

Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)

Minutes
December 4, 2019 (10:00 am – 3:00 pm)

Chair: Executive Vice Chancellor & Provost Gregg Camfield
Note Taker: Danielle Westbrook

Members in attendance:

Gregg Camfield (UCM, SLASIAC Chair)	Jennifer Chan (UCLA, LAUC rep.)	Dennis Ventry (UCD, UCOLASC Chair)
Kristin Antelman (UCSB)	M. Elizabeth Cowell (UCSC, CoUL Chair)	Günter Waibel (CDL)
Shaun Bowler (UCR, Graduate Dean rep.)	Gregory Leazer (UCLA)	
Susan Carlson (UCOP)	Angus MacDonald (UCOP)	

Regrets: Kum-Kum Bhavnani (Academic Senate Chair), Susanna Elm (UCB), Deb Agarwal (LBNL), Rita Hao (UCOP), Ted Huang (UCOP), Mark Seielstad (UCSF), Tim Sullivan (UC Press)

Guests: Agnes Balla (UCOP), Lourdes DeMattos (UCOP)

Consultants: Ivy Anderson (CDL), John Chodacki (CDL), Catherine Mitchell (CDL), Ruchi Aggarwal (CDL)

Staff: Danielle Westbrook (CDL)

Agenda Item	Time	Duration	Responsible	Documents	Notes
1. Welcome	10:00 – 10:15	00:15	Executive Vice Chancellor & Provost Gregg Camfield (UCM, SLASIAC Chair)		Introductions and topics and work for 19/20.
2. SLASIAC Library Study Group	10:15 – 10:35	00:20	Camfield (UCM, SLASIAC Chair)	- Study group charge and membership	Background and opportunity for questions and discussion.

Following a Council of Chancellors discussion on the role of systemwide library collaboration, President Napolitano and Provost Brown asked the Systemwide Library and Scholarly Information Advisory Committee (SLASIAC) to convene a small study group to report on current and future UC Libraries collaborative efforts and further opportunities for library collaboration. SLASIAC Chair Camfield convened the first meeting of the study group during the morning of Dec. 04 (representation includes the libraries, educational and information technology, finance, academic senate, graduate studies and students). The group will submit a report to the Chancellors this spring.

3. UC publishing capacity	10:35 – 11:05	00:30	University Librarian Kristin Antelman (UCSB), Catherine Mitchell (Director, Publishing & Special Collections, CDL)	- Next Gen. Library Publishing: Educopia & CDL Arcadia grant - Community-Led Open Publication Infrastructures for Monographs (COPIM)	Background and opportunity for questions and discussion.
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UCSB Library and punctum books community-led publishing grant (Research England)

Earlier this year, [UCSB Library and punctum books commenced a two-year pilot](#) to develop a no-fees open access book publishing model that privileges horizontalist and cooperative knowledge sharing. Building on this pilot, the two partners are now also part of the Community-Led Open Publication Infrastructures for Monographs (COPIM) Project, which brings together presses, universities, libraries and technology partners to develop and maintain a diversity of open access book publishing initiatives and models, particularly within Humanities and Social Sciences publishing. This work will directly benefit all UC campuses – not only through the project outcomes, but also by further pursuing and testing some of the approaches and strategies outlined in UC’s [Pathways to Open Access](#).

While punctum includes neo-traditional and unconventional scholarly work, SLASIAC members agreed that it would be of interest to examine the career stage of punctum authors at the point of publication (e.g. tenure track, tenured, etc.).

