

## Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)

Meeting Minutes  
May 30, 2018 (10:00 – 3:00)

Chair: Scott Waugh  
Note Taker: Danielle Westbrook

**Members in attendance:**

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| Scott Waugh (UCLA, SLASIAC Chair)      | M. Elizabeth Cowell (UCSC)                 | Rich Schneider (UCSF, UCOLASC Chair) |
| Deb Agarwal (LBNL)                     | Rita Hao (UCOP)                            | Mark Seielstad (UCSF)                |
| Shaun Bowler (UCR, Graduate Dean rep.) | Ted Huang (UCOP)                           | Wendy Streitz (UCOP)                 |
| Susan Carlson (UCOP)                   | Angus MacDonald (UCOP)                     | Jenn Stringer (UCB, ETLG rep.)       |
| Jennifer Chan (UCLA, LAUC rep.)        | Steven Mandeville-Gamble (UCR, CoUL Chair) | Tim Sullivan (UC Press)              |
| Thomas Cogswell (UCR)                  | Michael Pazzani (UCR, Research VC rep.),   | Günter Waibel (CDL/UCOP)             |

**Regrets:** Tom Andriola (UCOP), Susanna Elm (UCB), Shane White (Academic Senate)

**Guests:** Oscar Luna (CDL), Stephen Abrams (CDL), Jeffrey MacKie-Mason (guest), Eric Bakovic (guest)

**Consultants:** Ivy Anderson (CDL), Catherine Mitchell (CDL)

**Staff:** Danielle Westbrook (CDL)

| Agenda Item               | Time             | Duration | Responsible  | Documents | Outcome/Goal                               |
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| 1. CDL collections update | 10:00 –<br>10:45 | 00:45    | Ivy Anderson |           | Update. Opportunity for questions, if any. |

As noted at the March 09 SLASIAC meeting, the UC libraries have forecasted a collections budget shortfall for 18/19. The libraries are still determining the magnitude of what needs to be cut, but the campus collections officers and CDL are assessing the impact of various cancellation scenarios. Usage is one data point in the multi-factored algorithm used to assess journal package value. The libraries are also assessing alternative access methods (e.g. open access). The UC Libraries own the backfiles for most journals currently licensed, so the potential loss in access is for prospective content. At the campus level, libraries are working with their faculty to mitigate the impact of journal cancellations.

The Council of University Librarians (CoUL) has organized a Publisher Agreements Task Force to establish UC’s strategic objectives for the 18/19 license renewal negotiations and to guide both internal and external communications. The task force membership consists of four CoUL members, CDL’s Director for Collections (Ivy Anderson), two campus collections officers, and CDL staff. The task force will consult with administrative leaders from OP, Provosts/EVCs, and faculty; UC stakeholder representatives might also be asked to join the task force.

One SLASIAC member noted that a broader coalition with other academic institutions might be favorable for negotiations. SLASIAC members agreed that communicating with faculty, students, and researchers about negotiation strategies and goals will be important.

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| 2. Framing for 2019 licensed content negotiations | 10:45 –<br>11:30 | 00:45 | Günter Waibel, Rich Schneider, Ivy Anderson , Jeffrey MacKie-Mason, Eric Bakovic | - Negotiating Journal Agreements at UC: A Call to Action | Discuss and vote on endorsing the document. |
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The framing document, titled “Negotiating Journal Agreements at UC: A Call to Action,” covers issues that SLASIAC and other systemwide library and scholarly communication committees have talked about at length. However, the Call to Action will be part of a public communication strategy that describes the University’s need to reduce costs and responsibly transition funding from subscription-based to open access (OA) journal publishing. Both CoUL and UCOLASC unanimously endorsed the Call to Action.

In addition to speaking with consortia and research institutions in Europe, the framing document group members have also been in conversation with other large North American research institutions about cost reduction and transitioning to OA. While the Call to Action focuses on steps that can be taken during the upcoming journal renewal negotiations, the UC Libraries have released the Pathways to OA, which more broadly discusses strategies and models for achieving large-scale transition to OA.

