

Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)

Meeting minutes
February 12th, 2019 (10:00 – 3:00)

Chair: Executive Vice Chancellor & Provost Scott Waugh
Note Taker: Danielle Westbrook

Members in attendance:

Scott Waugh (UCLA, SLASIAC Chair)	Jennifer Chan (UCLA, LAUC rep.)	Angus MacDonald (UCOP)
Kristin Antelman (UCSB)	Thomas Cogswell (UCR)	Rich Schneider (UCSF, UCOLASC Chair)
Kum-Kum Bhavnani (Academic Senate Vice Chair)	M. Elizabeth Cowell (UCSC, CoUL Chair)	Mark Seielstad (UCSF)
Shaun Bowler (UCR, Graduate Dean rep.)	Rita Hao (UCOP)	Tim Sullivan (UC Press)
Susan Carlson (UCOP)	Ted Huang (UCOP)	Günter Waibel (CDL)

Regrets: Tom Andriola (UCOP), Susanna Elm (UCB), Deb Agarwal (LBNL), Michael Pazzani (UCR, Research VC rep.), Jenn Stringer (UCB, ETLG)

Guests: Ruchi Aggarwal (CDL), Eric Bakovic (UCSD), Sarah Houghton (CDL), Jeffrey MacKie-Mason (UCB)

Consultants: Ivy Anderson (CDL), Catherine Mitchell (CDL)

Staff: Danielle Westbrook (CDL)

Agenda Item	Time	Duration	Responsible	Documents	Notes
1. Welcome	10:00 – 10:05	00:05	Executive Vice Chancellor, Provost, and SLASIAC Chair Scott Waugh		
2. CDL - Budget update - Licensed content update	10:05 – 10:25	00:20	Associate Vice Provost and Executive Director Günter Waibel (CDL), Ruchi Aggarwal (CDL), Associate Executive Director Ivy Anderson (CDL)		Update. Opportunity for questions.

Budget update

The SLASIAC Budget Subcommittee met in January to discuss CDL’s 18/19 budget, the 19/20 budget preview, and additional systemwide topics with budget implications, including the systemwide Integrated Library System (ILS) project, the UC Libraries’ collections ask to UCOP, and open access policy implementation funds.

CDL’s budget has not kept up with inflationary increases and the current forecast highlights deficits for licensed content, software and hosted services. Over 95% of CDL’s resources are used for campus programs and services. The UCOP budget office was receptive to CDL’s request for additional funds in 18/19 and provided ongoing funds to cover a significant portion of the shortfall. For 19/20, CDL has requested funds to cover the remaining deficit. UCOP is open to requests for incremental FTE funded by grants.

Licensed content update

For 18/19, the CDL negotiation team has so far secured approximately 2% in systemwide savings and cost avoidances, including price reductions, reduced annual increases for multi-year contracts, and a limited number of individual campus withdrawals from systemwide licenses. The

negotiation team has been focused on securing agreement terms for text and data mining, phasing in open access agreements, and UC author discounts for article processing charges (APCs). Some APC discounts are applied by the publisher, where others require the author to indicate that they have an institutional discount. The libraries publicize UC publishing discounts on [the Office of Scholarly Communication website](#) and campus librarians and scholarly communication officers include it in their outreach. A SLASIAC member recommended alerting the campus business offices about pay invoices on behalf of UC faculty so that they can also confirm the correct discounts are being applied by publishers. Another SLASIAC member also recommended embedding publishing training into new faculty orientation.

3. Offsetting Task Force update	10:25 – 11:00	00:35	Anderson (CDL)		Update. Opportunity for questions and discussion.
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The Council of University Librarians (CoUL) charged an Offsetting Task Force to conceptualize, negotiate, evaluate and implement offsetting pilots with publishers. Offsetting agreements incorporate subscription access to publications and charges for publishing open access into one agreement; they are intended to be transitional arrangements to move typically subscription-based publishers to open access.

The task force is in conversation with commercial publishers, university presses, society publishers, native OA publishers, and workflow/infrastructure providers. For some publishers, OA phase-in terms have been added to the current agreement (e.g. outlining the intention to pursue offsetting discussions in 19/20). Several discussions are promising, including one that is a multi-institution conversation with like-minded peers in North America. The UC Libraries want to start with 2-3 pilots and expand from there.

4. Standing committee/office updates	11:00 – 11:50				Updates. Some opportunity for questions.
A. University Committee on Library and Scholarly Communication (UCOLASC)		00:10	Chair Rich Schneider (UCOLASC)		
B. Council of University Librarians (CoUL)		00:10	University Librarian and Chair M. Elizabeth Cowell (CoUL)		
C. Office of Scholarly Communication (OSC)		00:10	Catherine Mitchell (OSC operations director, CDL)		
D. Standing Subcommittee on Copyright Policy (SSCP)		00:20	Angus MacDonald (SSCP Chair, UCOP)	- Policy on Copyright Ownership management consultation package.	<i>Decision item:</i> confirm process for SLASIAC endorsement of the copyright ownership policy for systemwide review.

