Systemwide Library and Scholarly Information Advisory Committee (SLASIAC)
October 24, 2013
10:00am to 2:00pm
1111 Franklin Street, Room 12322

Attending:	Mario Biagioli, Karen Butter, Tom Cogswell, Laine Farley, Robin Garrell, Rita Hao, Bill Jacob, Cynthia Johnson, Gene Lucas (chair), Angus MacDonald, Alison Mudditt, Jim Phillips, Lorelei Tanji, Janna Tom (for Wendy Streitz)
Calling-in:	Susan Carlson, Roberto Manduchi, (Paul Yu for Sandra Brown)
Absent:	Tom Andriola, Alfred Kobsa, Debbie Obley, AnnaLee Saxenian
Staff and guests:	Ivy Anderson, Sherylle Mills Englander, Joanne Miller, Catherine Mitchell

1. UC Open Access Policy

    a. Academic Council vote on the UC Open Access Policy (Bill Jacob)

Academic Senate chair Bill Jacob reported on the July 24 vote to pass a UC Open Access Policy. Even though there were concerns expressed at the meeting, there were no new issues that had not been addressed and there was recognition that the policy could be amended or withdrawn if there were negative consequences. The policy passed with a vote of 13-0, with 2 abstentions.

Jacob also reported on the status of the Open Access Textbook initiative, the result of two California bills passed last year. The bill required matching funding of five million dollars from philanthropic sources to move forward, but until now those funds had not been forthcoming. Recently, Jacob reported, the Hewlett Foundation and Gates Foundation proposed funding of one million dollars to begin development of open access textbooks for California. The proposal is part of the "20 Million Minds" Foundation, which is a public/private non-profit organization "dedicated to bringing higher education into the digital age" and reducing the price of textbooks. Three faculty members from each higher education segment (UC, CSU, and the community colleges) will serve on a committee that will start by identifying five textbooks that qualify for open access for the first year. CSU's MERLOT Digital Object Repository will host the textbooks.

    b. Implementation of Open Access Policy (Catherine Mitchell, CDL)

Catherine Mitchell's presentation on the CDL's implementation of the UC Open Access Policy included an overview of the terms of the policy, a timeline, information on letters sent out to inform publishers, and demonstrations of the article submission process. Catherine's team tested the website with multiple stakeholders during the design process, and tried to make the article deposit as simple and clear as possible. In an effort to facilitate communication about the policy and deposit process, the CDL design team created a graphic that can be embedded on campus library and other web pages to link

authors directly to the deposit, waiver, and embargo page, where they can learn more about the Open Access Policy as well as submit articles.

The URL to go directly to that page is: uc-oa.info.

Some disciplines will have greater concerns with depositing works to an open access repository. Authors in the humanities, in particular, often face restrictions on the distribution of copyrighted works included in their own works. The Policy offers an "opt-out" option (the waiver) for those not able to comply with the terms of the license, for whatever reason.

Laine Farley reported that UCOP administration is convening a task force to turn the Academic Senate's policy into a Presidential Policy, which would broaden its applicability to non-Academic Senate members within UC. SLASIAC members who are on the Task Force (Laine Farley, Lorelei Tanji, Wendy Streitz, and UCOLASC chair Roberto Manduchi) can provide updates at future meetings.

2. Open Access-related Budget Concerns (Laine Farley)
   a. Sustaining the OA Policy implementation pilot and adding the other campuses
   d. Letter of support for open access from SLASIAC to Provost Dorr (draft letter included in background)

Laine Farley reported that the CDL received a budget augmentation of $115,000 for initial support for the automated harvesting tool that will be used for the UC Open Access Policy implementation. The CDL has submitted a request for continued funding, which Provost Dorr will support. Farley circulated a draft of a letter from SLASIAC to Provost Dorr in support of continued funding for open access support. The letter describes the current activity that the libraries are engaged in around open access, expresses appreciation for funding supplied so far, and requests continued resources. SLASIAC members made specific suggestions for improving the letter, which will be sent to Provost Dorr on behalf of SLASIAC by chair Gene Lucas.

5. Copyright items - Part 1
   a. UC Copyright Ownership Policy Working Group - Status Report (Sherylle Englander, chair of the Working Group)

Sherylle Mills Englander reported on the progress made by the UC Copyright Ownership Policy Working Group, which was charged by SLASIAC chair Gene Lucas and convened in April of this year. The recommendations made in the Status Report call for further work by the group, including consultation with experts from UC who can advise on issues of personnel, legal language, and the status and disposition of copyrights to software created at the university. SLASIAC members gave their consent for the Working Group to continue, and the Working Group will report its findings back to SLASIAC as it addresses each issue.

   b. Proposed Mellon research project (Laine Farley)

Many publishers have begun to offer "gold" open access for some of their publications. In gold open access, the author (or funder or other source) pays an "article processing charge" (APC) or fee upon acceptance for publication that makes the article freely available immediately upon publication. The Mellon-funded research project will look at what would happen to library or university spending if the current subscription model was "flipped" to be primarily a gold model of open access. While open access holds much promise for the free dissemination of scholarship, the fees need to be kept in check. Right now, the average APC is around $1,500. The libraries want to be cautious that the university does not end up in a position where it spends even more on scholarly publications, only through different channels.

