



University of California

**CDL**

California Digital Library

# Annual Report 2011-2012

SLASIAC Meeting

October 23, 2012

# Outline

- Background
- 2011-2012 Goals – Highlights
- Service uptake / usage
- Challenges
- Budget 2012-2013 status
- 2012-2013 Strategic Themes

# Background

- [SLASIAC Library Planning Task Force Report](#)
- [CDL Review](#)
- Council of University Librarians [Plan and Priorities, FY 2013-2016](#)

# CDL 2011-2012 Goals

1. Support Next Generation initiatives and recommendations of the SLASIAC Library Planning Task Force
2. Support the UC scholarly research and teaching lifecycle
3. Provide leadership in digital library development and service adoption
4. Diversify funding sources and extend efficiencies

# 1. Support Next Generation initiatives / SLASIAC Library Planning Task Force

- Finalized Next Generation Melvyl using OCLC's WorldCat Local platform
- *Impact*
  - 34M items (UC) vs. 250M (WorldCat)
  - 10,000 libraries worldwide
  - 35 databases



# 1. Support Next Generation initiatives / SLASIAC Library Planning Task Force

## Western Regional Storage Trust ([WEST](#)):

distributed retrospective print journal repository program

- Over 100 libraries
- CDL roles:
  - Administrative Host
  - Developed Print Archives Preservation Registry (PAPR) for Center for Research Libraries
- *Impact:* Enables collection management decisions



Western Regional Storage Trust

# 1. Support Next Generation initiatives / SLASIAC Library Planning Task Force



- OAC [RecordExpress](#): Create and edit collection descriptions
  - CLIR Cataloging Hidden Special Collections
  - *Impact*: supports “more product, less process”
- Calisphere/OAC [Assessment](#)

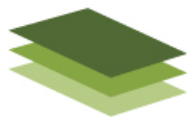


## 2. Support the UC scholarly research and teaching lifecycle



eScholarship  
University of California

Open Journals System transition



**DMPTool**

Guidance and Resources for your Data Management Plan

Sautter  
Award



**EZID**

long-term identifiers made easy


Sautter Award  
Honorable  
Mention

- Outreach for UC Curation Center services





### 3. Provide leadership in digital library development and service adoption

-  HATHI TRUST Zephir metadata management system
  - *Impact:* authoritative source of digitized material; integrated into library catalogs and elsewhere
- [Social Networks and Archival Context](#)
  - UVA, UCB iSchool
  - 35 contributors of data (LC, NARA, Smithsonian, BL, BnF...)

# SNAC – Surfacing relationships

Find **Corporate**, **Personal**, and **Family** Archival Context Records

radial graph dem  
view source EAC-0

## Whitman, Walt, 1819-1892. DLC

( 1819, May 31 – 1892, Mar. 26) *United States* English

→ Alternative forms of name

### Occupations

- [Poets.](#)

### Subjects

- [American literature.](#)
- [American poetry.](#)
- [American prose literature.](#)
- [Presidents--United States--Election--1840.](#)

### Biographical History

#### 1819, May 31

Born, West Hills, N.Y.

#### 1830-1846

Held various jobs as office boy, schoolteacher, typesetter, and journalist chiefly in Long Island and Brooklyn, N.Y.

#### 1839-1840

Writer and typesetter, Long Island Democrat

#### 1841-1848

Associated with numerous newspapers and magazines, including the New York Aurora and the New York Evening Tattler

#### 1846-1848

Editor, Brooklyn Eagle

#### 1848

(1) Writer, New Orleans Crescent (2) Editor, Brooklyn Freeman

#### 1855

Published Leaves of Grass (Brooklyn, N.Y.: n.p. 95 pp.). Enlarged and revised in succeeding editions of 1856, 1860-1861, 1867, 1871, 1876, 1881-1882, 1888-1889, and 1891-1892

#### 1857-1859

### Related Entries

▶ Archival Collections (11)

▼ People (65)

