide projects and that advocacy means making sure that sufficient resources (both financial and personnel) are provided to ensure the success of projects. The ULs also noted that since most UC staff involved in Systemwide projects are LAUC members, LAUC is a de facto member of Systemwide projects.

b. SOPAG Task Force on Electronic Theses and Dissertations: Charge

T. Cruse reported that members of the Scholarly Publishing Group had met with campus Graduate Deans and were told that ETD discussions will be picked up again in a year. Campus Libraries, however, are very interested in addressing the issues sooner and do not want to wait another year. In response to this interest, SOPAG will launch a Task Group charged to address the issues in two phases. Phase 1 will be a pilot study of the issues and identification of workflows with campuses that are already, or soon will be, submitting ETDs electronically. Phase 2 will be informed by the activities of Phase 1 and be expanded to include other campuses that are interested in submitting EDTs electronically. The initial focus will be on the preservation of ETDs but public access and collection management issues will also be taken into consideration.

c. CDC

J. Kochi reported that CDC is working on criteria for the purchase of shared print collections and the evaluation of resources to support and manage new shared print collections. She also reminded the ULs that Elsevier title adjustments will continue for one more year since the contract goes to 2009. The RLF De-duplication Task Force is

continuing its work and will send a draft report to CDC by the end of November 2007. The Joint SCO/CDC Task Force report on criteria to determine UC's support for transformative scholarly publishing models has been distributed to CDC and will be ready in time for next UL meeting on February 4, 2008.