Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)

Minutes

Chair: Executive Vice Chancellor & Provost Gregg Camfield

April 30, 2020 (10:00 am – 12:00 noon, 1:00 – 4:00pm)

Note Taker: Danielle W. Westbrook

Members in attendance:

Gregg Camfield (UCM, SLASIAC Chair)	Shaun Bowler (UCR, Grad Dean rep.)	Ted Huang (UCOP)	Jenn Stringer (UCB, Ed Tech)
Deb Agarwal (LBNL)	Susan Carlson (UCOP)	Gregory Leazer (UCLA)	Tim Sullivan (UC Press)
Kristin Antelman (UCSB)	Jennifer Chan (UCLA, LAUC rep.)	Angus MacDonald (UCOP)	Günter Waibel (CDL)
Kum-Kum Bhavnani (A.S. Chair)	M. Elizabeth Cowell (UCSC, CoUL Chair)	Mark Seielstad (UCSF)	

Regrets: Susanna Elm (UCB), Rita Hao (UCOP), Dennis Ventry (UCD, UCOLASC Chair)

Guests: Michael Brown (UCOP), Katie Fortney (UCOP), University Librarian Jeff MacKie-Mason (UCB, Project Transform co-chair), Patricia Osorio-O'Dea (UCOP), Erich Van Rijn (Director of Journals and Open Access, UC Press)

Consultants: Ivy Anderson (CDL), John Chodacki (CDL), Catherine Mitchell (CDL), Sarah Houghton (CDL)

Staff: Danielle Westbrook (CDL)

Agenda Item	Time	Duration	Responsible	Documents	Notes
1. Opening remarks	10:00 – 10:15	00:15	Executive Vice Chancellor & Provost Gregg Camfield (UCM, SLASIAC Chair)		Welcome. Remarks on the impact of COVID-19 on the University broadly.

Chair Camfield reflected on the impact of the COVID-19 pandemic, globally and for higher education. The University, at the systemwide and campus levels, continues to respond to new and emerging teaching and learning needs in light of the shift to remote education and work, and planning is underway to determine when and how campuses and the Office of the President can begin safely re-opening University buildings. At the time of this meeting, it is unclear what the state budget will look like or the extent to which the University's budgets will be impacted.

Agenda Item	Time	Duration	Responsible	Documents	Notes
2. Project Transform update - Negotiations status - Implementation status	10:15 – 11:00	00:45	Associate Executive Director & Director of Collection Development and Management Ivy Anderson (CDL), University Librarian & Prof. Jeffrey MacKie-Mason (UCB)		Update. Opportunity for questions and discussion.

The Project Transform representatives shared confidential negotiation updates with the committee.

As part of the University's ongoing efforts to negotiate transformative open access agreements with publishers, UC is investigating opportunities to collaborate on such agreements with like-minded institutions and consortia, including the California State University system and the Statewide California Electronic Library Consortium (SCELC).

The committee discussed potential approaches and models that might be pursued if UC is unable to secure a transformative agreement with a publisher. In addition to alternative access, such approaches include pursuing subscription access to a significantly reduced set of journals titles based on UC usage and/or utilizing document supply services to provide UC users with access to articles at the time of need.

Active negotiations are continuing with Wiley and Springer Nature; with both publishers, the negotiations have been productive and remain rooted in shared open access goals. Where UC has signed transformative agreements (so far with Cambridge University Press, PLOS, JMIR Press and the Association for Computing Machinery), the publishers' missions are aligned with UC's and all parties have been eager to collaborate.

3. Draft Copyright Ownership Policy from the Standing Subcommittee on Copyright Policy (SSCP) *Director Patricia Osorio O'Dea and Copyright Policy & Education Officer Katie Fortney joined SLASIAC for this topic.*	11:00 – 11:25	00:25	Chair Angus MacDonald (Principal Counsel at UCOP, SSCP)	- Revised Copyright Ownership Policy package (clean and red-lined copies of the policy and FAQ)	Decision item: revised policy and FAQ for SLASIAC endorsement.