[Barrus, Clara, 1864-1931.](#) correspondedWith

[Bucke, Richard Maurice, 1837-1902.](#) correspondedWith

[Burroughs, John, 1837-1921.](#) correspondedWith

[Burroughs, John, 1837-1921.](#) correspondedWith

[Carpenter, Edward, 1844-1929.](#) correspondedWith

[Church, Francis Pharcellus, 1839-1906.](#) correspondedWith

[Church, William Conant, 1836-1917.](#) correspondedWith

[Conway, Moncure Daniel, 1832-1907.](#) correspondedWith

▶ Corporate Bodies (2)

## 4. Diversify funding sources and extend efficiencies

- **Licensing:**
  - Acquired journals that would cost the University nearly four times what we currently pay—approximately \$100 million, or 50% more than the combined materials budget of all ten campuses—if they were independently licensed by each campus at list price
  - Every \$1.00 spent on shared journal collections produces \$4.00 in savings for the campus.
  - **Journal Evaluation Project** (in progress): portfolio, not package; data-driven algorithm + librarian expertise
- **Virtualization of server infrastructure**
  - 25% reduction in costs



## From CDL Review

**Value** - “A strategic resource for UC...”

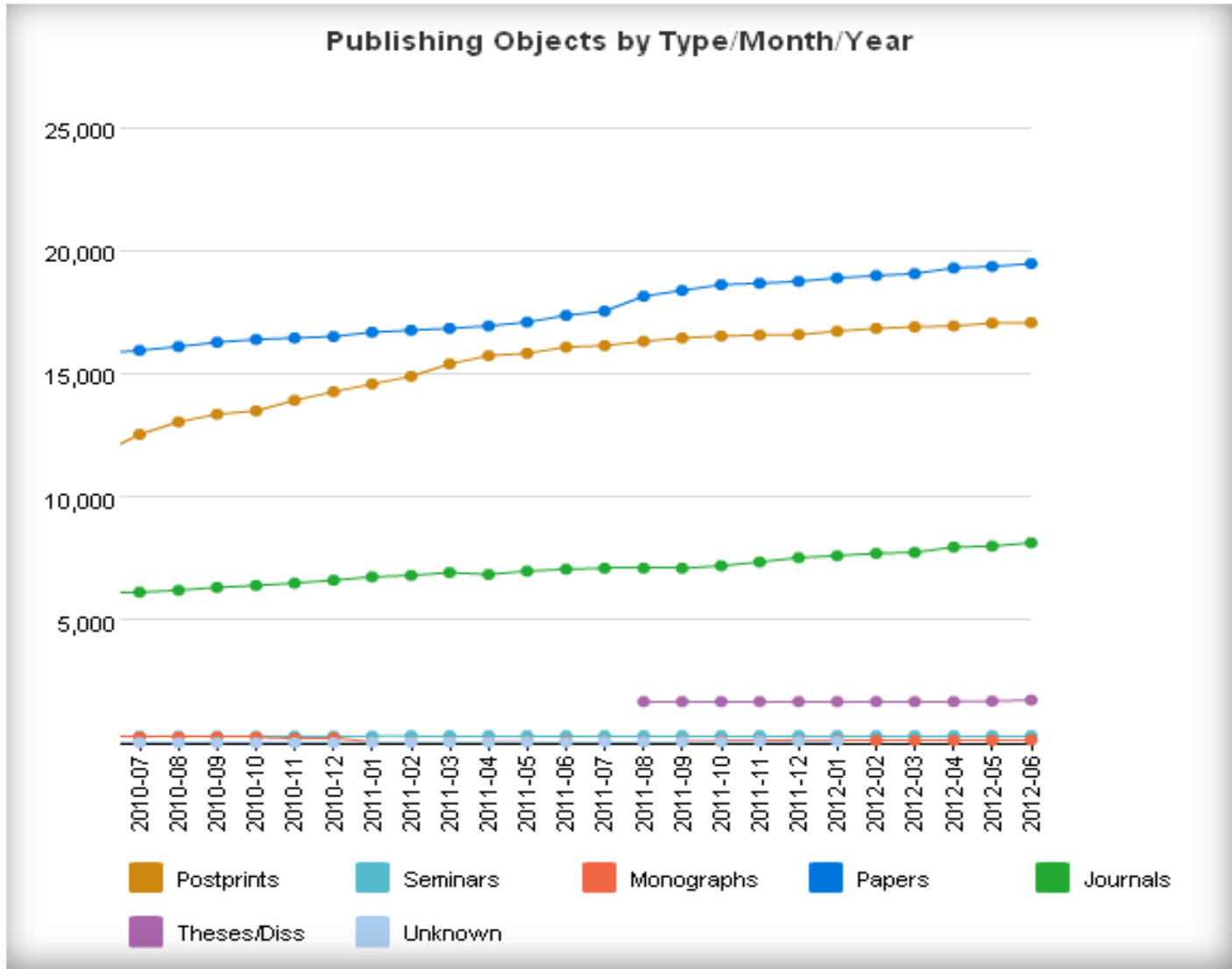
The CDL operates at a current annual cost-equivalent of **\$68** per UC faculty, student, and academic staff member (based on 2010 budget and data).