The current Mellon grant is a planning grant that goes to February. After the initial phase, the UC libraries will apply for a more substantial research grant.

c. Supporting the faculty in "gold" OA publishing (e.g., OA funding pilot) (Laine Farley)

During the last 18 months, the UC Libraries have been offering funds to UC faculty, post-docs and graduate students to subsidize the article processing charges (APCs) levied by open access publications. The initial funding of $10,000 per campus was provided by UC's California Digital Library, and the campuses provided matching funds. UC Berkeley's fund (BRII) has been in existence for several years, but this was the first attempt for the rest of the libraries. UCLA used the initial funding to launch an "affordable course materials" initiative that helps identify open access publications.

UCSF University Librarian Karen Butter reported that UCSF went through their $20,000 in only seven or eight months. UCSF provided funding to subsidize up to $2,000 per article published in a fully open access journal and up to $1,000 in a hybrid journal (where authors can choose to make their articles open access). The libraries and CDL plan to evaluate how these funds were used.

6. Office of Scholarly Communication: what's next? (Laine Farley)
    a. Update with CoUL feedback; next steps for SLASIAC

Laine Farley distributed the latest proposal for an Office of Scholarly Communication, which had a few minor differences from the version that SLASIAC members commented on a few months ago. Although the staffing and relationship to the UC Libraries Advisory Structure is still under discussion, the need for such an office and the associated web site for providing materials about the UC Open Access Policy implementation is not in question.

7. CDL Annual Report (2012-13) and Goals for 2013-2014 (Laine Farley)
    a. Question for SLASIAC members: When should CDL take on a role beyond UC?

Laine Farley's presentation. [Link to PowerPoint]

The California Digital Library supports the research lifecycle with services such as the Melvyl union catalog, licensed content, UC-eLinks, the Online Archive of California, the Data Management Planning Tool, EZID, Merritt repository, and the eScholarship repository and publishing services. Farley connected

the three overarching goals from last year with successful efforts and highlights from the past academic year. She introduced SLASIAC members to the new Digital Asset Management System (DAMS), which will be hosted by the CDL and will include digital content (images, video, and more) from the UC libraries.

CDL's Strategic Themes for the coming academic year focus on serving the individual scholar. One challenge is in deciding how involved to be in collaborations and partnerships outside of the University, and, when involvement is worthwhile, how much of a leadership position should the CDL take? Some collaborations cost money, or in-kind support; all of them contribute to the larger library and scholarly community in some way. A current example is the HathiTrust. Some potential partnerships on the horizon include the Digital Public Library of America (DPLA), the Digital Preservation Network (DPN), and the Shared Access Research Ecosystem (SHARE) proposal from AAU, APLU, and ARL.

8. Copyright items – Part 2
   b. Revision of Fair Use of Copyrighted Materials policy

The revision of UC's Fair Use of Copyrighted Materials policy was approved by SLASIAC. The next steps are a systemwide review by the UC community. Joanne Miller will work with the Provost's office to start the process.

   b. Copyright website update

The UC Copyright Website (http://copyright.universityofcalifornia.edu/) revision is in progress. SLASIAC's Subcommittee on Copyright Policy is monitoring the content. Attorneys Rita Hao and Angus MacDonald from the Office of General Council are reviewing the pages.

9. Overview of the new CoUL plan & priorities

UC Irvine University Librarian Lorelei Tanji is the chair of the Council of University Librarians (CoUL) and a new member to SLASIAC. She reported on the newly released version of the CoUL's system-wide vision, mission, and high-level goals document, which was finalized at the beginning of October. The vision and mission have not changed since last year. Some of the goals remain the same, but some new things were added, including data curation, increased digitization of public domain resources, and acquiring and managing "born digital" content. The UC libraries are exploring the possibility of creating a strong single depository collection of U.S. government documents for the UC system. The libraries feel that this may be an area of great promise because it could be added on to existing shared print activities, is ripe for digitization, and could open up much needed library space. Optimizing and repurposing physical library space remains a high priority for the UC Libraries. SLASIAC members expressed support for expanding space at regional library facilities.

10. E-book licensing - What We're Learning and New Models (Laine Farley and Ivy Anderson)

The CDL's Ivy Anderson gave a quick overview of ebooks: what they are, where they come from, how much they cost. Recurring studies are showing that ebooks are becoming more common and acceptable for scholars, and these purchases now comprise seven percent of systemwide licensed content

expenditures. Ebooks also make possible new modes of scholarship, such as data mining. In terms of ebook licensing, the UC libraries have developed a "value statement," modeled on one already in existence at UCLA. The libraries do extensive analysis before making purchases or licensing decisions. The libraries are being cautious about the "bundled" packages that have created constraints in serials licensing. Big deals can be good deals, but they can also quickly lead to a lack of flexibility. At the same time, the UC libraries are pursuing "demand-driven" acquisitions for ebooks – where the user activity determines which ebooks are purchased within library-defined parameters. There are several pilots going on right now that will help set the standards for future purchasing decisions.

At the end of the meeting, SLASIAC members thanked Gene Lucas for his outstanding leadership of the committee over the past several years, and wished him well on his impending retirement.