The SSCP completed its review of the feedback and edits submitted during the 2019 systemwide review of the draft UC Copyright Ownership Policy. While not all feedback resulted in a revision, SSCP did incorporate numerous modifications to both the revised policy and accompanying FAQs. The subcommittee, which is composed of faculty, library, copyright, legal, information technology and research representatives, actively aimed to balance and address the considerations of all UC stakeholders in the revisions to the policy and FAQs.

Decision: The FAQ document should note that the SLASIAC Standing Subcommittee on Copyright Policy authored the document and is responsible for managing and revising it as needed.

Decision: SLASIAC endorses the draft Copyright Ownership Policy and FAQ.

SSCP highlighted the recent updates to the UC Copyright website, such as the resources on shifting to online instruction, and thanks Copyright Policy & Education Office Katie Fortney for her work on the site.

4. California Digital Library update	11:25 – 11:40	00:15	Associate Vice Provost & Executer Director Günter Waibel (CDL), Director of Publishing, Archives and Digitization Catherine Mitchell (CDL)	- Briefing on the HathiTrust ETAS	Update and opportunity for questions.

Consultations with the White House Office of Science and Technology Policy (OSTP)

The OSTP has continued to engage stakeholders around public access to the results of federally funded research. In addition to their Request for Information, which the University responded to at the local and systemwide levels, including a letter from President Napolitano, OSTP has consulted with various stakeholder groups directly. Two UC representatives were invited to the most recent OSTP engagement, which brought together administrators, faculty, librarians and publishers.

HathiTrust Emergency Temporary Access Service (ETAS)

Director Mitchell's slide deck is available here.

ETAS was established in response to the COVID-19 pandemic to provide eligible members, including the UC campuses, with lawful access to in-copyright digital items that correspond with physical volumes, owned by member libraries, that are unavailable due to building closures. Through ETAS, UC faculty, students and staff have access to over 13 million digitized volumes, representing almost 40% of the UC physical collection, the bulk of which would otherwise be inaccessible during the pandemic.

5. RIMS Workgroup update	11:40 – 12:00	00:20	Co-Chairs Maryann Martone (UCSD), Pramod P. Khargonekar (UCI)		Update on work completed. Opportunity for questions.

The RIMS Workgroup co-chairs' slide deck is available here.

The workgroup representatives clarified that they are seeking to understand both the third-party and homegrown research information management systems (RIMS) in use across UC. The representatives and committee members agreed that it will be important for the University to clearly outline the limitations of such systems and to set policies in place around how they may be used. Several members reflected that the disciplinary boundaries of RIMS do not adequately represent current, emerging and interdisciplinary areas of work.

6. COVID-19	1:00 – 2:10				Update on impact, outcomes and responses. Opportunity for discussion.
A. Impact on educational technology		00:25	Assistant Vice Chancellor for IT & Deputy CIO Jenn Stringer (UCB)		
B. Impact on the libraries - Risk to systemwide budget coalition and licensed content *Provost Brown joined for 6b.*		00:45	University Librarian M. Elizabeth Cowell (UCSC), University Librarian Kristin Antelman (UCSB), Waibel (CDL)	- JoVE access announcement (originally sent to CoVC)	

A. Impact on educational and information technology, from UC Berkeley's perspective

Deputy CIO Stringer's slide deck and speaker notes are available here.

UC Berkeley has responded to the transition to remote teaching and work by expanding educational and information technology outreach and services. Increased use of already available technologies, such as bCourses (UCB's learning management system), Zoom and Google meet, has been significant (use of bCourses and Zoom each increased by over 1200% and Google Meet use increased fourfold). Virtual consultations are also offered to support remote research. The summer semester will be remote and UCB is evaluating the fall semester. The UCB draft Principles and Framework Guiding a Phased Approach to Restarting University Research Activity is currently posted for public comment (due by May 01).

B. Impact on the libraries

While the UC Libraries remain open, all library buildings and building-based services are temporarily closed. In accordance with county health orders and campus-based planning and principles, the libraries are determining how to safely re-open those buildings and services. At the systemwide level, the UC Libraries' leadership teams are discussing how to best support library employees and the faculty, students, staff, researchers and clinicians of the University.

