UC-WorldCatLocal Pilot and Circulation Transactions

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The UNIVERSITY *of* CALIFORNIA LIBRARIES

August 2007 CAG Survey

A	B	C	D	E	F
	Please respond to each question below for your location in the appropriate column to the right. Feel free to expand any cell's dimensions to accommodate your response. Be concise but complete in your response.	**UC/OCLC Implementation Team, Circulation Transaction Group surve and recalls. Please send your completed response to johnson@lib p.m. Wednesday, August 8, 2007.**			
		UCD	UCB	UCSF	UCSC
		ExLibris/Aleph	Homegrown	III Millennium	III Millennium
1	How do you define "**holds**" for circulation transactions?	Holds are passive requests	A hold is done when a patron wants something currently checked out to another patron. The hold gives the material to the second patron when the first patron returns the item. A hold automatically generates a recall of the item. In addition, a patron can page an NRLF item, whether currently checked out or not. If the patron has BAKER privileges, he/she can page any item for a fee. Paging an item retrieves it for the patron, if not currently checked out, and delivers it to the library pickup point of their choice. If it is currently checked out, the item will be recalled by a library staff member and delivered on return. If an item is not at NRLF, is not checked out, and patron does not have BAKER privileges, he/she must retrieve	Patron waiting to be the 'next in line' for a book that is checked out. Patron willing to wait until the due date.	Holds are passive requests. Patron waitin to be next in line for an item that is currently checked out, but willing to wait until due date. Holds may not be placed an items that are currently on shelf.
2	How do you define "**recalls**" for circulation transactions?	Recalls are active - they result in a system-generated request for the early return of a book	A hold can only be placed on an item currently checked out. A hold automatically generates a recall of the item. The patron who has it checked out receives notification to return the material for another borrower. At the time of the recall, if the item was due more than 5 days in the future, the recall due date is	Patron waiting to be the 'next in line' for a book that is checked out, but would	Recalls are active - they result in a system-generated request for t early return of a book

Outline

- UC-WorldCat Local (WCL) origins
- Circulation Transactions Task Group Charge
- Relationship to NCIP
- CDL Request and campus DDS with WCL
- PlaceHold Example in WCL
- Next steps…

UC-WorldCatLocal (WCL) Origins

- Bibliographic Services Task Force Report
  - Published December 2005
  - 79 pages long
  - Recommendations for delivering services

Some BSTF Recommendations

- Enhancing search and retrieval
- Rearchitecting the OPAC
- Adopting new cataloging practices
- Supporting continuous improvement

BSTF Final Report

http://libraries.universityofcalifornia.edu/sopag/BSTF/Final.pdf

BSTF and Circulation

I. Enhancing Search and Retrieval

I.1 Provide users with direct access to item

I.1b: Provide an "I-want-this" button that is present when the context warrants, with the goal of always offering a fulfillment option. No dead ends.

II. Rearchitecting the OPAC

II.1 Create a single catalog interface for all of UC

II.1a: Create a single catalog interface for both local and system wide collections.

Implementing the BSTF Recommendations

- UC System wide collaboration
  - 1 Executive Team (5 members)
  - 1 Implementation Team (9 members)
  - 18 UC Task Groups
  - 3 Joint UC-OCLC Work Groups
  - 1 Pending Task Group

http://libraries.universityofcalifornia.edu/about/uc_oclc.html#launch

Circulation Transactions Task Group

- Penny Bertrang (UCB, GLADIS)
- Kymberly Goodson (UCSD, III)
- Carl Hunt (UCLA, Voyager)
- Gary Johnson (UCSB, ExLibris)

Circulation Transactions Task Group Charge

http://libraries.universityofcalifornia.edu/about/uc_oclc.html#bstf

NISO Circulation Interchange Protocol (Z39.83-2002)

- This standard will define the various transactions needed to support circulation activities among independent library systems. Circulation activities include patron and item inquiry and update transactions, such as hold or reserve, check-out, renew, and check-in. (http://findarticles.com/p/articles/mi_hb5777/is_200209/ai_n23786197)

- Z39.83 Protocol (http://www.niso.org/home)

UC CDL Request (and DDS)