A. University Committee on Library and Scholarly Communication (UCOLASC)

UCOLASC submitted written feedback for the management consultation review of the copyright ownership policy. UCOLASC also wrote two letters to Academic Council, one supporting the formation of a working group to consider the rights and responsibilities of non-faculty academic personnel, and the other highlighting the importance of faculty consultation around library space and collections, emphasizing the readiness of faculty to assist the libraries. UCOLASC is also engaged in the Elsevier negotiation, with both Chair Schneider and Vice Chair Ventry on the negotiation team and all committee members assisting with campus outreach and communications.

B. Council of University Librarians (CoUL)

As noted at [the fall SLASIAC meeting](#), the libraries are investigating whether to pursue a systemwide Integrated Library System (ILS). In addition to bringing about backend efficiencies and re-establishing a secure and modern technical platform for the system, user services and discovery will be greatly improved for faculty and staff. The RFP will be released in the spring, and the libraries will select a shared solution before the end of the year. While the campus libraries and CDL have committed existing funds and FTE for the ongoing management of a systemwide ILS, additional funds are required to implement and transition to the new system. CDL submitted a Major Projects & Initiatives (MPI) funding proposal to UCOP to cover the costs that fall outside of the libraries' current FTE and fund envelope; the MPI is still being adjudicated.

C. Office of Scholarly Communication (OSC)

An initial OSC investigation into use of Current Research Information Systems (CRISs) identified 15 CRIS instances at UC, and the OSC is aware that more such systems are being used in discrete and isolated ways across the system. Various UC groups – including the libraries, faculty, research offices, and administrators – have both similar and unique interests and concerns around the use of CRISs, including inconsistent application, missed opportunity for systemwide policies and coordinated activities, redundancy, use in review/promotion and to allocate resources, and the commercialization of metadata. A SLASIAC member noted that UC Procurement Services should be able to determine the total number of active CRIS contracts in the system. In terms of how to move this conversation forward, several SLASIAC members recommended that the Academic Senate discuss how CRISs are used and what steps might be pursued next.

The OSC just released [a set of resources to support journal flipping](#) (from subscription to open access publishing), including a guide designed to help stakeholders understand the basics of journal ownership, operations and funding-models, and a consultation checklist for journal boards and editors around transitioning to OA. The OSC also offers a consultancy service to assist editors with transitioning to OA. The OSC and other groups have been discussing how to identify the right journals to partner with around transitioning to OA. A SLASIAC member noted that the Association of University Presses has information on each press' portfolio (though journal publishing isn't as common with university presses). ARL was also listed as a potential partner that is interested in working with scholarly societies. Several SLASIAC members commented that this is not just an outreach issue; it is also about having the technical infrastructure in place to empower journals and support open access.

D. SLASIAC Standing Subcommittee on Copyright Policy (SSCP)

The SSCP recently reconvened after a two-year hiatus. The subcommittee is reviewing feedback submitted through the management consultation review for the revised copyright ownership policy. Prior to the management consultation review, the revised policy went through

several layers of review. All comments and proposed changes from the recent review are being carefully considered. The SSCP hopes to complete their review of the feedback and revisions to the policy and FAQ by the end of March. SLASIAC’s endorsement is requested before the policy moves on to systemwide review.

Decision: The SCCP will circulate the revised policy, FAQ and cover letter to SLASIAC via email for review at the end of March or beginning of April. The committee will review and endorse the documents by email if possible. If there is any discussion and/or questions, discussion and endorsement of the documents will be held for the May SLASIAC meeting.

5. Elsevier Task Force	12:20 – 12:55	00:35	University Librarian Jeff MacKie-Mason (UCB, ETF co-Chair), Anderson (CDL, ETF co-chair)		Update and opportunity for questions.
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UC’s contract for licensed journal content with Elsevier expired at the end of December 2018. Both UC and Elsevier agreed to continue good-faith discussions for the time being. UC is seeking a single, integrated contract with Elsevier that covers the university’s subscription and open access publishing, as well as a cost reduction.

Decision: SLASIAC supports the goals of the UC Libraries negotiation team and the UC Elsevier Task Force, and thanks them for their hard work.