Committee members reflected on the importance of the libraries' longstanding, collective investment in building digital collections (e.g. HathiTrust) and investing in systemwide licensed content, both of which greatly support the University's shift to remote teaching and learning during the pandemic. Despite the positive impact and value of these collaborative efforts, the libraries' budget coalition to fund these initiatives is fragile and must rely on the success of 11 independent budget processes each year.

Provost Brown noted that the libraries' uniquely collaborative approach to service provision is aligned with UC's mission, cost effective and ensures equitable access to critical scholarly resources and services across the system. It was also noted that the libraries actively seek partnerships beyond the boundaries of UC to further improve University services, efficiencies and savings. Provost Brown reflected that the forthcoming SLASIAC Study Group report will help direct UC leadership around how to further pursue and support systemwide, mission-aligned initiatives. SLASIAC members reflected that OP needs to more clearly and concretely articulate the value it brings to the University and state; CDL is one example of how OP participates in important systemwide work. Several committee members commented that by relocating the CDL licensed content budget from OP to a campus, a proposal currently under consideration, OP risks diluting its own value story. Members noted that the need to tell OP's value story is required not only within the University but also more broadly, with the state legislature and public.

Action: Vice Provost Carlson will investigate when the libraries might be able to present to the Regents or a Regents' committee (to present on the libraries' unique, collaborative approach to supporting the University's mission and the University's response to the pandemic – potentially a presentation from a library representative and the SLASIAC chair).

7. SLASIAC Study Group update	2:10 – 2:25	00:15	Camfield (UCM, SLASIAC Chair)		Update. Opportunity for questions and discussion.

The SLASIAC Study Group continues to make progress on their report for the Council of Chancellors on the collaborative efforts of the UC Libraries. The group is on track to submit their report this June.

Action: The draft SLASIAC Study Group report will be shared with SLASIAC members and consultants, for their high-level feedback and guidance.

8. UC publishing inventory update	2:25 – 2:55	00:30	Director Catherine Mitchell (Publishing & Special Collections, CDL), Director Erich van Rijn (Journals and Open Access, UC Press)		Update. Opportunity for questions and discussion.

The UC publishing inventory leads noted that given the pandemic and changing budget outlooks, the project is continuing but within an entirely new context and strategy. While the inventory project was limited to desk research, a comprehensive review and extension of the initial 2008 inventory was successfully carried out. A database was developed to hold and support ongoing management of the UC publishing inventory.

The project leads report that a significant amount of campus-based publishing operates without institutional support. The UC Press and CDL aim to maximize their shared capacity to support these publishing activities within their current budgets. The leads plan to identify at-risk publishing programs that might benefit from publishing services and to engage campus partners and campus Academic Senate library committees.

SLASIAC commends the inventory project leads on their excellent work and resourcefulness. The committee looks forward to future updates around how this works unfolds.

9. Grant work updates	3:05 – 3:35	00:30	Mitchell (CDL), Antelman (UCSB)		Update. Opportunity for questions and discussion.

The Community-led Open Publication Infrastructure for Monographs (COPIM) update

COPIM, an international project that brings together publishers, institutions, libraries and infrastructure providers, remains on schedule and has not been adversely impacted by the pandemic. The crisis has re-emphasized the importance and value of open access publishing, which has brought additional attention and energy to the project. The project has been divided into 7 work packages and initial COPIM workshops have been carried out or are scheduled to take place soon.

Next Gen Library Publishing partnership ([NGLP](#)) update

The NGLP slide deck is available [here](#).

Since the last SLASIAC update, NGLP has released a white paper on [Encouraging Adherence to Values and Principles in Scholarly Publishing](#), initiated a publishing technology scan and engaged in community outreach and completed a needs assessment.

10. Standing committee/office updates	3:35 – 4:00	00:25			Updates. Opportunity for questions.
A. University Committee on Library and Scholarly Communications			Chair Dennis Ventry (UCOLASC, UCD)		
B. Council of University Librarians			Chair Cowell (CoUL, UCSC)	- SILS briefing (originally sent to the President)	
C. Office of Scholarly Communication			Mitchell (CDL)		

The chairs and representatives yielded their time to earlier agenda items.