A SLASIAC member recommended issuing a press release for the Call to Action, to connect with a wider audience. SLASIAC members also noted the need to communicate and conduct outreach with faculty, students, and researchers. As well, while the Call to Action is an important framing document, its message needs to be disseminated in a variety of ways to adequately get the word out (including brief, more easily consumed articles and documents).

**Decision:** SLASIAC members request that the Call to Action be revised to clarify that the Declaration of Rights and Principles is a UCOLASC document. SLASIAC endorses “Negotiating Journal Agreements at UC: A Call to Action” with the aforementioned edit.

**Action:** Once revised, “Negotiating Journal Agreements at UC: A Call to Action” will be posted to the SLASIAC website and shared more broadly.

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| 3. Update from the CoVC meeting | 11:30 –<br>11:40 | 00:10 | Scott Waugh | - CoUL’s slide deck for the CoVC | Update. Opportunity for questions, if any. |
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On behalf of CoUL, MacKenzie Smith (University Librarian & Vice Provost of Digital Scholarship, UC Davis) spoke to the Council of Vice Chancellors (CoVC) about the interdependence of UC libraries’ budgets for licensed content. The CoVC has committed to continue to discuss how systemwide resources (i.e. community goods) might be funded in future.

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| 4. CDL update | 11:40 –<br>12:25 | 00:45 | Günter Waibel | - CDL Strategic Vision<br>- CDL blog post on the strategic vision |  |
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The CDL Strategic Vision is now available on [the CDL website](#), and an accompanying blog post has also been [published](#).

As previously reported, known inflationary pressures and a flat or reduced CDL budget over the last three cycles has led to a significant budget shortfall. CDL’s budget shortfall for 17/18 was \$1.4M, but it has been mitigated due to several one-time events, including a grant, carryforward from 16/17, and salary savings. Savings from Academic Affairs have also been allotted to the CDL to help manage systemwide licensed content payments in the short-term; these funds have allowed CDL to pay some invoices in full, as opposed to over time, which has brought about a 3% discount for at least one content package. For 17/18, with assistance from the Academic Affairs Division leadership, CDL has been able to redirect sufficient funds to cover the budget deficit. However, if sufficient funds are not assigned to the CDL for 18/19, the Library will no longer

be able to support systemwide licensed content at the current level. A reduction to CDL’s central subsidy for systemwide licensed content will increase campus costs and shift more license management back to the campuses (resulting in duplicative efforts and an increase in management costs).

CDL was recently notified that the Council of University Librarian (CoUL) budget augmentation request to support systemwide licensing, open access, research data management, and digitization of non-book collections (aka “the Collections Ask”) has not been funded by UCOP for 18/19.

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| 5. Standing committee/office updates | 12:40 – 1:20 |       |                      |  |  |
| - ETDs OA Policy Update              |              | 00:10 | Tyrus Miller (guest) |  |  |

The Electronic Theses and Dissertations (ETDs) OA Policy Task Force is reviewing feedback from UC faculty and students. The task force hopes to incorporate revisions and begin another policy review period this fall. The task force is preparing an FAQ to accompany the next round of review.

A SLASIAC member noted that the task force should ensure graduate students have adequate mechanisms to communicate feedback in the next round of review. Another member noted that the task force might want to contact university presses about partnering in a survey to understand how presses view publishing a book-length material that’s based on an openly available dissertation.

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| - University Committee on Library and Scholarly Communication (UCOLASC) |  | 00:10 | Rich Schneider |  |  |
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The UCOLASC Declaration of Rights and Principles to Transform Scholarly Communication was unanimously endorsed by UCOLASC and several other senate committees. SLASIAC and CoUL have also endorsed and support the UCOLASC document. The Declaration will likely go out for faculty review this fall.

SLASIAC members agreed that when the Declaration is sent out for faculty review, it should include a cover letter and several other documents (e.g. the Call to Action, the Pathways to OA, an FAQ) to provide context and to outline the various efforts underway to transform scholarly publishing.

**Action:** Chair Waugh will write a letter of endorsement for the UCOLASC Declaration (endorsed by SLASIAC at the Mar. 09 meeting).