Next Generation Library Publishing: CDL & Educopia Arcadia grant

The Next Generation Library Publishing Project partners (Educopia, California Digital Library, Confederation of Open Access Repositories, Longleaf Services, LYRASIS and Strategies for Open Science) aim to: better understand the landscape for open access publishing tools and the needs of library publishers; align academic publishing with academic values; increase choice, opportunity and innovation through compelling library publishing solutions; and create interoperable, modularized solutions that are sustainable.

eScholarship publishing and faculty journal inquiries

Over the past few years, and particularly since UC ended negotiations for a transformative open access journal publishing contract with Elsevier, a growing number of UC faculty have approached the Office of Scholarly Communication and eScholarship about creating new open access journals to compete with commercial publishers. In some cases, the faculty editors want to create a complementary open access journal. In other cases, they are dissatisfied with their commercial publisher and/or the industry and are looking for an alternative. While new journals are being established, eScholarship is sometimes unable to provide all services and infrastructure required by editors (e.g. investment funds for staff). The Next Generation Library Publishing grant will investigate how such journal publishing services can be supported and sustainable within open access publishing. It was noted that CDL’s eScholarship publishing service and UC Press are complementary, and the two will often refer proposals to one another. CDL and UC Press are also partnering to carry out an inventory of UC publishing to better understand the system’s publishing activities and needs (see Office of Scholarly Communication work plan).

Action: UC Press and CDL will develop and share an overview of their strategic plans for open access publishing.

4. Draft research data policy	11:05 – 11:35	00:30	Agnes Balla (Research Policy Manager, RPAC, UCOP), Lourdes DeMattos (Associate Director, RPAC, UCOP)	- Draft “Access to and Management of Research Data and Tangible Research Materials” policy	Discuss the draft policy and how to best support this work.
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Work on the draft research data policy was re-initiated approximately one year ago by Research Policy Analysis and Coordination (RPAC) and a small working group with three campus representatives. Consultations have also been carried out with the systemwide Academic Senate University Committee on Research Policy, California Digital Library and OP Office of General Counsel.

SLASIAC commended RPAC on their work, noting that the policy is a major undertaking that is very much needed. Some committee members noted that portions of the draft research data policy feel at odds with the draft Copyright Ownership Policy (currently under systemwide review). It was also noted that the university does not presently supply adequate data services to fully support implementation of the policy. SLASIAC discussed how parties might be held accountable under the policy as written and how this policy might interact with national and international data repositories. The policy also addresses ownership but not UC’s vested interest in making research and data as broadly available as possible.

Decision: John Chodacki (CDL, consultant to SLASIAC) will join the draft research data policy working group.

Action: Danielle Westbrook will send out a call (on the SLASIAC listserv) for additional volunteers to join the draft research data policy working group.

Action: Chair Camfield will consult with the Council of Vice Chancellors to see if the development of this draft research data policy will benefit from a formalized charge, greater support structure (potentially working under the Vice Chancellors for Research) and broader representation, with RPAC still supporting the development.

5. Dryad relaunch	11:35 – 11:45	00:10	John Chodacki, Director, UC Creation Center, CDL)	- The New Dryad is Here (Dryad blog post)	Brief update and opportunity for questions.
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In 2018, CDL and Dryad announced a formal partnership to provide a non-commercial, mission-aligned and affordable alternative to commercial data repository products. The Dryad general data repository migrated to the CDL Dash data publishing technology and the new Dryad platform launched in September 2019 ([see overview of Dryad and data publishing at UC here](#)). As a strategic partner in Dryad, the California Digital Library provides in-kind development support and all UC researchers can use Dryad free of cost. Since relaunch, presentations (not only on the relaunch, but also the strategy behind and future of data publishing) have been given to the University Committee on Library and Scholarly Communication (UCOLASC) and the University Committee on Academic Computing and Communications (UCACC).

SLASIAC members noted that the Council of Vice Chancellors for Research and the Vice Provosts for Academic Personnel are additional groups that should likely receive a post-launch update on Dryad.