Expenditure Per	CDL	University	CDL Cost as a % of University Expenditure
Student	\$76	15,120	0.50%
Faculty	\$1,240	\$265,000	0.46%

# Service uptake/usage

- Usage up in all services
- Cost recovery services on track
  - EZID: 31 institutions
  - WAS: 25 institutions
- Content increasing
  - eScholarship: 57 journals
- Campus library service profiles

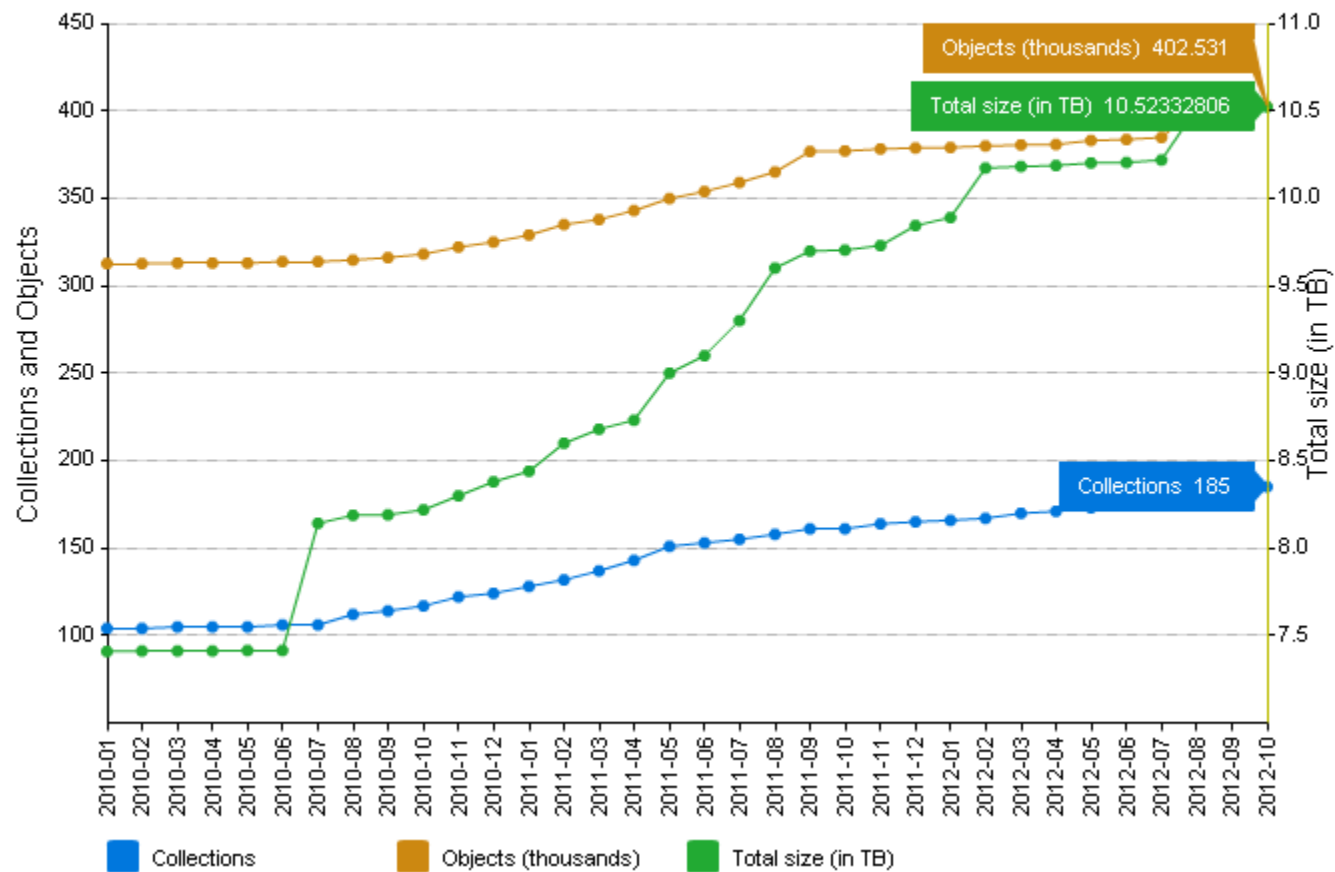
# Service uptake - eScholarship



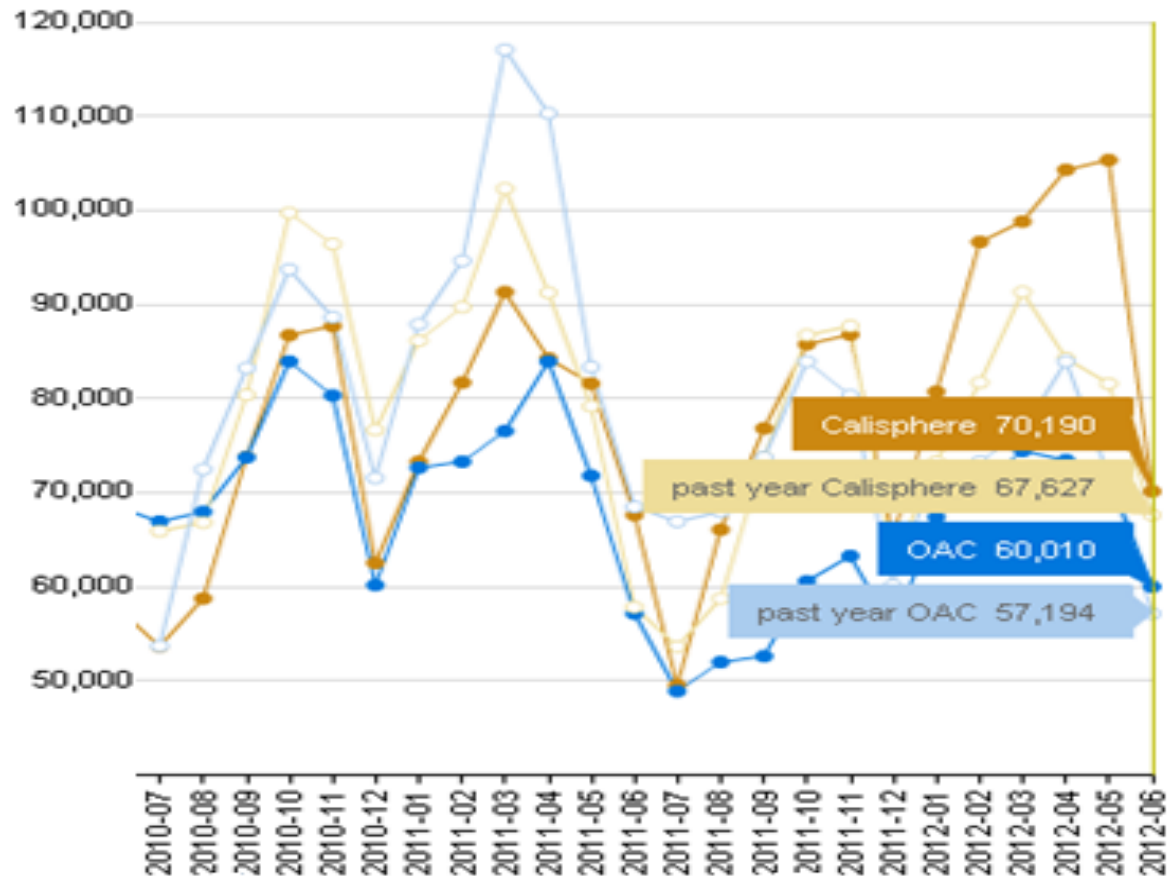
# Service uptake - Merritt

chart by amCharts.com

Merritt Collections, Objects, Size, by Type/Month/Year



# Service usage – OAC/Calisphere






# Challenges

- Authors Guild suit against HathiTrust
  - Suspended Orphan Works initiative
  - Copyright Review Management System: participating in non-US research
  - Recent [ruling](#) affirms fair use

“I cannot imagine a definition of fair use that would not encompass the transformative uses made by Defendants' MDP [Mass Digitization Project] and would require that I terminate this invaluable contribution to the progress of science and cultivation of the arts that at the same time effectuates the ideals espoused by the ADA [Americans With Disabilities Act].” - Judge Baer

