

Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)

Meeting minutes
April 24th, 2017 (10:00 – 3:00)

Chair: Scott Waugh
Note Taker: Danielle Westbrook

Attendees:

Scott Waugh (UCLA, SLASIAC Chair)	Jenn Stringer (UCB)	Rita Hao (UCOP)
Günter Waibel (CDL/UCOP)	Robin Garrell (UCLA)	Ted Huang (UCOP)
Mackenzie Smith (UCD, CoUL Chair)	Wendy Streitz (UCOP)	Michael Pazzani (UCR)
Daniel Morgan for Alison Mudditt (UC Press)	Mario Biagioli (UCD)	Eric Bakovic (UCSD, UCOLASC Chair)
Elizabeth Cowell (UCSC)	Shane White (Academic Senate)	Angus MacDonald (UCOP)
Tom Andriola (UCOP)	James Frew (UCSB)	Susan Koskinen (UCB, LAUC Representative)

Regrets: Susan Carlson (UCOP), Alison Mudditt (UC Press), Thomas Cogswell (UCR)

Staff: Danielle Westbrook (CDL/UCOP)

Agenda Item	Begin	Duration	Responsible	Background	Outcome/Goal
1. Welcome - Endorsement of the March minutes	10:00	0:05	Scott Waugh	- SLASIAC March 20 th Meeting Minutes (docx)	

Edit: use “approximately” for “+/-” in the minutes.

Decision: Endorsed with edit.

2. California Digital Library	10:05	0:05	Günter Waibel		
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CDL and the Shared Content Licensing Group (SCLG) are revising the allocations model for how we fairly distribute costs systemwide for licensed content. CDL is also participating in OA policy implementation discussions, particularly around the budgetary pressures of supporting policy implementation for the CDL, what it means to consult all stakeholders, and identifying who the ultimate decision maker is.

3. UCOLASC	10:10	0:05	Eric Bakovic		
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UCOLASC hasn’t met since the last SLASIC meeting, but the committee will meet (remotely) in May. The May meeting will cover three main topics: the OA2020 initiative (sharing experiences, resources and processes around how campuses decide whether to sign – so there isn’t a need to reinvent the wheel); the OSC and progress around creating/compiling activist resources for UC researchers (e.g. Make Facts Free – not yet live, but the webpage aims to educate and re-engage faculty around the OA policy); and OA policy implementation and determining what’s needed for UCOLASC to complete a three-year review.

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4. CoUL - Meeting with the President	10:15	0:10	Mackenzie Smith		
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CoUL met with Pres. Napolitano on April 11; Aimée Dorr and Susan Carlson also joined the meeting. Three main topics were discussed: the vision for the future of the libraries; the NRLF4 expansion; and the Reinvigorating UC Library Collections ask.

The President requested that Aimée Dorr and Susan Carlson review the Collections ask, recommend what should be supported and identify funding for next year (Susan Carlson is working under the assumption that next year is 17/18).

The NRLF4 expansion will be presented at the July Regents meeting by Aimée Dorr, the sponsor. Jeff MacKie-Mason and Erik Mitchell (UCB University Librarian and NRLF Director, respectively) are meeting regularly with OP staff to prepare; they're studying prior Regents meetings and reaching out to sub-committee members for initial feedback.

For next year, the President has asked CoUL to report on library fundraising. Each campus library does fundraising, though success can vary depending on the maturity of the program. There are no systemwide fundraising activities, and CDL does not engage in fundraising.

5. Electronic Theses & Dissertations (ETDs) Task Force	10:25	0:10	Tyrus Miller (guest)		
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The focus of the task force so far has been on gathering information, documents and policies from a range of institutions, and reviewing the treatment of policies in the press and scholarly literature. The May 24th in-person meeting will be held in Oakland.

For the UC ETDs OA policy, the task force wants to address: the embargo question – specifically offering a set of standardized choices for embargos; take-down requests; creative commons; and fair use.

The UCLA ETDs policy and the other systemwide OA policies will act as the basis for the systemwide ETDs policy. Angus is advising the task force on legal issues; he'll also be writing the first draft of the policy (to be reviewed and discussed at the May meeting). The task force is aiming for a policy that is acceptable to all campuses and doesn't scare students off. Moreover, they want to alleviate student anxieties that lead to more restrictive actions. UCLA has reported that the use of embargos drops as students become more comfortable with OA.

Several SLASIAC members agreed that, at the onset of a new policy, an additional burden may be placed on library staff to deal with legal requests (e.g. a law firm requesting when a thesis was made publicly available – for a patent case). Because of the recent change to US patent policy (from "first-to-invent" to "first-to-file") this will become less of a burden over time.

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6. Future of the UC Libraries: a Vision Statement	10:35	0:10	MacKenzie Smith	- Future of the UC Libraries: a Vision Statement (pdf)	Feedback from SLASIAC members, re: the statement
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To recap: the Future of the UC Libraries was presented to President Napolitano on April 11th. She was supportive of the document, and agreed that the University of California needs to determine how it can sustain being a first class library.

Several SLASIAC members noted that they’d like to pull quotes from the statement and cite it. Note: CoUL plans to post the statement online.