6. Committee and office updates <i>(working lunch)</i>	12:00 – 12:55				Updates. Opportunity for some questions and discussion.
A. Council of University Librarians (CoUL)		00:15	University Librarian M. Elizabeth Cowell (CoUL, UCSC)		

B. Office of Scholarly Communication (OSC)	00:15	Mitchell (CDL)	- OSC Workflow 19-20	For the UC publishing inventory noted in the work plan, do SLASIAC members have suggested strategies for uncovering campus-based publishing activities?
C. ETDs OA Policy Task Force	00:05	Vice Provost Susan Carlson (UCOP)		
D. Research Information Management Systems (RIMS) Working Group	00:05	Carlson (UCOP)		
E. University Committee on Library and Scholarly Communication (UCOLASC)	00:15	Chair Dennis Ventry (UCOLASC, UCD)		

A. [Council of University Librarians \(CoUL\)](#)

As announced at the spring 2019 SLASIAC meeting, the UC Libraries secured funding to help implement a systemwide Integrated Library System (SILS), which will move UC from ten library systems to a single, state of the art solution. As the libraries move forward with implementation, CoUL will continue to engage SLASIAC and other committees around the upcoming changes and how to best engage the UC community.

B. [Office of Scholarly Communication \(OSC\)](#)

The OSC work plan for 2019/2020 includes the CDL and UC Press inventory of UC publishing inventory activities. It was noted that the inventory will help UC understand the extent to which campus-based publishing is occurring and the publishing needs of the system. The inventory will also provide insight into the ways in which UC Press and CDL might be better aligned and coordinated. The inventory’s leads are discussing different mechanisms for collecting data, noting that existing campus scholarly communications officers are an already embedded resource. To support data collection, SLASIAC members recommended outreach to deans, department chairs and the Academic Personnel Vice Provosts.

Action: CoUL Chair Cowell will invite the UC publishing inventory leads to a CoUL meeting early in 2020 to discuss outreach to department chairs and managers, potentially through the campus libraries’ existing contact lists and connections (e.g. subject librarians, scholarly comm. officers).

C. Electronic Theses and Dissertations (ETDs) draft policy

The revised draft policy now states that graduate students may delay the date that their ETDs become openly available by specifying an embargo period consistent with local policy.

Decision: SLASIAC endorses the revised ETDs draft policy and supports the policy moving forward in this process.

D. Research Information Management System (RIMS) Working Group

Provost Brown has charged a RIMS Working Group to further pursue the RIMS investigation started by the University Committee on Library and Scholarly Communication (UCOLASC) and the University Committee on Academic Computing and Communications (UCACC), which drew upon prior work carried out by the Office of Scholarly Communication (OSC). The working group will commence in the new year and includes two SLASIAC representatives (UCOLASC Chair Dennis Ventry and CDL Publishing & Special Collections Director Catherine Mitchell).

E. University Committee on Library and Scholarly Communication (UCOLASC)

UCOLASC has been engaged by Project Transform and the OSC around user testing (specific to development of the transformative, multi-payer OA interface with Cambridge University Press and the OSC website, respectively). UCOLASC is writing a letter to Academic Council that addresses the 2019 unspent library collections funds earmarked for a transformative agreement with Elsevier and calls for these funds to continue to be protected (to be spent on a future Elsevier agreement and/or reinvested in open access). UCOLASC and the UC Libraries are also collaborating on an end-user (faculty, graduate students, researchers, etc.) poll to assess the impact of the loss of 2019/new Elsevier ScienceDirect access. The poll will be distributed in early 2020; outreach to systemwide Academic Senate committees is currently underway.