# Challenges

## UCSF [Open Access Policy](#) - Implementation



UNIVERSITY of CALIFORNIA  
Office of Scholarly Communication

[Home](#) > [Open Access Policy](#) > UCSF




Campus:  | [FAQ](#)

### UCSF Open Access Policy Options

The UCSF Academic Senate voted on and unanimously passed an Open Access Policy for UCSF faculty at its May 21, 2012 Division Meeting. View the [policy and supporting documents](#) at the Academic Senate website.

To request a waiver or an embargo of this policy, please complete the appropriate form below. You can also request an addendum for inclusion alongside your author agreement.

If you are not sure whether you need a waiver, embargo or addendum or if you have additional questions about the policy or this process, visit our [Frequently Asked Questions](#) page. You may also contact us at [oapolicy@ucsf.edu](mailto:oapolicy@ucsf.edu). If you do not yet have a final version please deposit that version as soon as it's available.

-  **Deposit:** Submit your article to UC's eScholarship repository or notify us if it is already OA or available in another OA repository.
-  **Embargo:** Request verification that your publication will not be displayed in UC's eScholarship repository until your publisher's required embargo period has expired. [\(Learn more\)](#)
-  **Addendum:** Notify publishers of your rights under the OA policy by

# Budget 2012-2013

- New Process: 3 scenarios (base, +/- 25%)
- SLASIAC Budget Subcommittee Review
- Augmentation request presented by Provost to Campus Budget Committee:
  - \$2.5M in next 2 years

# Budget 2012-2013

## Augmentation Request

- Collections - \$2M
  - Emphasis on ebook licensing; leverage for journal discounts
  - Open Access matching fund for article fees
  - Support for extending digital collection
- Shared services – \$500K
  - Collection management
  - Open Access policy support
  - Two positions: Collections analyst; Rights/IP specialist

# Budget 2012-2013

## Augmentation Rationale

- Combination of savings, cost avoidance and leveraging effects
- CDL's "leveraging" fund has been cut 85% in the last 3 years
- Strategies are directly in support of the SLASIAC Library Planning Task Force priorities

