University of California
Acquisitions Common Interest Group
Annual Report 2007-08

Summary/Charge

In accordance with its official charge to advise and assist the Heads of Technical Services (HOTS), the Acquisitions Common Interest Group (ACIG) discussed and reported on a number of issues/initiatives this past year. Much of the discussion within ACIG this year was driven by CDL and campus efforts to investigate and purchase an Electronic Resources Management System (ERMS). Also at the forefront of discussion were prepayment options for the CDL recharge process, impacts of the Next-Generation Melvyl Pilot, and a number of other *ad hoc* issues.

Leadership and Membership

The ACIG Chair passed to Keith Powell (UCI) for the 2007-08 year. Sharon Scott participated as a new member for much of this past year, representing UC Riverside. Barbara Schader assumed that role in August of this year. Lai-Ying Hsiung also joined ACIG last fall as the UC Santa Cruz representative, replacing Varvara Paizis.

Continuing Members:

UCB:	Rebecca Green
UCLA:	Germaine Wadeborn
UCM:	Jim Dooley (HOTS)
UCSB:	Catherine Nelson
UCI:	Keith Powell (Chair)
UCD:	Lisa Spagnolo
UCR:	Barbara Schader
UCSD:	Tony Harvell (CDL)
UCSC:	Lai-Ying Hsiung
UCSF:	Susan Viets

New Members:

UCSC:	Lai-Ying Hsiung
UCR:	Barbara Schader
UCR:	Sharon Scott

Departing Members:

UCSC:	Varvara Paizis (replaced by Lai-Ying Hsiung)
UCR:	Sharon Scott (replaced by Barbara Schader)

Activities/Accomplishments

While anticipating acquisitions related discussions pertaining to the Next-Generation Melvyl Pilot and also ongoing discussions regarding shared acquisitions mechanisms, ACIG was also presented with opportunities for action and discussion on a number of other issues, both tasked action items from HOTS and those of an *ad hoc* nature. ACIG members agreed to attempt holding quarterly conference calls among available members. Because of time demands on members, three conference calls were held during the year. Both during conference calls and via email, ACIG was able to address the issues noted below.

Action Items from HOTS

ACIG began the year with two primary action items from HOTS:

1. Discuss and compile standard names for consortial packages in order to streamline campus and CDL workflows.
2. Propose a common UC minimum of standard ERMS data or field elements for an campus pursuing an ERMS at the local level.

- **Consortial Packages Standard Names** – ACIG has discussed this throughout the past year and the general consensus has been that, since SCP has tracked its work in cataloging a considerable number of packages, local campuses can and should (as local needs dictate) follow those conventions when appropriate. The need for standardized names may be less necessary without a consortium-wide ERMS implementation but there remains a need for standardization in the cataloging records. A decision was made to share local practice when possible between campuses. UCSD has made available its list of local package names and UCI has a list of local package names that it will share which are based on SCP conventions.

- **Standard ERMS Field Elements** – ACIG has discussed this topic throughout the year and the consensus has been that the most critical fields are those pertaining to licensing. UCSD has made available the license fields it uses with the Innovative ERM. These consist of fixed and variable fields dealing with data such as license type, access, ILL, authentication, among others. There is considerable opportunity for sharing information and approaches since a number of campuses (UCSC, UCB, and UCI) have purchased the Innovative ERM. These campuses have all expressed an interest in actively sharing experiences and approaches among themselves and in benefiting from the experiences of UCSD in implementing their local ERMS.

Ad Hoc Issues

- **Next-Generation Melvyl Pilot**

  Lisa Spagnolo (UCD) presented to the group a report related to holdings for on-order/in-process records in the implementation of the Melvyl Pilot. A recommendation put forth was that holdings should be attached if the on-order/in-process record matched an OCLC record, but that holdings should not be attached if there was no such match. Discussion followed that local workflow issues needed to be discussed and considered in addressing this at point of order. A later report from Lisa also touched on how on-order records were reflected in the Melvyl Pilot depending on how libraries are creating and using records in their local systems for on-order materials.

- **CDL Recharge Prepayment**

  Tony Harvell (UCSD) proposed the idea of campuses prepaying their CDL recharges in order to streamline the recharge process for UCSD. Merced has been prepaying CDL recharges since 2005. A discussion followed about the pros and cons with each campus investigating the impact and local feasibility if such a prepayment plan were implemented. A summary of the ACIG discussion was presented to CDC. At that time, a number of campuses expressed a willingness to pursue the prepaying of CDL recharges. The idea was tabled until FY09/10 as the quickly approaching new fiscal year and staffing changes in CDL Acquisitions did not allow enough time to implement a prepayment process for this fiscal year.

- **WorldCat Selection Tool**

  Following the WorldCat Selection Tool Webinar in October 2007, ACIG had considerable and ongoing discussions about the benefits and problems of this service. A major obstacle for many campuses was the high price for the service as well as the limited number of vendors (particularly domestic vendors) participating in the service. The eventual participation of YBP in the service changed that discussion some. At this time, UCSD is the only campus moving forward in implementing the service. This will be a significant and interesting topic of discussion for ACIG over the coming year.

- **ERMS Purchases**

  The ERMS landscape has changed significantly over the last year. The year began with considerable uncertainty related to the CDL Verde implementation, which concluded with that contract being terminated. There then remained the question of what options were available to CDL and how this impacted the positions of campuses moving forward with local implementations of an ERMS. CDL opted for the Serials Solutions product to manage their purchases. Given the lack of a consortial solution on the market, a number of campuses (UCSC, UCB and UCI) opted for the Innovative ERM module since they were either already using or were implementing the Innovative Millennium ILS. There has been much discussion, going in several directions throughout the year, ultimately reinforcing the need for those

campuses using or implementing the Innovative ERM to talk and work closely together in sharing information and approaches to implementation and use of the ERM.

- **E-books/Springer Pilot**
  ACIG has discussed e-books in the context of how campuses are purchasing them and within the context of the new Springer e-book Pilot. Campuses are purchasing from a number of vendors and are using a number of different hosting platforms from both publishers and third party vendors. Campuses are also purchasing single titles as well as packages of titles offered by publishers and third party vendors. The Springer Pilot raises a number of issues related to these hosting platforms and the issue of package purchases versus single title purchases. Another area of impact for libraries is with their approval plans and if or when to incorporate e-format into those plans. These issues will be of interest to ACIG as the Springer Pilot proceeds and purchasing decisions are made over the next few months.

- **Print Books with E-content**
  A late issue of interest has been books that come with e-content, either online or on disc. Handling and processing these materials varies among campuses, from reviewing licenses and separating or restricting formats to keeping formats together and circulating. This might be an area to discuss further within and outside ACIG in developing best practices.

Goals & Objectives

Keith Powell (UCI) will continue as Chair for a second and concluding year in 2008-09. ACIG plans to hold quarterly conference calls again this year while using email to drive discussions between conference calls.

Topics for consideration in the coming year would be ongoing discussion of some of the issues/initiatives addressed above, including:

- Respond to Topics/Action Items Presented by HOTS.
- ERMS Implementations – CDL and Campuses
- CDL Prepayment
- WorldCat Selection Tool
- Next-Generation Melvyl Pilot
- E-books/Springer Pilot
- Continued Shared Awareness of Emerging Issues

Keith Powell (UCI)
ACIG Chair 2007-08
September 29, 2008