

Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)

Minutes
November 06, 2018 (10:00 – 3:00)

Chair: Executive Vice Chancellor & Provost Scott Waugh
Note Taker: Danielle Westbrook

Members in attendance:

Scott Waugh (UCLA, SLASIAC Chair)	Jennifer Chan (UCLA, LAUC rep.)	Angus MacDonald (UCOP)	Tim Sullivan (UC Press)
Kristin Antelman (UCSB)	Thomas Cogswell (UCR)	Michael Pazzani (UCR, Research VC rep.)	Günter Waibel (CDL)
Kum-Kum Bhavnani (Academic Senate Vice Chair)	M. Elizabeth Cowell (UCSC, CoUL Chair)	Rich Schneider (UCSF, UCOLASC Chair)	
Shaun Bowler (UCR, Graduate Dean rep.)	Rita Hao (UCOP)	Mark Seielstad (UCSF)	
Susan Carlson (UCOP)	Ted Huang (UCOP)	Jenn Stringer (UCB, ETLG rep.)	

Regrets: Tom Andriola (UCOP), Susanna Elm (UCB), Deb Agarwal (LBNL), Wendy Streitz (UCOP), Catherine Mitchell (CDL), John Chodacki (CDL)

Guests: Sarah Houghton (CDL), Ruchi Aggarwal (CDL), Allegra Swift (UCSD)

Consultants: Ivy Anderson (CDL)

Staff: Danielle Westbrook (CDL)

Agenda Item	Time	Duration	Responsible	Documents	Outcome/Goal
1. Welcome & introductions <ul style="list-style-type: none"> ○ New members: Vice Chair Kum-Kum Bhavnani (Academic Senate) and UL Kristin Antelman (UCSB) 	10:00 – 10:05	00:05	Executive Vice Chancellor, Provost, and SLASIAC Chair Scott Waugh		
2. 2018/2019 licensed content negotiations <ul style="list-style-type: none"> A. General negotiations and offsetting task force update B. Elsevier Task Force 	10:05 – 11:30	00:25 01:00	Associate Executive Director Ivy Anderson (CDL) Anderson, University Librarian Kristin Antelman (UCSB)	- UC & Elsevier: A briefing from the UC Libraries	Update. Opportunity for questions and discussion.

A. General negotiations and offsetting task force update

In the [Call to Action](#), SLASIAC, the University Committee on Library and Scholarly Communication (UCOLASC), and the UC Council of University Librarians (CoUL) urged the academic community to utilize journal negotiations in the service of two allied goals: cost containment and open access (OA). The UC Libraries have now introduced these transformational cost and OA goals into systemwide licensed content renewal negotiations. With some publishers, cost containment is the initial focus and contracts outline UC’s intention to shift to OA offsetting agreements (where publishing costs offset OA article processing charges with subscription charges). The libraries are carefully pursuing

offsetting pilots with a subset of publishers in 2018/19, seeking to establish scalable and affordable agreements with well-supported OA publishing workflows. In addition to exploring offsetting pilots with commercial/subscription publishers, UC wants to negotiate a similar pilot with a native OA publisher. UC is sharing its proposed pilot with publishers as well as other universities and consortia in the US, with the aim to develop clearer collective paths for transforming the scholarly communication enterprise.

B. Elsevier Task Force update

For the 2018 negotiation with Elsevier, the libraries are seeking both cost reduction and improved OA terms. The CoUL charged Publisher Agreements Task Force, first introduced to SLASIAC at the May meeting and now renamed the Elsevier Task Force, is focused on the Elsevier negotiations. The task force roster includes library and faculty representatives; the UCOLASC Chair and Vice Chair joined the task force in late spring. In addition to supporting the negotiation with Elsevier, the task force is facilitating communication efforts.

The UC negotiation team has met with Elsevier several times in Oakland. An agreement hasn't yet been reached. While Elsevier wants to first reach a commercial agreement, UC has re-affirmed its commitment to an integrated agreement with subscription and OA publishing terms.