Potential edits:

- Don’t need to start with addressing “do we need libraries when everything is online?” Instead, start with why libraries are important and how they’re evolving. CoUL can always finish by answering the question around whether everything is already online.
- Perhaps include the challenges being faced (particularly because the average user/faculty doesn’t fully understand or appreciate the challenges that go beyond Elsevier).
- Worth recognizing that the library, as a physical space, is important to teaching, study, learning, etc. Change “the academic research library is not a place, but...” to “the academic research library is not only a place, but...”

7. UCOP Budget Outlook	10:45	0:30	Dave Baltaxe		Update and discussion, re: the upcoming 17/18 UCOP budget and implications for systemwide initiatives and the Libraries.
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The OP budget team is completing the FY17/18 budget to present to the Regents in May. An audit of OP is currently wrapping up. Audit recommendations (particularly around stakeholder involvement, transparency, etc.) will be implemented over the next few years.

For FY 17/18, a flat budget is expected for unrestricted funds. Moving forward, OP will aim to keep a flat budget. Several OP departments are managing uncontrollable increases by making tradeoffs. For the foreseeable future (3-5 years), big augmentations are unlikely. As audit recommendations are implemented, OP will look at expenditures and begin using actuals as the baseline (instead of the previous year’s budget).

Merit increases are being provided this year by utilizing salary savings. OP looked at the last three years and found that the vacancy factor is between 12% and 15% (meaning OP doesn’t hire as quickly as it would like).

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Günter noted that CDL had originally been given the greenlight to use salary savings to cover the 3-4% increase to licensing costs in FY 17/18 (approx. \$270K). Dave noted that OP doesn't want the use of salary savings for merit to negatively affect the campuses – there is some flexibility, and something can be worked out.

Historically, though some departments have been able to carry-forward unspent money, this practice will need to be limited going forward.

As OP improves budgetary processes and systems, they want to collaboratively work with the divisions to analyze the budget. In general, OP thinks money has been allocated in the right places. Some movement is likely necessary as priorities and requirements have changed over time.

8. Draft of the UC Learning Data Privacy Principles and Recommended Practices: Feedback and Library Implications	11:15	0:30	Jenn Stringer	<ul style="list-style-type: none"> - UC Learning Data Privacy Principles and Recommended Practices draft (pdf) - Learning Data Principles, slides for SLASIAC (pdf) 	Feedback from members.
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Learning analytics: the management, analysis and reporting of learner data to better understand how to optimize learning environments.

Many institutions, particularly those with lower graduation rates, are already utilizing learning data to understand student success rates and to create opportunities for outreach and for faculty to re-engage students.

The Educational Technology Leadership Committee (ETLC) is trying to get ahead of the marketplace, and include language in service/product licenses that gives the university access to the necessary data. Right now, the scenario feels similar to the early days of licensing electronic resources (i.e. the terms aren't always the best).

ETLCs goal is to create a toolkit for campuses and faculty that includes model license language. Right now, UC Berkeley is exploring what kind of access and data standards they want. In addition to data from service and platform providers, ETLC also thinks that the Libraries collect a lot of information about students that might be helpful for utilizing learning analytics.

SLASIAC briefly touched on ethical implications (e.g. should faculty members know how well their students are performing in other courses, or would this bias faculty against doing outreach?). SLASIAC also discussed whether existing campus data policies might conflict with a systemwide policy. Jenn confirmed that the ETLC is creating a set of principles and model language that can be upheld by the system (but each campus needs to own their own policy). Several SLASIAC members noted that a systemwide meeting would be worthwhile (to reflect on principles and determine where there is/isn't agreement).

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9. OA Policy Implementation	12:15	1:00			
A. Implementation Options draft report		0:20	Donald Barclay and Peter Brantley (guests)	- Open Access Policy Implementation draft report (pdf)	Update to SLASIAC on the work completed and planned; opportunity for SLASIAC to give feedback and recommendations.

The library’s Direction and Oversight Committee (DOC) has been discussing OA policy implementation and how to frame larger questions around OA (OA is broadening – it is no longer just articles or books, but also datasets, software, algorithms and visualizations). This has lead DOC to the following questions: What are UC’s goals in supporting OA, beyond supporting OA journal literature? Are these goals measurable? How committed are the faculty and administration in meeting these goals? How are we defining success in terms of compliance? What kind of financial resources is the university committed to providing to ensure the vision for OA can more forward?

SLASIAC members mostly agreed that DOCs questions are important, but that the struggle has been in establishing an approach/process for finding answers and identifying decision-makers/ funds. Recognizing that there is a gap between the policy and academic output, SLASIAC members voiced the need to focus on the task at hand (supporting the policy as it stands – which covers articles).

SLASIAC discussed whether e-journal licenses might be renegotiated to include registry deposits and U. Florida’s recent success in renegotiating with one publisher was noted. While attractive, several members agreed that there are major hurdles to implementing this approach in the near-term (it is a medium-long term goal). This approach would have real costs, would involve renegotiating with many publishers, and would initially result in large disciplinary gaps. One member also noted that some publishers are currently providing links for repositories that require a license agreement to access full-text – so it isn’t open access.

