	UCB	UCD	UCSC	UCM	UCSB	UCLA	UCR	UCI	UCSD	UCAP	UCORP	UCFW	UCPB	OGC-CWG	Response	Action
Support				1		1										
Partial Support		1	1		1		1	1	1	1		1				
Oppose	1										1		1			
Concerns																
Inclusion of copyrighted material	1		1		1		1	1	1			1	1		Policy already allows opt-out. Deposit is protected by fair use.	Advise UCOP to spend more resources helping art historians negotiate less egregiously restrictive copyright licenses.
Does not specify costs of policy clearly enough		1			1	1	1		1			1			CDL has no incentive to estimate costs or implementation if there is no policy.	Advise CDL and Campus Libraries to create a detailed cost estimate
License grant is too broad		1		1		1		1	1					1	The license grant may be fine, but the policy could still be more precise about what UC is allowed to do with the articles. OGC misunderstands that the policy is intended to limit UCOP's use outside of the license grant itself.	Advise OGC to provide tighter language. Tighten policy language to specify that UC may only make the articles available in eScholarship (and not for sale)
Shifts cost of publication to faculty				1			1			1	1		1		Concerns Gold OA; Policy proposes Green OA.	Cannot be addressed by this policy
Proposal needs a clear business model	1							1		1		`	1		Not clear what is meant by "business model"-- seems to assume that the policy is Gold OA rather than Green OA.	Cannot be addressed by this policy
Enforcement/compliance should be clarified		1			1		1			1					The policy is not in the APM, and is a Senate policy, so enforcement would only consist in encouragement and incentives to deposit.	Specify in an MOU that enforcement would involve encouragement and email and no disiplinary sanctions.
Implementation should be better specified		1					1		1					1	CDL has no incentive to estimate costs or implementation if there is no policy.	Specify implementation in an MOU with UCOP and CDL
Will harm small publishers/scholarly societies	1				1		1	1							All publishers will have the option to enforce opt-out, and ~95% already allow green OA with or without embargo.	Emphasize that faculty can opt-out for any reason, and publishers can require opt out as well
The workload will be too substantial						1			1			1			Policy already specifies that reducing the workload is essential and will be reviewed.	Specify workload in an MOU with UCOP and CDL

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Effect on Promotion and Tenure			1		1					1					The policy does not affect promotion and tenure because it is not in the APM;	Emphasize that faculty can opt-out for any reason, including career concerns
Definition of "scholarly articles"					1	1				1					The language cannot be made more specific without excluding various disciplines. It is also consistent with the other 142 policies	keep proposed language
Co-authorship					1	1			1						Copyright law grants co-authors equal rights in a publication, the policy cannot change this fact.	Cannot be addressed by this policy
Exclusion/Inclusion of non-Senate academic personnel			1						1					1	Only UCOP can govern non-senate faculty.	Specify this in an MOU?
Prefer Opt-in Policy									1				1		Opt-in = Status Quo	
Derivative works should be restricted		1						1							Authors would be allowed to choose from menu of restictive licenses	Specify in MOU that default is CC-by but authors can choose CC-NC or CC-ND
Deposit obligation should be more clearly specified in the policy		1												1		UC Davis suggestions might address this
Goals are already met by faculty making work available									1						not true. Opt-in open access is dramatically less effective than opt out.	
UCOLASC should have an advisory/oversight role with CDL									1						It already does.	
e-Scholarship is not the best option									1						Faculty can satisfy the policy by despositing in any OA repository or publishing in an OA journal	
Policy will not have any effect on Publishing industry			1													
Should not give control of copyright to UCOP					1										Policy does not do this; scholars already give exclusive control of copyright to publishers routinely.	

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Unnecessary because funders are leading OA								1							Not true. No new US legislation since 2006.	
Fails to address diversity of disciplines	1														Not clear what would be required to do this.	
Does not account for complexity of publishing world	1														Not clear what would address this.	
Could harm various publishers	1														Not clear who is intended here	
The policy should include an opt-out clause	1														It already does.	
Proposal does not indemnify the regents														1	This indemnification would be part of the deposit process, not the policy itself	Specify implementation in an MOU with UCOP and CDL
Suggestions																
Study data/financial consequences at other universities w/ OA policies					1			1				1				Compile statistics and experiences from other universities and UCSF
A funding mechanism for publication is needed				1			1			1					Policy does not require authors pay for publication.	Request that UCOP or Campus libraries provide more such funds
Proposal should restrict commercial re-use									1			1			Proposal can be tighted to restrict commercial re-use by UCOP; however, the end license should default to the most liberal one so that individual faculty can choose more restrictive licenses when they upload	Specify in MOU that default is CC-by but authors can choose CC-NC or CC-ND
Recommend MOU with UCOP											1				Excellent suggestion	Specify implementation in an MOU with UCOP and CDL
Wait to see what happens at UCSF											1				Already doing that.	
Recommend restricting policy to Final Version only											1				The policy already does this "authors final version"	keep existing language

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Suggest embargo instead of full OA								1							Authors can already choose to embargo. Publishers can ask authors to do so as well.	keep existing language
Suggest 5 year trial period															The policy already has a 3 year trial built in	keep existing language
Add a Waiver of deposit															Opt-in = status quo	
LEGEND																
Implementation Issues																
Cannot be affected or addressed by the proposed OA policy																
Policy Language Issues																