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| - Council of University Librarians (CoUL) |  | 00:10 | Steve Mandeville-Gamble |  |  |
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A working group charged by CoUL has been investigating whether the UC Libraries should pursue a systemwide Integrated Library Systems (ILS). Currently, the ten campuses all license and manage separate ILSs. CoUL will review the working group’s recommendations in June, and at that time will decide whether to pursue a Request for Proposal (RFP). While the libraries believe there is an opportunity for improved services to end users and cost avoidances for the UC, there would be a one-time migration and implementation cost (in addition to ongoing license and

management costs). This represents another instance where the libraries require funding for a community good. The President has invited the libraries to report back on the systemwide ILS investigation and to submit a proposal.

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| - Office of Scholarly Communication (OSC) |  | 00:10 | Catherine Mitchell (consultant) |  |  |
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The OSC subgroups are focused on advocacy and writing (or gathering) information resources for the community. Current areas of focus include Research Information Management Systems, open access publishing (specifically writing a guide to help editors with “flipping” subscription-based journals to OA), and open educational resources (OERs).

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| 6. OA policy support announcement | 1:20 – 1:30 | 00:10 | Günter Waibel and Catherine Mitchell (consultant) |  |  |
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CDL has been working to secure sustainable, ongoing support for the implementation of UC’s OA policies. The latest proposal has been favorably adjudicated, and as a result the CDL now has a permanent budget augmentation in FY18/19 to cover half of the costs associated with Symplectic Elements core and repository services, and to fund one FTE to act as a product manager. CDL has committed existing funds to cover the remaining Symplectic Elements costs as well as one existing developer FTE to advance this effort. CDL greatly appreciates the support and feedback SLASIAC has provided throughout this process. SLASIAC’s endorsement was a key component of the successful proposal.

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| 7. CDL & Dryad announcement | 1:30 – 1:50 | 00:20 | Günter Waibel and Stephen Abrams (guest) | - CDL & Dryad presentation (confidential) | Update. Opportunity for questions, if any. |
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[CDL has announced a partnership](#) with [Dryad](#) (a non-profit that runs a general-purpose data repository) to lead an open, community-supported initiative around data curation and publishing. The partnership will combine Dryad’s extensive publisher integrations with the modular technology built by CDL for Dash (CDL’s self-service data curation tool), creating a product that better meets researcher data needs within and outside the publishing workflow. Dryad will migrate to the Dash technical platform, and Dash branding will become “Dryad” (though campus-level user interface customization and branding will still be available). CDL will have a permanent ex officio seat on the Dryad board, and through the partnership the UC campuses are granted a no-fee membership.

SLASIAC members agreed that the partnership is a great idea and a great opportunity. A SLASIAC member also advised that future presentations and communications about the partnership highlight the co-development and co-maintenance roles that both Dryad and CDL are assuming.

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| 8. UCs budget and requests to the state | 2:00 – 2:30 | 00:30 | David Alcocer (guest) |  | Update. Opportunity for questions, if any. |
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UCOP Budget Analysis and Planning (BAP) manages UC’s annual budget and conducts long range financial planning, including development of the annual budget requests to the State and coordination with the campuses on a wide variety of budget-related issues. BAP notes that funding

proposals, whether for the state or the campuses, are typically built and developed in increments over several years. Internal consensus is also important.

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| 9. Editoria update from UC Press and CDL | 2:30 – 3:00 | 00:30 | Catherine Mitchell (consultant) and Erich van Rijn (guest) |  | Update. Opportunity for questions, if any. |
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UC Press and CDL are working together with the Collaborative Knowledge Foundation to build an open source, end-to-end browser based solution for producing scholarly monographs. The aim of the initiative and tool, [Editoria](#), is to make book production more affordable and sustainable, and it includes functionality to support authoring, editing, and workflow management. Designing and building Editoria has been a community-driven process; the result is a user-friendly solution that’s scalable, customizable, and can be implemented by a range of publishers. Initial trials of Editoria are currently underway. CDL hopes to make it available for eScholarship by the end of the year.