# Budget 2012-2013

## Augmentation Rationale

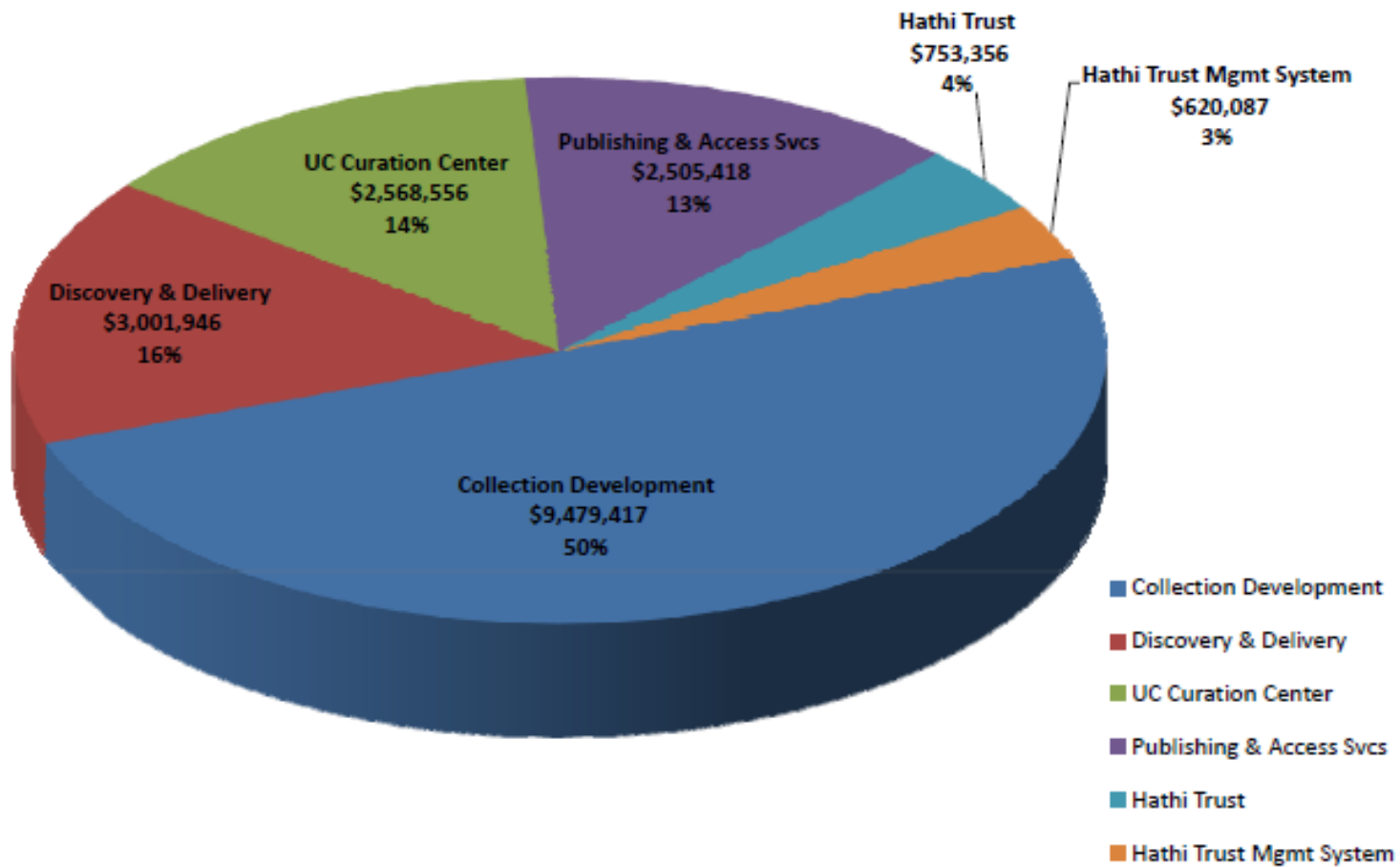
- **Example:** In 2006-2007, UC Libraries purchased 10,600 print copies of Springer books
- Between 2009-2011, conversion to a shared digital license had the following benefits:
  - lowered the unit cost per title per campus from \$120 to \$24
  - largely eliminated print, producing \$1.7M in capital cost avoidance
  - increased the number of titles available at each campus to over 3500 new titles per year (equal to 35,000 copies systemwide)
- **Cumulative expenditure savings over three years: \$1.3M**

# Budget 2012-2013 – Current Status

- 1/3 (\$834K) in FY 2012-2013
  - Collections:
    - eBook licensing
    - Leverage in 4 major ejournal negotiations (\$9M)
    - Digitization – CoUL priorities
  - \$125K one time: reserved for Digital Asset Management System (NGTS)
  - Rights/IP specialist in recruitment
- 2/3 (\$1.6M) in FY 2013-2014