The task force has charged a communication team to organize various communication mechanisms and to work with a consultant on outreach. The team is developing an FAQ and website and carrying out faculty consultation (e.g. focus groups) through local academic senates. An op-ed (focused more generally on the goals of cost containment and OA) is also being written by three UC faculty.

A SLASIAC member noted that task force representatives presented the libraries' strategy and integrated proposal to the Council of Vice Chancellors (CoVC), which voiced its support for the libraries. The task force has also met with the Academic Council and UCOLASC, and has plans to meet with other systemwide academic senate committees. Locally, university librarians and campus UCOLASC/COLASC reps are collaborating on outreach to campus academic senate committees and administrative groups. At several campuses, forums are being co-sponsored by the senate, library, and administration.

A SLASIAC member recommended that for the op-ed, or future pieces related to the negotiation, the task force consider publishing in the *Chronicle* or *Inside Higher Ed*. A member also highlighted the need, and task force members agreed, for continued faculty outreach. Campus faculty forums and meetings with local senate committees are scheduled. Upcoming communications will also include more information on access. The libraries advise that negotiations might continue past the contract end date (Dec. 31). If Elsevier suspends UC's access, the vast majority of current content will not be impacted. The libraries have acquired perpetual access to 95% of what's currently used by UC. Access to new content will still be supported, but it might not be as readily available. The libraries have excellent intercampus and interlibrary loan systems, and an alternative access project team has been established to further bolster UC's access mechanisms.

Acknowledging the importance of targeted messaging, a SLASIAC member stressed the significance of UC-wide outreach. SLASIAC members were encouraged to assess campus outreach efforts around the Elsevier negotiation and to provide feedback about its effectiveness.

Decision: SLASIAC supports the Elsevier Task Force's strategy and proposal for the 2018 Elsevier negotiation. SLASIAC reiterates that faculty outreach remains a critical piece of this negotiation. The Task Force should let SLASIAC know if the committee can help in any way.

3. CDL Update	11:30 – 12:15	00:45	Associate Vice Provost and Executive Director Günter Waibel (CDL)		Update. Opportunity for questions.
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CDL has filled several vacancies. New hires include Sarah Houghton (director, discovery and delivery) and Ruchi Aggarwal (finance manager).

As noted at earlier SLASIAC meetings, the vast majority of CDL’s budget inflates annually by 3-4%, causing CDL’s budget shortfall to steadily increase as its budget has remained flat. For 18/19, CDL’s budget proposal acknowledged its need for significant additional funds to cover its structural deficit and to fund support for UC’s OA policies, which have been an unfunded mandate for several years. The 18/19 budget process resulted in productive conversations with the OP budget office. CDL received a permanent augmentation of \$1M in lottery funds. CDL also received a permanent budget augmentation to cover half of the systems cost and one FTE for UC OA policy support; CDL committed existing funds to cover the remaining systems costs as well as one existing developer FTE to advance this effort.

OP implemented an office-wide budget cut to travel, training and meetings. As the source for general cuts and shortfalls in recent years, CDL’s current travel, training and meetings budget is unsustainable for a technology organization and locus for systemwide, national and international collaboration. CDL asks that this budget item reflect mission-based needs as opposed to an undifferentiating OP-wide target. The OP FTE cap is also still in place. Because the cap applies to FTE supported by grants/extramural funds, CDL’s ability to augment its budget is severely impacted.

At SLASIAC members’ encouragement, CDL agreed that it will investigate partnering with campuses on grants that bring about new FTE (so that the FTE increase is counted at the campus, though the staff may sit at CDL) but also acknowledged that the administrative overhead of doing this is significant for CDL’s already small business operations unit. A SLASIAC member encouraged CDL, in its interactions at OP and the campuses, to both emphasize the value of CDL services and highlight CDL’s capacity to effectively supplement its budget with successful grant applications.