B. What is needed to come to a decision, re: OA Policy Implementation?		0:40	Scott Waugh and Günter Waibel	- OA Policy Implementation strawperson proposal (pdf). Note: not intended to be prescriptive, but instead to start the discussion.	Establish work, outcomes and timeline for the coming months.
<ul style="list-style-type: none"> - Identify funding decision-maker. - Identify who needs to define what success is. 					

SLASIAC members noted that the system lacks a mechanism/platform for nominating and funding systemwide academic initiatives. While there was some support for writing a decision memo to the new provost to request funding/support, it wasn’t unanimous.

SLASIAC discussed that the Provost for Academic Affairs’ budget is very constrained; \$700K is a huge amount of money when there isn’t an augmentation. One SLASIAC member noted that OA policy implementation, and the CDL budget in general, represents a structural problem (that funding in its entirety comes from Provost Aimée Dorr’s budget alone is problematic).

Action: CDL will prepare an OA policy primer report for the COVCs to consider at their May meeting (to be sent to Scott by May 5th). The report should present a business case scenario (briefly outline the UC OA policies, how they’ve been implemented and the cost).

Action: Scott will present the OA policy primer and the Collections Ask to the COVC as examples of how the UC system does not have an effective means of prioritizing and funding large-scale systemwide academic initiatives. Scott will ask for a recommendation on a mechanism for how to find funding for these kinds of systemwide academic needs.

SLASIAC members noted that in addition to input from the COVC, input from the faculty – specifically the UCOLACS three-year review – will be important.

10. OA2020: UC participation & Berlin Conference report	1:15	0:45	Rich Schneider and Ivy Anderson (guests)	UC OA2020 Expression of Interest signatories are UCSF, UCD and UCB.	Report from the Berlin Conference (focus, outcomes, etc.); OA2020 state of play and UC participation.
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Day one of the Berlin Conference was closed to the public and focused on logistics and desired organizational structure (e.g. an oversight body, contacts in each country to support implementing OA2020’s goals, etc.). Day two was open to the public, and several publishers attended. While the original focus of the Max Plank Digital Library and the OA2020 initiative was to switch to an APC model, feedback has highlighted that a multi-approach model is desired. The agenda for the Berlin Conference reflected this feedback, covering a variety of business models. Rich and Ivy both agreed that 2020 is a good target for when we’ll have the necessary systems and momentum in place.

Ivy noted that the goal is to reach a place where subscriptions are easier to walk away from – where enough content has transitioned to OA, and there is less subscription content to keep institutions locked in. Renegotiating big deals will take time, and though CDL has begun presenting APC frameworks to publishers, they haven’t started negotiating any transition deals. CDL wants to set the right benchmark and the right terms.

Rich also noted that often, those who are anti-APCs are actually anti-big-publishers (they are not anti-APCs, but are instead against a system that continues to support the big 5 publishers).

Rich noted that the OA2020 initiative is increasingly aware that a new model shouldn't increase barriers to the global south, or unfairly disadvantage institutions with less funding. In part, having a model that is supported by a range of cost-effective approaches will help combat barriers and address these issues. In joining the OA2020 initiative, US institutions can help make the initiative's roadmap more inclusive. The US represents 50% of subscription revenues – the US can set the tone for how this plays out globally.

<p>11. Collections and collections funding How do we protect our collections funding coalition and invest in emerging collection needs?</p>	<p>2:00</p>	<p>1:00</p>	<p>Ivy Anderson (consultant)</p>	<p>- Reinvigorating UC Library Collections (pdf)</p>	<p>With the <i>Reinvigorating</i> document acting as background, SLASIAC will be apprised of the situation being faced by the Libraries. Discussion and feedback from SLASIAC.</p>
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The growth of available resources is outpacing library budgets – not just for journals, but also for books and media. Within the system, purchasing disparity is widening, making collaborative investment hard. We're reaching a breaking point for existing resources alone; some campuses may need to leave systemwide deals and discontinue local access. Alongside this, UC needs to expand its scope for library collections and begin focusing on digital stewardship of archival materials, data stewardship, and other emerging areas.

The Reinvigorating UC Library Collections and the proposals outlined were requested by the President. The President acknowledged that the collections issues are pressing, and asked Aimée Dorr and Susan Carlson to recommend what should be supported and to identify funding. The ULs noted that OA is a component of the bronze/baseline proposal; it would fund platforms and initiatives like ARL-AAU open monographs and UC Press' Luminos, for example.

Several members noted the need for faculty outreach to connect OA and licensed resources (particularly high prices, and being locked into subscriptions). One member noted the need to separate publishers from their journals (and journal editors) when framing outreach to faculty.

Action: Scott will talk to Susan and Aimée, and ask if they want a letter from SLASIAC in support of the Collections ask.

Action: Scott will raise the Collections ask as an issue for the May COVC meeting (connected to the question around how systemwide academic initiatives are supported and funded).

Action: SLASIAC members should continue to think about how UC mobilizes its faculty around OA and library collections.