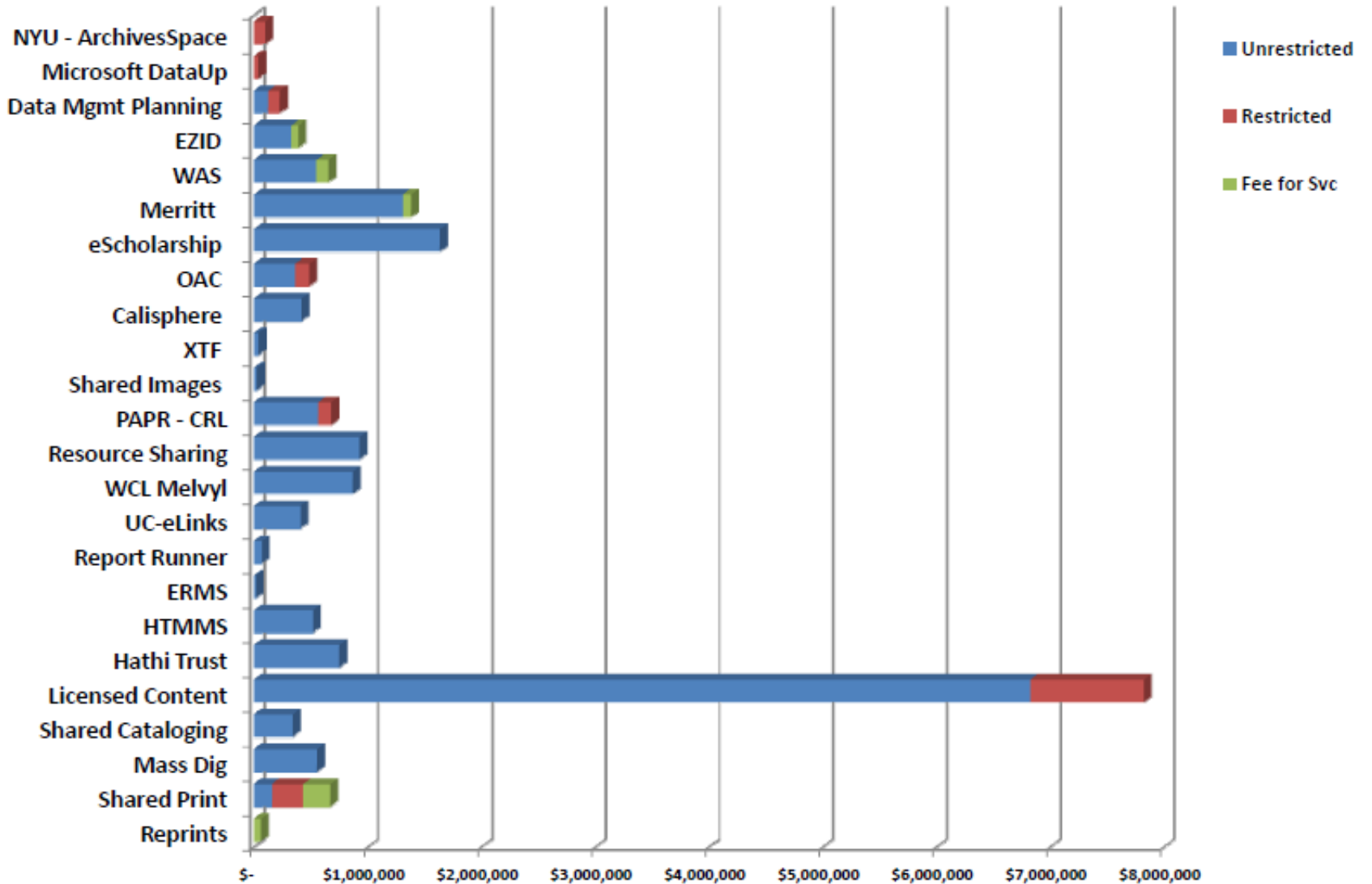
# 2012-2013 Budget

CDL FY1213 Budget  
Program Cost





CDL FY1213 Budget  
by Type of Fund Source



# 2012-2013 Strategic Themes

## Advance the transformation in **scholarly communication**

- Extend open access publishing
- Explore new journal and data metrics
- Explore data publication