4. Standing committee/office updates	12:45 – 1:35				Updates. Some opportunity for questions.
A. University Committee on Library and Scholarly Communication (UCOLASC)		00:10	Chair Rich Schneider (UCOLASC)		
B. Council of University Librarians (CoUL)		00:10	University Librarian and Chair M. Elizabeth Cowell (CoUL)	- Systemwide ILS Project brief	
C. Office of Scholarly Communication (OSC)		00:10	Waibel (CDL, OSC co-director)		
D. Electronic Theses and Dissertations (ETDs) OA policy group		00:10	Vice Provost Susan Carlson		

E. Copyright Ownership Policy		00:10	Angus MacDonald (Senior Counsel, UCOP)	- Letter from Provost Brown, re: management consultation, and FAQ - Copyright Ownership policy	
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A. University Committee on Library and Scholarly Communication (UCOLASC)

UCOLASC is writing a letter in support of Chair May’s endorsement of the Provost and President’s working group to develop policies akin to APM-010 and APM-015 for non-faculty academic appointees. UCOLASC is also preparing a letter to Council to encourage policy development around the use of Research Information Management Systems (RIMS) to gauge outcomes and measure research; without policy to guide the use of RIMS, there is no transparency. Several SLASIAC members noted the need to talk about data policy broadly to ensure open data is protected.

Action: Jenn Stringer will share the Ed. Tech. Leadership Group’s recent guidelines for the use of learning management systems.

UCOLASC will also express to Council the need for libraries to consult with their campus COLASC when making decisions about the utilization of space and physical resources. UCOLASC notes that the libraries have and do consult with faculty on such matters, and that the senate should support these activities and acknowledge the libraries as good stewards of university resources and collections.

A SLASIAC member noted that it’s important to have robust communication plans for topics such as space and resource optimization, and that such plans should include outreach for when local stakeholder and senate committees change membership. A member also reflected that it’s beneficial to equip all staff with core talking points when there’s broad interest so that they can readily engage with faculty and students.

Decision: SLASIAC will write a letter to Academic Council and to CoUL, voicing support for library consultation with faculty around the utilization of library space and collections. The letter will acknowledge the efforts of the libraries to appropriately engage faculty and the critical need for libraries to manage and redesign their space and services to best meet student and faculty needs, which includes the ability to move physical items to secure storage. SLASIAC will note that interested individuals are encouraged to contact their local COLASC and library teams directly.

B. Council of University Librarians (CoUL)

In addition to the various activities already on SLASIAC’s agenda, the libraries are investigating a systemwide Integrated Library System (ILS). A library’s biggest enterprise system, each campus currently licenses and manages its own ILS to manage everything from back of house workflows to resource discovery. All of the libraries are in support of moving to a shared system. To ensure UC’s current and future needs are met in a systemwide ILS, CoUL charged a working group to carry out a multi-phase project to investigate and implement a shared technical and organizational solution. An RFP is planned for next year, and a potential implementation will likely take an additional two years.

C. Office of Scholarly Communication (OSC)

Current OSC deliverables include: a new rights management webpage; a survey on campus RIMS usage; an upcoming guide on journal flipping; and messaging around UC’s OA initiatives. The OSC also offers a journal flipping consultancy for UC editors and is assisting with developing and

hosting communication around the Elsevier negotiation for the Elsevier Task Force. UCSF recently hosted a journal flipping roundtable with UC journal editors that was positively received. The OSC thinks this roundtable might be adapted into a systemwide roadshow.

D. Electronic Theses and Dissertations (ETDs) OA Policy Task Force

A draft ETDs OA policy was circulated for systemwide review between Dec. 2017 and April 2018. The task force has reviewed all feedback and comments, and has revised the draft policy. The revised draft will be out for systemwide review soon. In the event that an existing campus policy provides a different embargo length or stipulation, the local policy may continue to apply.

E. Copyright Ownership Policy

The revised copyright ownership policy went out for management consultation in mid-October and feedback is due by mid-December. The SLASIAC Standing Subcommittee on Copyright Policy will convene to review the feedback and prepare the policy for systemwide review.