<p>7. Project Transform</p> <ul style="list-style-type: none"> - Elsevier and other publisher negotiations update - Alternative access & impact - Principles for transformative agreements 	<p>12:55 – 2:25</p>	<p>01:30</p>	<p>Associate Executive Director and Director of Collection Development and Management Ivy Anderson (CDL, Project Transform Co-Chair)</p>	<ul style="list-style-type: none"> - Guidelines for Transformative Agreements - UCOLASC and UC Libraries co-sponsored Elsevier impact poll 	<p>Updates. Opportunity for discussion and committee feedback for Project Transform.</p>
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UC did not renew its Elsevier journal subscription contract when it expired at the end of 2018; contract negotiations for a transformative open access agreement were terminated by UC on February 28 and Elsevier cut off UC’s access to 2019/new content through ScienceDirect on July 10. Since late 2018, the UC Libraries have made available a portfolio of alternative access strategies to better assist UC students, faculty, staff and researchers in gaining access to Elsevier content no longer available through ScienceDirect. UC end user feedback has been very good so far, and the libraries have not yet seen dramatic inter-library loan use of 2019 Elsevier content. Data, including an end user poll, are being collected to inform future negotiation strategy and improve services for the UC community. UC continues to want a transformative agreement for open access publishing with Elsevier, and the Project Transform Working Group and negotiation team are considering how UC might return to the negotiating table on agreeable and realistic terms. UC’s pursuit of a transformative agreement and decision to walk-away from Elsevier has empowered other institutions, both in the United States and around the world, to pursue similar open access and cost terms. Several of the attendees at UC’s August 2019 [Open Access Tipping Point Workshop](#) have since secured transformative contracts (including the [University of North Carolina at Chapel Hill with Sage](#), [Carnegie Mellon University with Elsevier](#) and [Iowa State University with Oxford University Press](#)).

SLASIAC members expressed their continued support for the UC Libraries and Project Transform, and noted their interest in the outcomes of the end user Elsevier impact poll and whether UC faculty are now choosing not to publish with Elsevier (a citation study of pre- and post-cancellation UC published articles was also recommended).

As previously reported to SLASIAC, publishers continue to be eager to work with UC on transformative open access agreements. Earlier this year, UC and Cambridge University Press announced the university’s first transformative open access contract. In January 2020, the UC Libraries will announce two new transformative agreements (with a society publisher and a native open access publisher).

To create greater transparency around what makes an amenable transformative agreement, the Project Transform Working Group (which includes faculty representatives) created a set of guidelines. A committee member noted that the reference to green open access terms could be further reinforced in the guidelines document.

Decision: SLASIAC endorses UC’s Guidelines for Evaluating Transformative Open Access Agreements.

Note: the [Guidelines for Evaluating Transformative Open Access Agreements](#) were published shortly after SLASIAC’s endorsement.

8. SLASIAC Standing Subcommittee on Copyright Policy (SSCP)	2:25 – 2:35	00:10	Chair Angus MacDonald (Principal Counsel at UCOP, SSCP Chair)	- New version of UC Copyright Ownership Policy open for review (OSC blog post)	Update. Opportunity for some questions and discussion.
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The SSCP is supporting the review of the draft Copyright Ownership (CO) Policy. Following management consultation in 2018, SSCP made slight revisions to the draft CO policy and improvements to the policy’s FAQ. At the spring 2019 SLASIAC meeting, the committee endorsed the revised CO policy for systemwide review. The draft CO policy will conclude systemwide review in mid-December. Once that feedback is collected and compiled, SSCP will consider and recommended edits, if any, to SLASIAC at the winter 2020 meeting.

9. Proposed topic for SLASIAC in 19/20: value proposition of the UC Libraries	2:35 – 3:00	00:25	Camfield (UCM, SLASIAC Chair)		Discussion around how to more effectively share the UC Libraries’ value proposition.
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SLASIAC members discussed the need to broadly promote the value proposition of the UC Libraries, not only internally within UC, but also externally (e.g. how can we increase public awareness of the incredible value that the UC Libraries bring to the state?). A committee member reflected that very few California school districts or private schools have libraries or librarians, and so the UC Libraries provide invaluable resources and public services to the communities in their immediate vicinities (in addition to further afield through online resources) and to the incoming undergraduates. Several committee members noted the benefit of professional outreach in creating clearer communications, and there was support for both broad outreach and outreach targeted to state and local public officials. SLASIAC members agreed that the work of the recently charged SLASIAC Study Group will inform a broader outreach strategy around communicating the value of the libraries.