## Enable a holistic approach to **managing the information life cycle**

- Support digitization of campus library collections
- Participate in research for systemwide Digital Asset Management System (NGTS)
- Develop infrastructure for research data at a network level


## Adopt a strategic CDL **financial plan focused on efficiency and value**

- Achieve cost recovery for selected services
- Negotiate content licenses to UC's advantage
- Complete infrastructure virtualization

# FY 2012-2013: Delivered

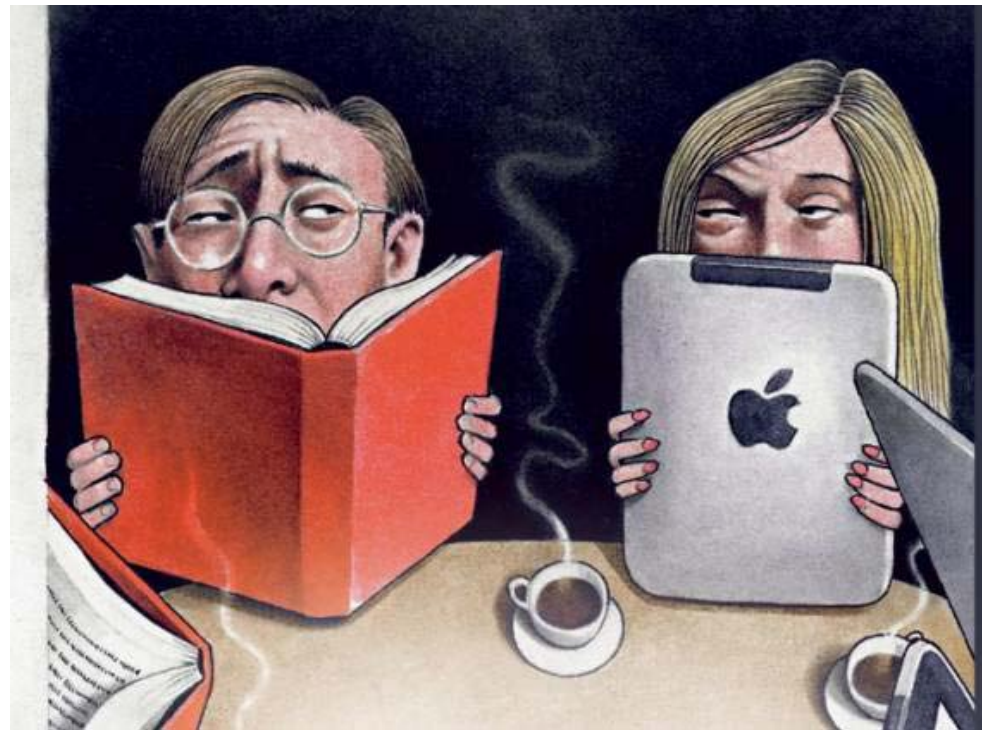
-  DATAUP  
Describe, Manage, & Share Your Data  
A Service of the University of California Curation Center (UC3) with Microsoft Research
-  PAPR | PRINT ARCHIVES  
PRESERVATION REGISTRY for WEST / CRL
- OA policy support for UCSF
- Access Engineering eBooks (McGraw-Hill)

## FY 2012-2013: Delivered

- VDX moved to hosted service at OCLC
- Acquired a customer relationship management service
-  partnership with [EDINA](#), a UK national academic data center based at the University of Edinburgh, and [JISC](#), the UK's “expert on information and digital technologies for education and research”.

# It was the best of times, it was the worst of times...

- “...it was the age of wisdom, it was the age of foolishness, it was the epoch of belief, it was the epoch of incredulity, it was the season of Light, it was the season of Darkness, it was the spring of hope...”



# CDL Review - Value Statements

