

1 NGTS Phase II – Financial Infrastructure Task Group

2 Executive Summary

3 The group is putting forth seven recommendations related to business models and processes
4 that we believe could better facilitate the systemwide purchasing and processing in the areas of
5 collections, staff, tools, and services. They are grouped into three categories: centralization
6 approaches, the recharge process, and operational efficiencies. Within each category, we have
7 attempted to prioritize the recommendations, however, some are short term others are much more
8 long term.

9 Given the time constraints and the need to gather information from ten campuses plus CDL, we
10 realize our cost estimates are not as precise as are really needed to make a fully informed decision.
11 However we were able to document the high cost of the current recharge process that is being used for
12 CDL coinvestments and agree that it should not be replicated in its current form to support other
13 systemwide initiatives.

14 We recognize that the each of the UC campus libraries is distinctive and activity that has savings
15 at one campus might not reflect the same amount or degree of savings at another campus. Not every
16 instance is one in which one size fits all. We have tried to present arguments on both sides of proposals
17 that take into consideration the fiscal and political realities of the University and its constituents.

18 Centralization Approaches:

- 19 1. UC Libraries fund commonly held collections and technical services operations from a central
20 source. System-wide resources and technical services activities common to all campuses would
21 be funded off the top. (HIGH PRIORITY)
- 22 2. Positions doing work on behalf of system-wide collections and technical services based at a
23 campus need consistent and stable funding, and should be granted terms of employment
24 consistent with their campus-funded peers. (HIGH PRIORITY)
- 25 3. Tools and services used by CDL and the campuses to support collections and technical services,
26 *(with the exception of campus-based OCLC accounts)* should be funded and negotiated and
27 acquired centrally. (MEDIUM PRIORITY)

28 The Recharge Process

- 29 4. A. Move to a deposit account model to reduce the number of recharges processed by CDL
30 Acquisitions and the campuses. (HIGH PRIORITY)
- 31 B. Establish a CDL Acquisitions “pass through” account at UCOP, that will allow CDL
32 Acquisitions Staff to process campus coinvests – reducing the need to send out and receive
33 recharges for specific resources. (MEDIUM PRIORITY)
- 34 5. Establish a secure web site to allow campus representatives and CDL to see CDL invoice and
35 recharge activity and supply account strings for recharges in real time. (HIGH PRIORITY)

36 Operational Efficiencies

- 37 6. Campuses should be encouraged to make better use of campus procurement cards, whenever
38 possible, to reduce the overhead associated with paying invoices and cutting checks. (MEDIUM
39 PRIORITY)
- 40 7. The University of California needs to develop interoperability between campus financial systems
41 that allow inter-campus transactions to flow more smoothly. (HIGH PRIORITY)