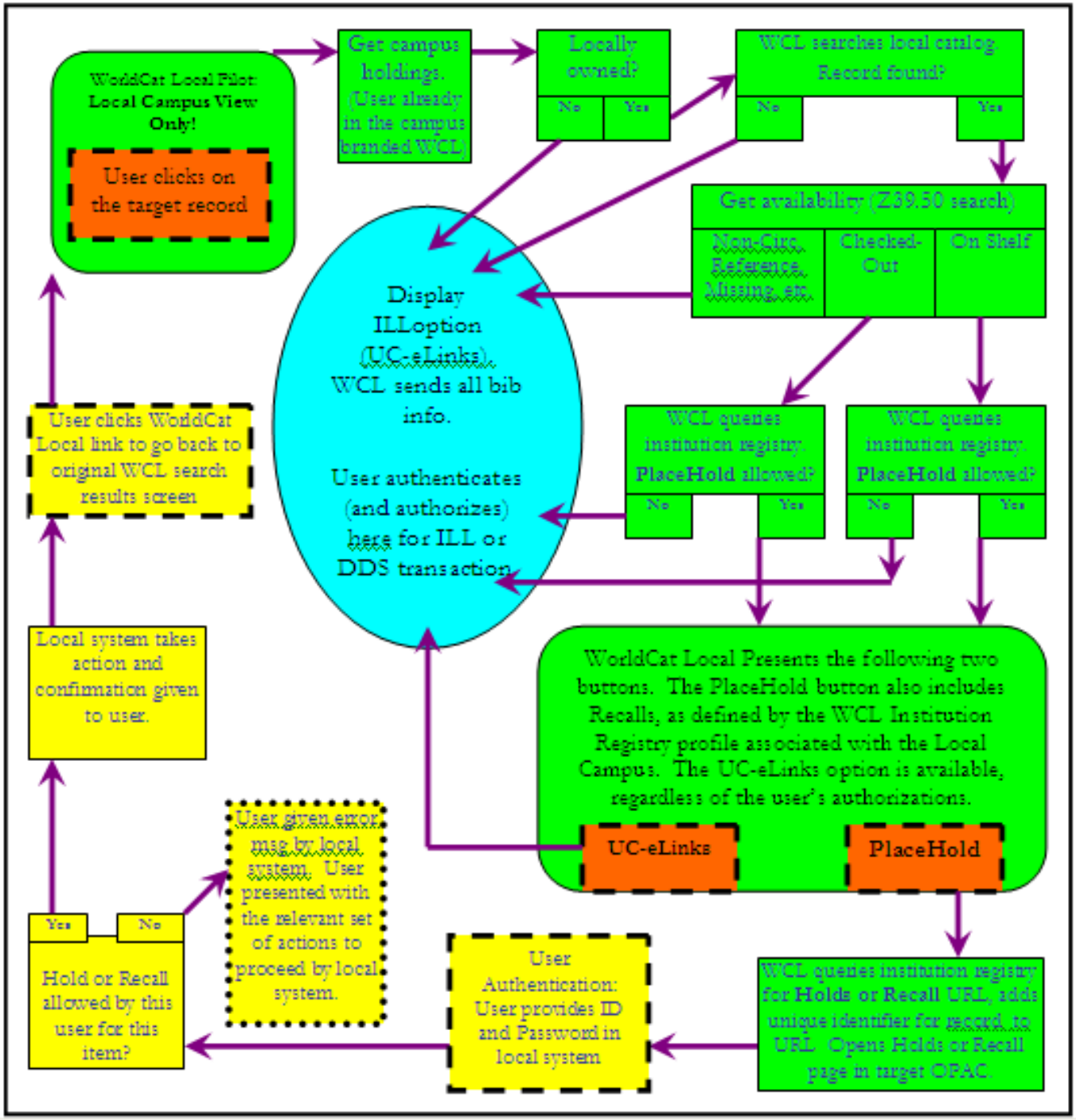
- CDL Request utilizes …
  - Authentication (user validation)
  - Authorization (user privileges)
- Users authenticated in local system

  (active account, no blocks, no expiry)
- Users authorized in CDL Request “fulfillment service” (ex: user eligible for campus DDS)

