

Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)

May 18, 2015, 10:00 – 3:00

1111 Franklin Street, Room 9204

Oakland, CA

1. Electronic Theses and Dissertations

- Does UC need a systemwide/central statement regarding student deposit of theses and dissertations into an institutional repository?

UCLA is about to adopt a graduate thesis and dissertation “public dissemination policy” to make clear to students the expectations surrounding deposit of ETDs into eScholarship, UC’s institutional repository. The policy says that UCLA will accept embargo requests, generally up to two years, but requests for embargoes beyond two years will be considered on an individual basis. Does SLASIAC recommend that a document like this be used systemwide? If so, which department or entity would keep the policy (or statement)? The Academic Senate Regulations was seen as the most logical home for a policy, with the Coordinating Committee on Graduate Affairs (CCGA) having final approval. SLASIAC members agreed that a general statement was the way to proceed. Angus MacDonald from the Office of General Counsel agreed to work on a draft of a statement that could be used systemwide.

UCLA has also developed a “thesis and dissertation submission agreement” based on examples of similar agreements from around the country and on input from librarians and campus counsel. There was no strong opinion from the group about whether the UCLA agreement should be used as a template for systemwide use. If there is a systemwide template, it should be a set of suggested elements that campuses could then customize for their own agreements.

Action: Angus MacDonald will draft a letter that expresses the University’s expectations for graduate thesis and dissertation deposit in an institutional repository.

2. Copyright Policies

a. Status update: UC Policy on Copyright and Fair Use – results of Final Review

The revised UC Policy on Copyright and Fair Use, which was first approved by SLASIAC in October, 2013, has passed the systemwide Final Review stage and is now going through the final steps of becoming an official UC policy.

b. Recommendations for UC Policy on Copyright Ownership

No one disagreed with the notion of the Copyright Ownership policy being more inclusive of all types of faculty; however, even if the policy can point to a list of titles or a definition in the Academic Personnel Manual (which was recommended) there will most likely still have to be exceptions for certain titles due to personnel/labor relations/union representation issues.

The revised policy should include a preamble that explains the perspective and intentions of the policy.

Next steps: SLASIAC's Standing Subcommittee on Copyright Policy will review the input received at today's meeting and make further edits and adjustments to the policy for initial review by other stakeholders.

3. **Joint UC VCR-CIO Summit on Cyber-infrastructure – Recap and Outcomes**

A common set of themes emerged from the March 23rd *Planning for the Future of UC's Cyber Infrastructure conference*, including visionary thinking, hands-on support, and leveraging of scale. These themes and more are described in further detail in the "[Background and Themes](#)" document provided by Tom Andriola and forwarded to SLASIAC members. Other outcomes include a working group, a steering committee of VC-Rs, and the possible adoption of a systemwide framework.

4. **UNIZIN**

Unizin is a consortium of public higher education institutions that are licensing software and developing a set of standards-based services to enable member institutions to create a unified learning environment with a range of tools for online learning and digital course management. It will support services for software platforms, educational content, and analytics.

The goals of Unizin are to:

- Influence and impact the current vendor controlled landscape where we have limited access to our own institutional content and analytic data.
- Empower faculty to reassert control of their own intellectual content, including the freedom to use, reuse, share, and track usage of their own work.
- Enable student academic success by providing access to data, including the portability of that data and providing

The invitation extended by Unizin to some UC campuses to become founding members prompted systemwide discussions within UC by the Educational Technology Learning Group (ETLG), Information Technology Leadership Council (ITLC), and Council of University Librarians (CoUL). Unizin is one option that UC may wish to pursue, but these groups are also looking at the larger issues surrounding data ownership and stewardship, content ownership and sharing, and the use of learning analytics. COVC is being asked for advice on directions to pursue regarding digital content management and learning analytics at its June meeting.

5. **CDL news & update**

- The California Digital Library and UC Davis will lead new research project on open access, funded by an \$800,000 grant from the Andrew W. Mellon foundation. The "Pay It Forward" project is a year-long effort to study the implications of new funding models for scholarly communications, particularly the use of article processing charges, and determine their sustainability for research universities in the U.S. and Canada (read more about it [here](#)). The goal is to develop a model

that can be used to help balance the responsibilities for publication costs among libraries, funders, publishers, and authors, and will take into account differences in academic disciplines.