6. Modeling to the world a successful transition to OA (what is UC doing, and how can we create a playbook for others?)	12:55 – 3:00				Topic introductions and updates. The focus will be on discussion and questions.
A. Context for the discussion		00:05	Waugh (SLASIAC Chair, UCLA)		
B. Alternative access		00:30	University Librarian Kristin Antelman (UCSB), Sarah Houghton (Alt. Access Project Team Co-Chair, CDL)		
C. Academic Senate perspective – activities and potential next steps		00:30	Vice Chair Kum-Kum Bhavnani (Academic Senate), Chair Rich Schneider (UCOLASC)		

D. Updating tenure and promotion guidelines to reflect the transition to OA	00:30	Professor Eric Bakovic, UCSD linguistics department chair	
E. Creating a cross-institution coalition to support the transition	00:30	MacKie-Mason (UCB), Anderson (CDL), Waibel (CDL)	

B. Alternative access

Across multiple 2019 contract renewals, the UC Libraries are pursuing transformative licensing agreements that seek both cost reductions and the implementation of the UC-designed open access (OA) offsetting model. Alongside this work, CoUL and the Elsevier Task Force agreed that a systemwide project team should proactively identify, assess and prepare support for alternative access methods for obtaining articles and promoting the discovery and use of OA content. The Alternative Access Project Team was charged by the UC Libraries Direction & Oversight Committee (DOC) in October 2018. The team has built [an alternative access web portal](#) with guides, tools and resources. While the project team’s charge is to evaluate and promote open access alternatives broadly, if Elsevier cuts off UC’s access to new content and content for which perpetual access hasn’t already been purchased, a team will also assess the impact on users and use of alternative methods. The campus libraries have discussed and studied how interlibrary loan demand might increase if subscription/paid access is lost, and the libraries are prepared to allocate the necessary staff to meet interlibrary loan requests.

C. Academic Senate perspective (activities and potential next steps)

The Academic Senate supports the UC Libraries and their efforts to negotiate for a cost reduction and open access offsetting with Elsevier. The vast majority of faculty are supportive of the UC Libraries negotiation with Elsevier; concerns voiced so far have been specific to access to articles should UC and Elsevier not come to an agreement. UCOLASC and the Academic Senate recognize that the work of the Alternative Access Project Team aims to address those concerns directly. Should UC lose access to new Elsevier content and resources for which UC does not already own perpetual access, it will be important to collect faculty feedback around access to resources and impact (both positive and negative). There are also opportunities for UC faculty and students to advocate for UC’s OA goals. For example, one alternative access method is to directly contact authors for article reprints (most publishers allow authors to responsibly share their publications when requested); template language could be created for faculty and students to insert into their email requests to authors (stating the university’s goals and raising awareness of OA).

D. Updating tenure and promotion guidelines to reflect the transition to OA

The UC San Diego Linguistics Department is exploring how tenure and promotion guidelines might be updated to better reflect the transition in scholarly communication to open access. Staff from the department have met with the UC San Diego Academic Senate Committee on Academic Personnel (CAP). CAP is supportive of this effort and is looking to the department to specify how files should be reviewed in light of this transition. To formulate OA-specific guidelines, the department is studying where UC San Diego linguistics faculty publish and review, as well as discussing how the university values and incentivizes OA. The department wants to develop criteria that is broadly applicable – for the UC

system and other institutions – and acknowledges that establishing broader alignment in tenure and promotion will be difficult. The department is also sensitive to creating guidelines that do not inadvertently disadvantage early career faculty.

Several SLASIAC members expressed their thanks and excitement that Bakovic and his colleagues are working to create more specific promotion guidelines that reflect the transition to open access. A SLASIAC member also noted [a 2011 UC white paper that discusses OA and tenure/review](#).

E. Creating a cross-institution library coalition to support the transition

The UC Libraries feel strongly that in order to transition subscription publishers to OA, UC needs to help facilitate a North American coalition to better support the growing international movement and to ensure North American needs and concerns are reflected. The Elsevier Task Force, Alternative Access Project Team, the Office of Scholarly Communication, and the Offsetting Task Force are all documenting their activities and creating publicly-available resources. CDL has also been sharing its analytical work and tools with other consortia and institutions. Outside of UC, there are national activities around furthering the transformation of scholarly communications, but greater coordination is needed. When like-minded institutions come together, such as the Choosing Pathways to OA Forum, new partnerships are forged and new activities undertaken.

Coordination and outreach require a great deal of bandwidth. Offsetting proposals and the accompanying data analyses are also complex, and such conversations often benefit from hands-on training and support. Other initiatives, such as shared print, have created community and opportunities for information sharing and shared action; North America needs to build a similar capacity for the transition to open access. Several SLASIAC members commented that creating this capacity and community might be a grant funded project.

Action: Scott Waugh and Günter Waibel will convene a small group to develop an offsetting coalition proposal for SLASIAC to consider at the spring meeting.