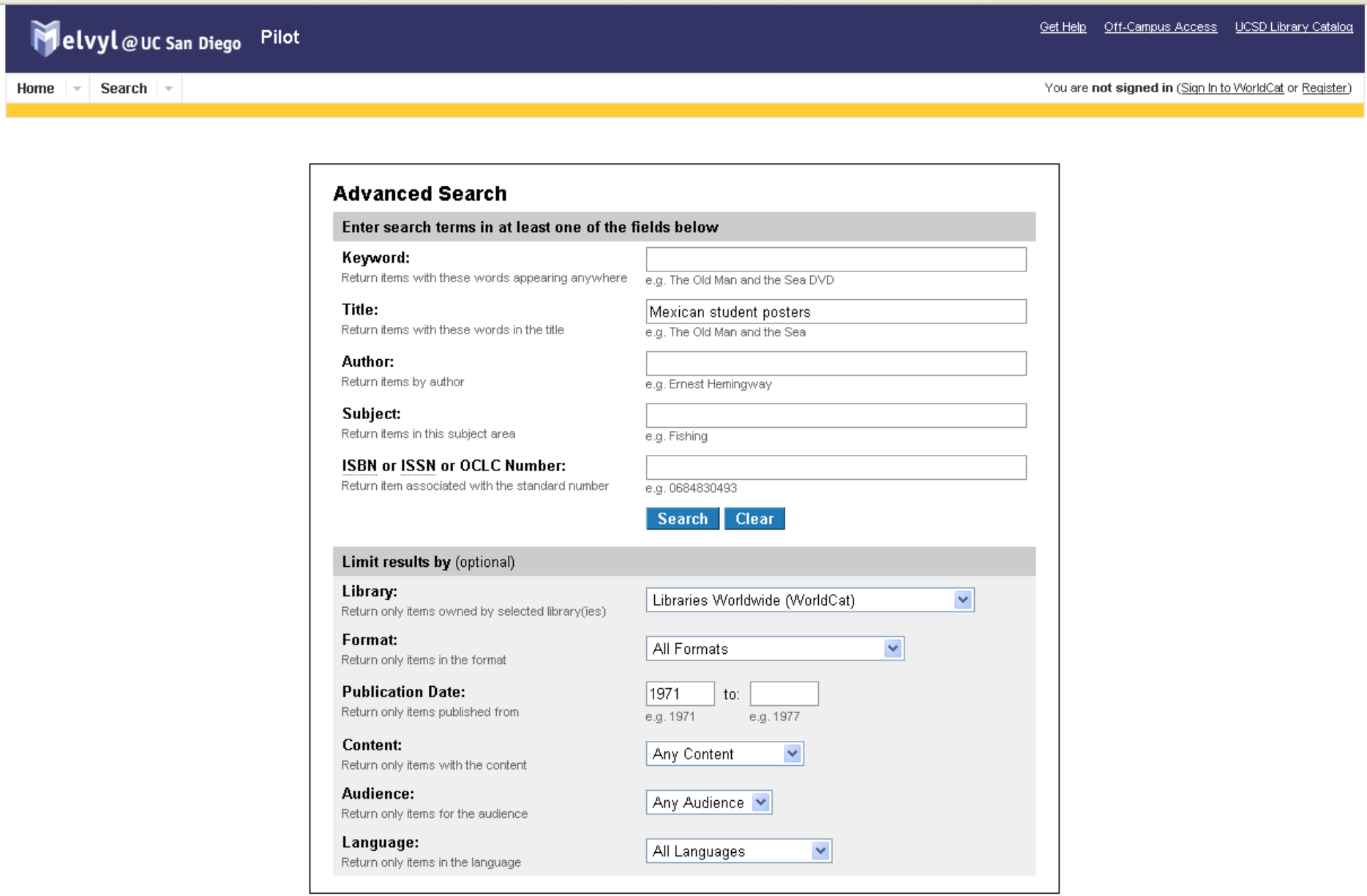
UC-WCL Principles

- Do No Harm (preserve services!)
  - CDL Request
  - UC-eLinks
  - Campus DDS
- Expand services to include Circ Transactions
  - Holds & recalls (but for local stuff only!)
- User authentication on UC side only!
  - But….NCIP not yet implemented by UC's ILSs!