- CDL has received a grant from the Sloan Foundation to make improvements to [Dash](#), the data sharing service, to enable increased interaction with other repositories.
- The Merritt data repository can now go ahead with the re-charge plan devised for UC campuses.
- The CDL is working with UC Press to develop a web-based open source content and workflow management system to support the publication of open access monographs in the humanities and social sciences and reduce costs. The project is funded by a \$750,000 grant from the Andrew W. Mellon Foundation. When complete, the system will be made available to the community of academic publishers, including university presses and library publishers.
- The CDL Executive Director Search Committee has started its work, with SLASIAC chair Scott Waugh chairing the committee and including representatives from various UC constituencies (research, libraries, faculty). If SLASIAC members have any suggestions for likely candidates for the position, they should send them to Susan Carlson (susan.carlson@ucop.edu).

6. Presidential Open Access Policy – Update

The Presidential Open Access Policy was discussed at the Provost’s Academic Planning Council and encountered no opposition. The next step is to make a few minor changes and bring it back to the APC in June. After that, it can go to the UC Policy Advisory Committee and move forward to becoming an official policy, ideally by the start of the fall semester.

Unlike the Academic Senate’s version, but like the UCSF policy, the presidential policy will have a deposit requirement. It will apply to all UC employees, except for Academic Senate members.

7. Open Access Policy Implementation Status update

Catherine Mitchell gave an overview of the implementation of the publication management system (formerly referred to as the “harvester” or “harvesting system”) which had a successful launch at the three pilot campuses (UCSF, UCLA, and UC Irvine). When the system was announced and email messages sent out, a large number of faculty logged in and uploaded or linked their articles. The next step is launching the system at the other campuses. (See [Catherine’s presentation here](#).)

Suggestions for additional metrics included information on article deposit by discipline and comparing deposit to usage rates. These will be incorporated into future reports.

The funding for the system is not yet part of the CDL’s permanent budget, but the Provost appreciates the importance of maintaining the system for the Open Access Policy’s implementation. For the current budget, the CDL is requesting a three-year pledge for funding, which would mean a

discount from the vendor. One suggestion made during the meeting was to attract UC administration attention by getting a big grant from a prestigious funder.

Other discussion around the implementation of the publication management system involved the recent information-gathering by the Academic Senate's and whether eScholarship can support articles deposited as a result of the State's open access policy and the pending UC Presidential Open Access Policy (yes, it can support those deposits).

8. Promotion of UC's Open Access Activities & Involvement

Committee members gave suggestions for how the "Open Access at UC" document could be used, including pointing to UC's leadership activities, for promotional purposes, to provide context in other discussions, and making sure participants in these activities are aware of the others. Other suggestions were to revise the structure from a systemwide/campus organization to type of activity (e.g., policy, advocacy, initiative, etc.) and to add an executive summary or one-page gloss. Another idea was to evolve the document into more of a series, where the latest news could be announced with headlines and more promotional text. SLASIAC members agreed that the audience for the paper was everyone from open access "newbies" to those very familiar with the landscape. The world map that Catherine used to show eScholarship usage could be incorporated somehow as well.

Action: The Office of Scholarly Communication staff will incorporate the suggestions and have the document ready by the summer for posting on the OSC website.

9. UC Budget Briefing

The governor's "May revise" budget had good news for UC, which received a budget increase of over \$400 million dollars. In return, UC has agreed not to raise tuition for in-state undergraduate students for two years. The increase included \$25 million for deferred maintenance. Governor Brown asked for some programmatic efforts from UC, most of which are already in the works. These include improving time to degree and transfers, particularly from community colleges. The governor also said he would not veto budget increases that are approved by the legislature

10. Update on CoUL activities – RLF planning update

The Council of University Librarians is looking at a three-pronged strategy in the face of quickly filling storage space: expansion, space management, and collection management. The strategies are all exploratory at this stage. Expansion could include adding on to the existing Northern Regional Library Facility, which includes space for additional "phases" of building and is included in the draft plans for UC Berkeley's Global Campus at the current Richmond Field Station. Building could also happen in a separate location somewhere in California. Space management strategies include potentially adding higher density shelving or other options to further optimize existing space, while collection management includes investigating the cost benefit and feasibility of de-duplication projects for certain selected materials. In addition to their collective library storage space, some of the UC libraries have their own local storage that is generally used for special collections, faculty papers, and materials that may be of local interest.