5. Open access publishing and pursuing actionable next steps	1:45 – 2:40				
A. Choosing Pathways to OA Forum		00:30	Allegra Swift (Scholarly Communications Librarian, UCSD)	- Forum recap (from the UCB Library News blog)	Report out from the forum and discussion.
B. UC Press and emerging strategies		00:25	Executive Director Tim Sullivan (UC Press)		Update and opportunity for discussion.

A. The Choosing Pathways to Open Access forum

Sponsored by CoUL, the Pathways Forum convened Oct. 16-17 at UC Berkeley. Participants arrived from more than 80 institutions, representing nearly 30 states and four Canadian provinces. CDL’s AVP/ED Günter Waibel served as forum moderator, and facilitators from across the US and Europe, each representing a distinct OA business model, participated. The forum supported action-focused deliberations on a range of OA funding strategies. Commitments to action were voiced at the end of the forum and participants left with customized plans for how to take action. Areas for action include: library-led publishing; investing in open source publishing structure(s); cancelling big deals; setting aside 5% of library budgets to support OA publishing; switching from subscription to OA publishing support; and leveraging metadata to identify journal editors with whom to collaborate. Next steps for the forum organizers include post-forum participant check-in and more broadly promoting the design-thinking structure of the forum as a productive and inclusive way to not only discuss but also accelerate the transition to OA.

B. UC Press

The UC Press currently supports OA journal and monograph publishing alongside standard/non-OA publishing and focuses on the humanities, social sciences, and natural sciences subject areas. The Luminous model offers OA publishing for monographs and is built as a partnership where costs and benefits are shared by the author, publisher, institutions and libraries. OA monograph publishing does not currently move faster than standard publishing, but Editoria, an online production tool for monograph publishing that came about through a collaboration between UC Press, CDL and the Collaborative Knowledge (CoKo) Foundation, has the potential to make digital-first book publishing more cost effective. The

only difference between an OA and non-OA UC Press monograph is the price of the final output; the Luminos project offers the same standards for selection, peer review, production and marketing as any other UC Press book. The Press sees opportunity to increase awareness and to experiment with scale and approaches to OA.

In response to a committee question about whether UC can publish more of its own scholarly work (in terms of the economic feasibility), a fellow committee member noted that authors consider a host of factors when selecting where to publish (prestige, cost, expertise, etc.). Moreover, the Press itself has areas of expertise and focus and is staffed accordingly. Another member noted that in addition to the Press’ OA efforts, CDL’s eScholarship offers a journal publishing service, and the OSC consultancy service helps UC editors flip their journals from subscription to OA.

Several SLASIAC members acknowledged that while UC is already experimenting and making progress in support of and to improve OA publishing for both books and journals, UC might want to more proactively formulate a longer-range (e.g. 10 year) systemwide plan and goals for UC/academy-owned OA publishing. Such a plan should include the modernization and continuous improvement of current UC OA publishing services. A committee member also reflected that OA journals and initiatives are more pervasive in the sciences, and that SLASIAC could discuss how humanities and social science scholars might be better supported in the scholarly communication transition to OA.

6. CDL’s partnership with Dryad	2:40 – 3:00	00:20	Waibel (CDL)	- Dryad/Dash partnership (original announcement from CDL)	Update and opportunity for discussion.
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At the May meeting, CDL announced its partnership with [Dryad](#) (a non-profit that runs a general purpose data repository) to lead an open, community-supported repository service for data curation and publishing. Since the spring, Dryad and CDL have formed a hybrid organizational team. In the coming months, Dryad and CDL will hold IMLS- and NSF-grant sponsored community meetings. One initial focus for the community meetings will be to determine the appropriate institutional membership cost as Dryad will move away from authors and researchers paying for repository services. The aim is to create a sustainable community-led and academy-owned alternative to for-profit data repositories that are expensive for universities and authors alike.

A SLASIAC member recommended that CDL build upon Dryad’s existing identity and clearly outline what it is that the “new” Dryad stands for.