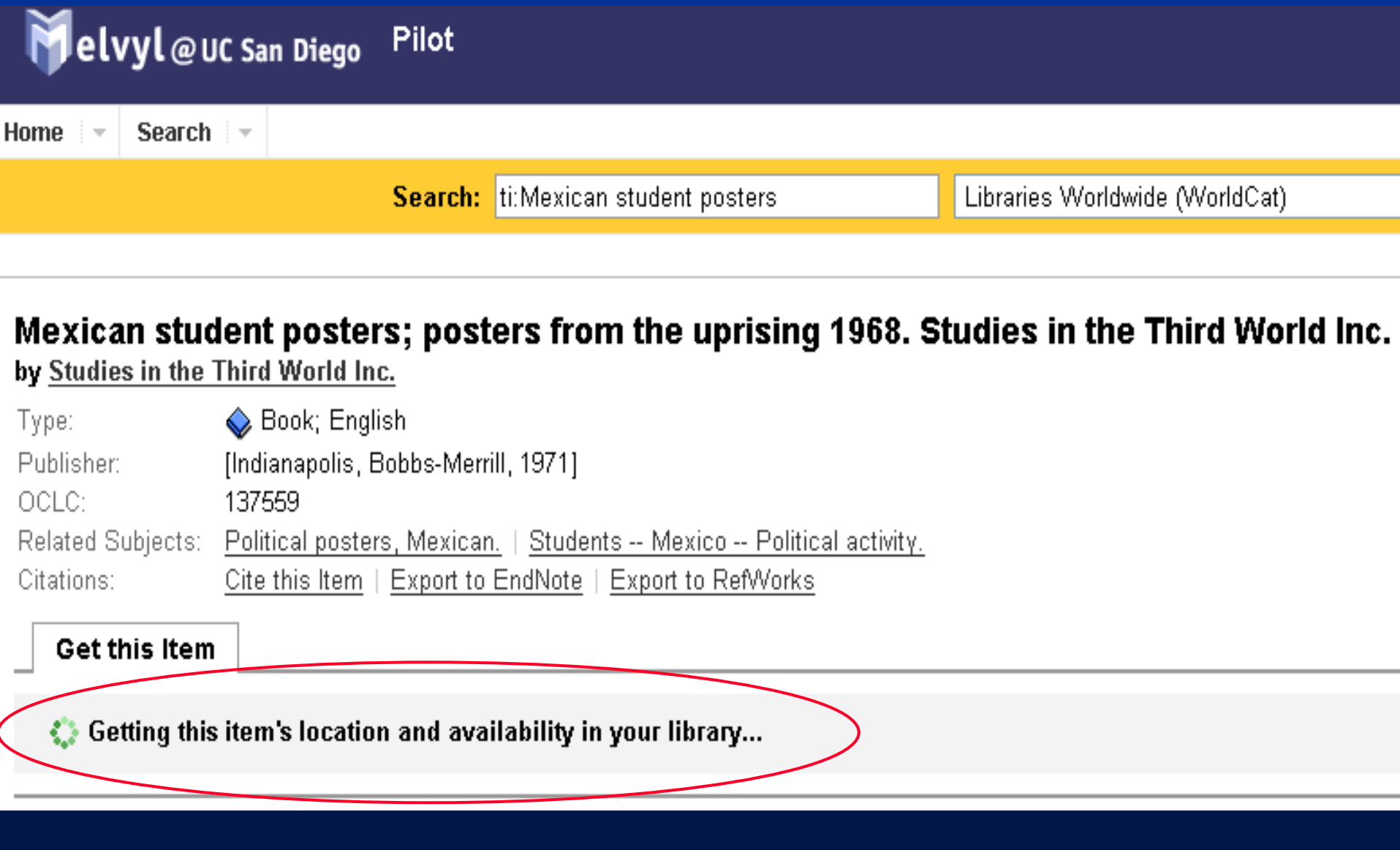
Over The Wall



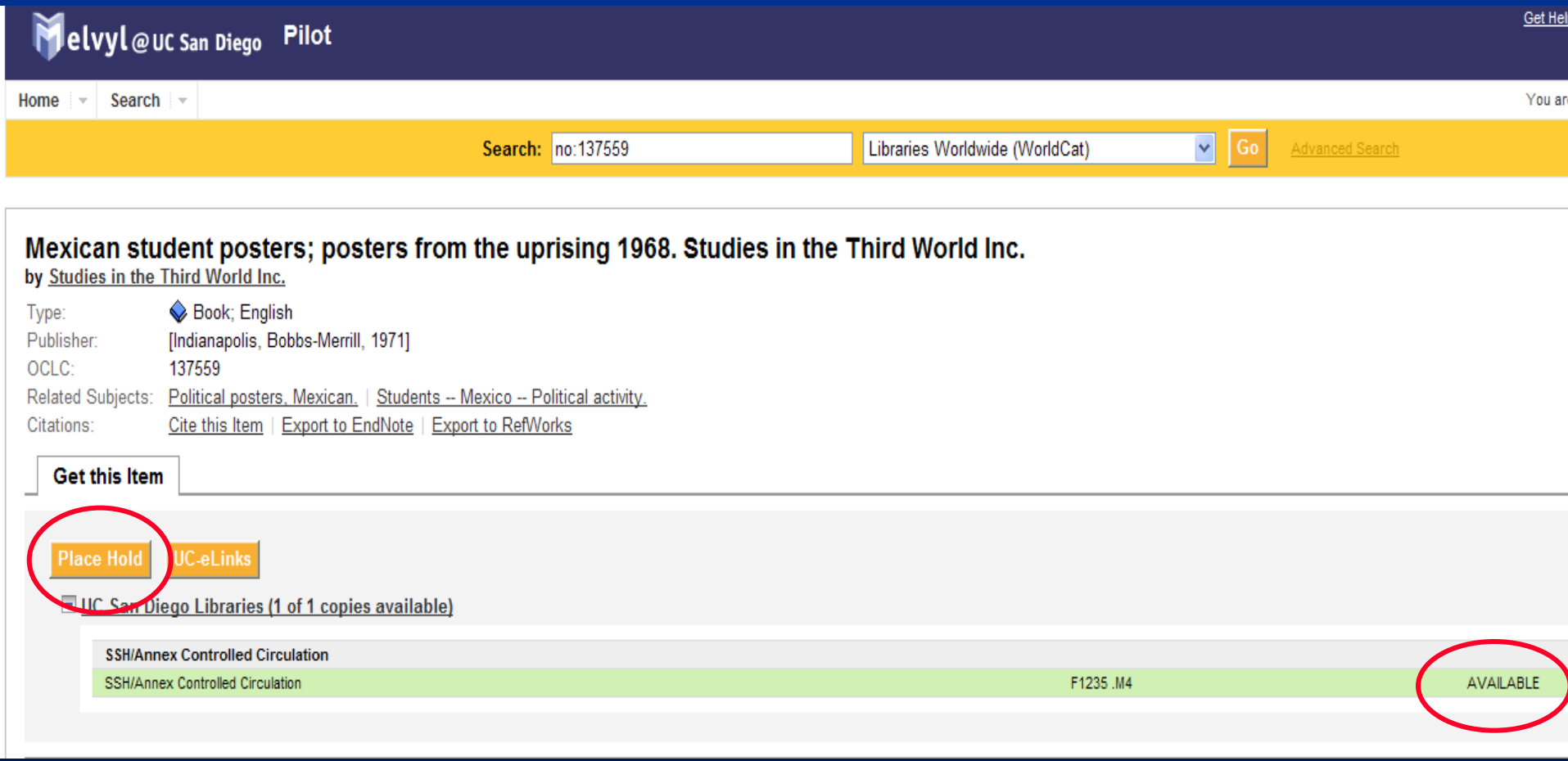
WCL Advanced Search



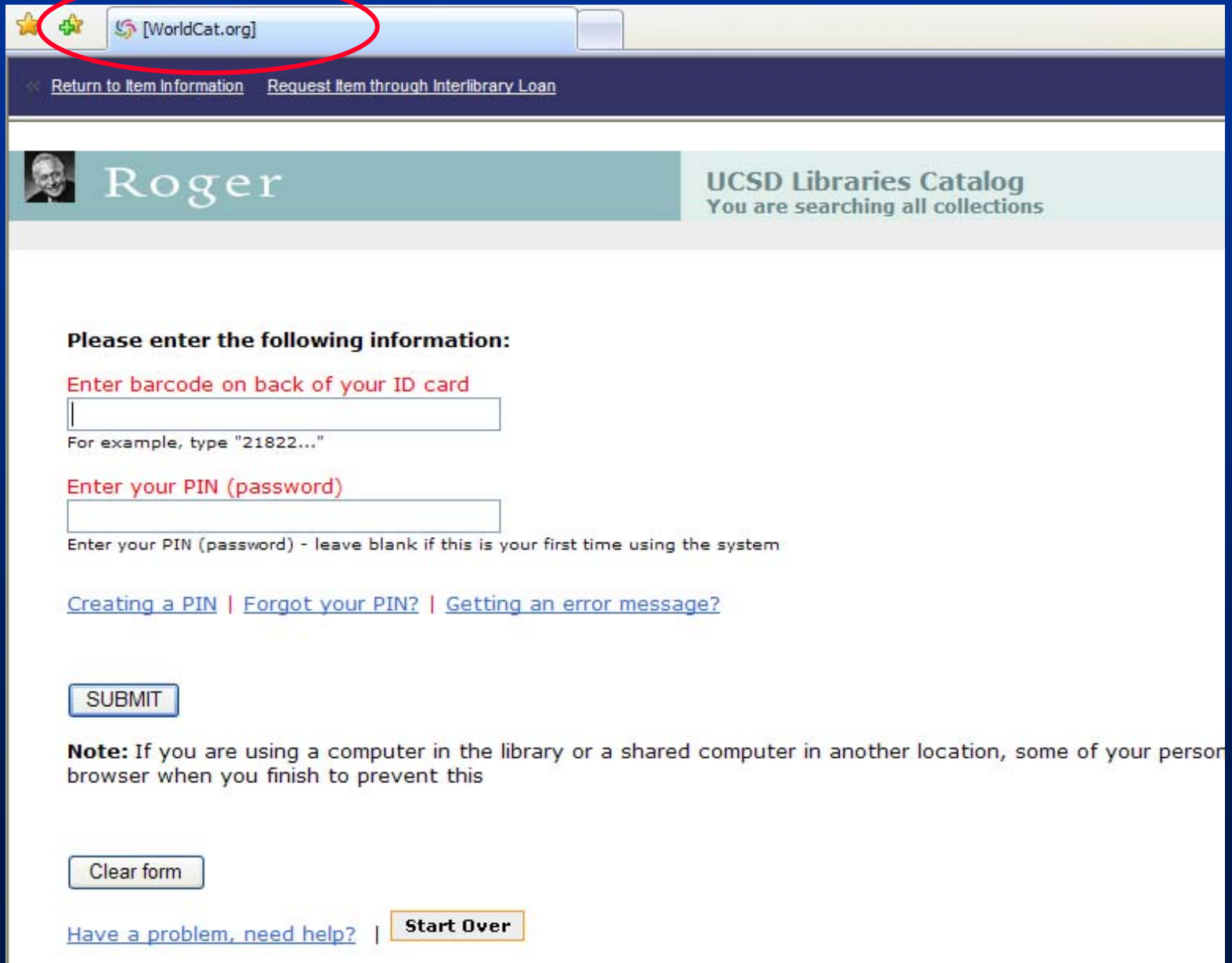
Availability Retrieved



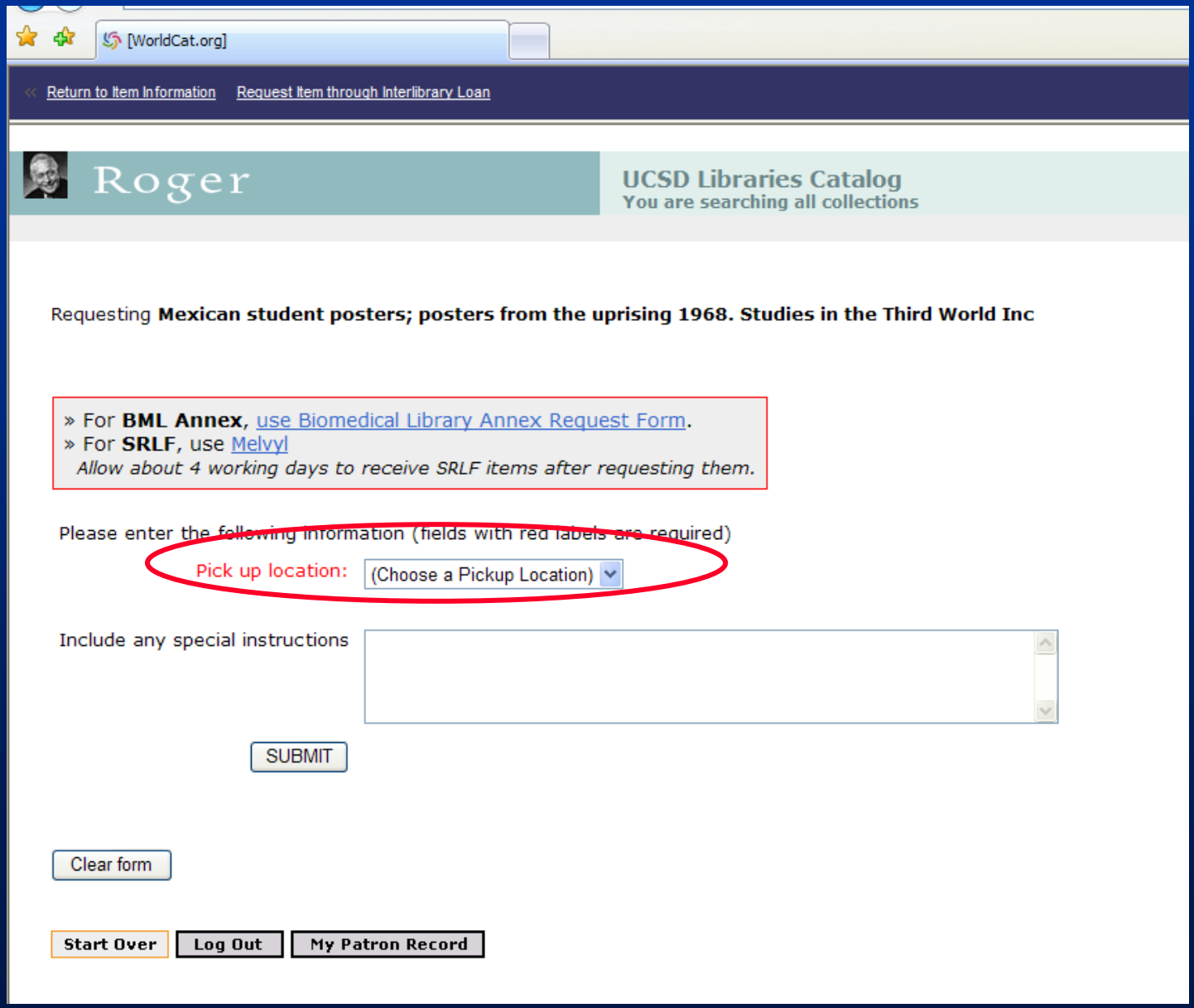
Place Hold Button Presented



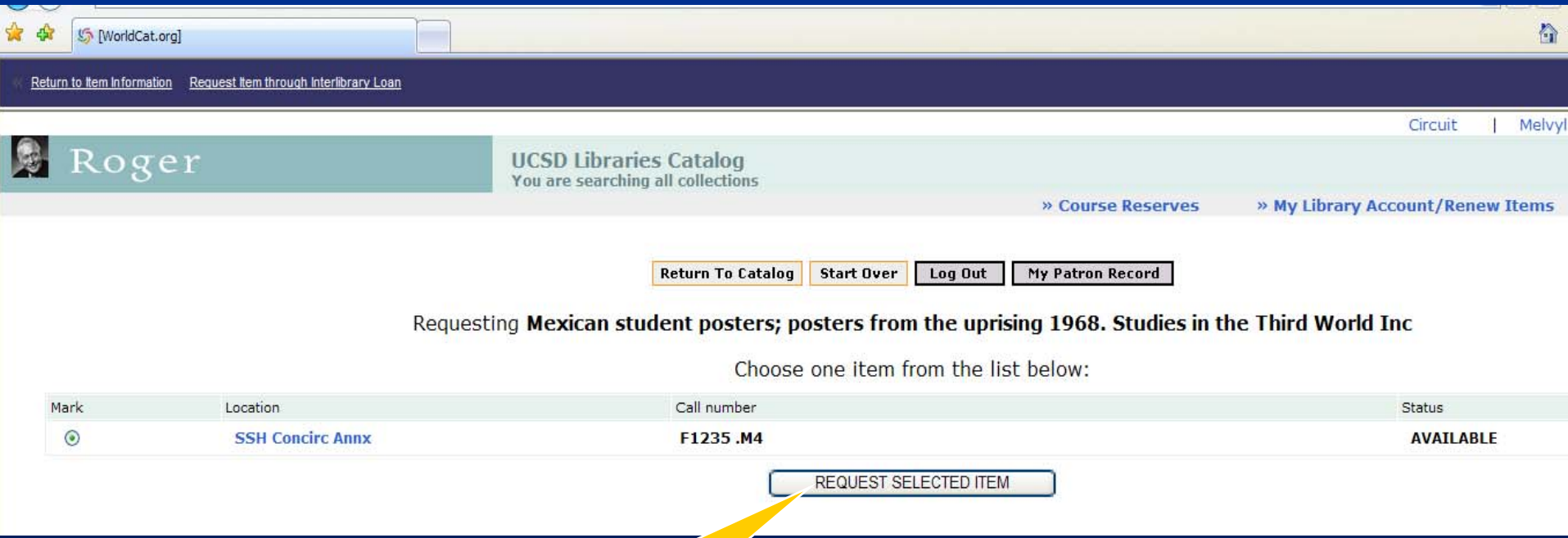
Over The Wall



User Selects Pick Up Loc

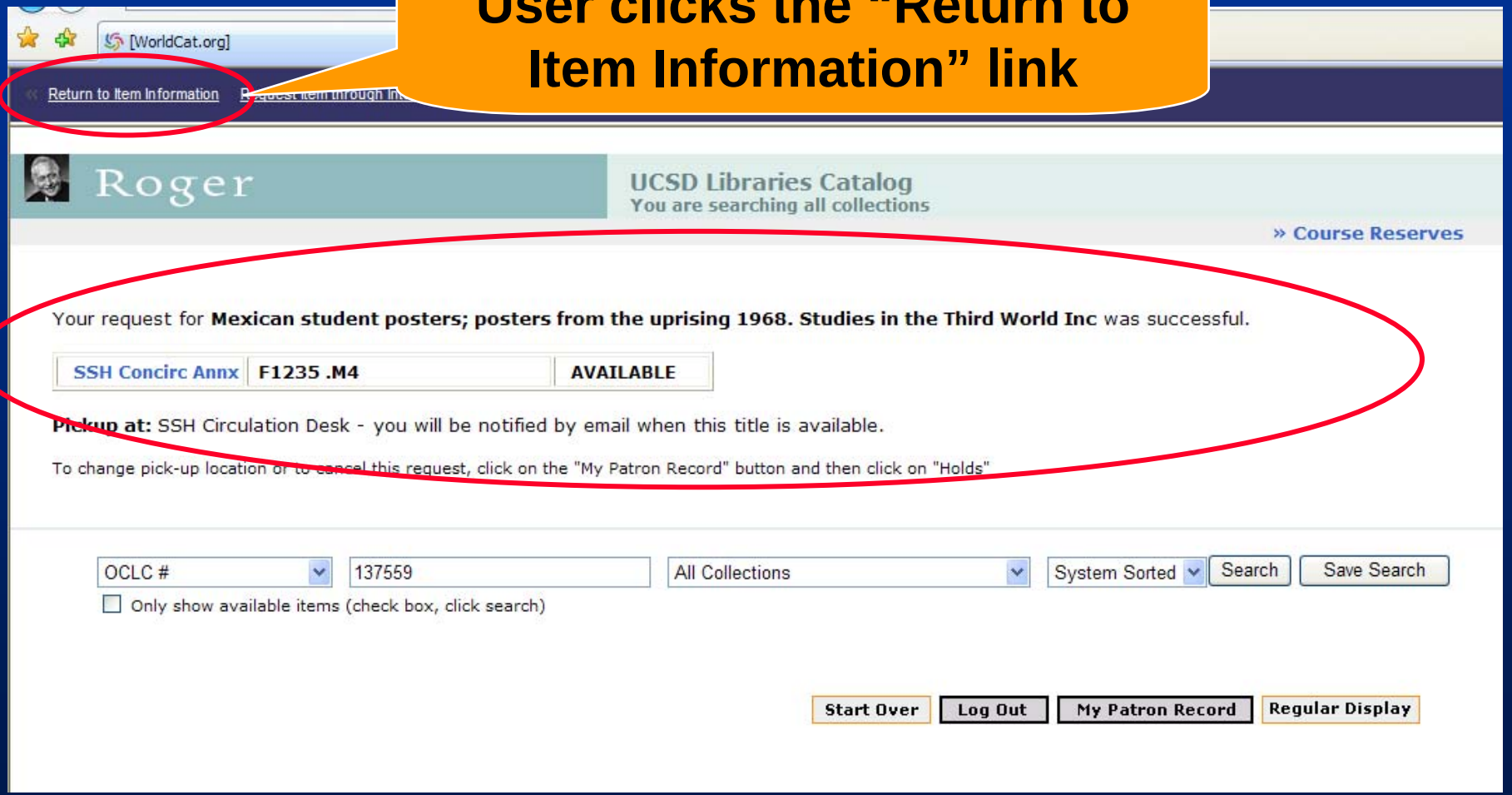


Local OPAC Item Record Displays

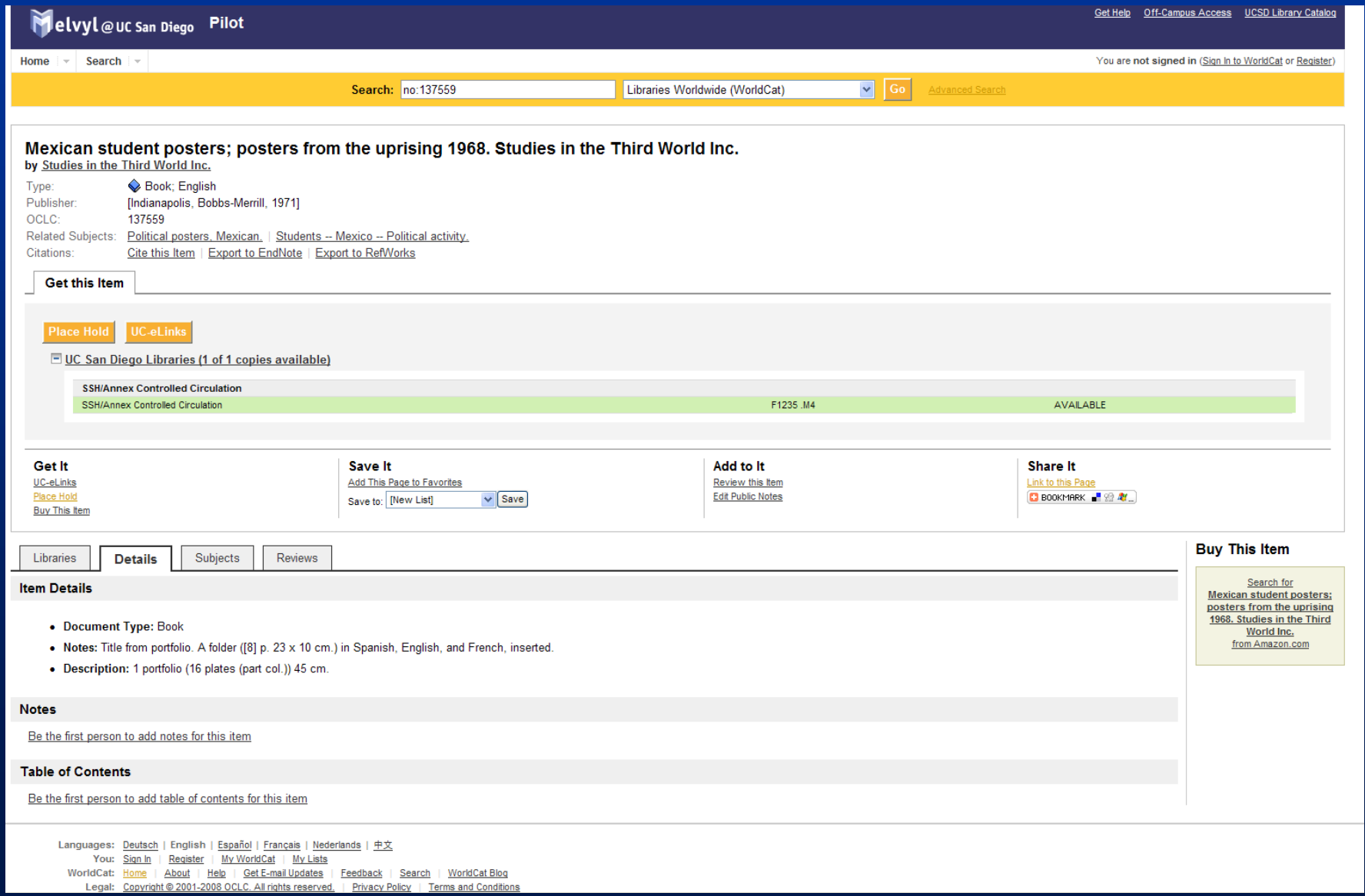


User clicks the "Request" button

Confirmation Screen & Exit Option



User Returned to WCL Record



WCL Institution Registry & PlaceHold

- WCL Registry does not know if user is authorized to take intended action in the local OPAC
- Some users may be dropped “Over the Wall” and learn that their intended action cannot be done
- Institution Registry for PlaceHolds dependent on:
  - Successfully finding the local record
  - Retrieving the item’s availability
  - Configuration of Status Message Table for campus
  - Configuration of Library Policies Table for campus

Next-Generation Melvyl Timeline

Pilot begins in April 2008 and lasts at least six months

During the pilot, staff will conduct formal usability testing gathering input from UC students, faculty, staff, and other users to determine how well the system meets their needs

In fall, a more fully developed Request system will be in place—fewer clicks for users to get to the item

Following the completion of the pilot, a decision will be made about whether to move forward or to pursue other options

This slide from CDL information mailing sent to UC public services in mid-March, 2008 and entitled, "Next-Generation Melvyl Pilot supported by WorldCat Local: The Future of Searching," slide # 7

Questions?