

Re: UC Libraries Advisory Structure Bimonthly Report

To: UC Libraries Advisory Structure (via Rosalie Lack for information)
Users Council (via Jayne Dickson for information & distribution)
CoUL (for information)
LAUC (via Matt Conner for information & distribution)

From: Coordinating Committee, UC Libraries Advisory Structure

Date: February 3, 2015

The following report provides a bimonthly update on UC Libraries Advisory Structure (UCLAS) activities for the period of November and December 2014 provided by the Council of University Libraries, the advisory structure's Coordinating Committee, Strategic Action Groups and Collection Licensing Subgroup.

[Council of University Librarians \(CoUL\)](#)

- CoUL endorsed a "Statement of Best Practices in Fair Use of Collections Containing Orphan Works for Libraries, Archives, and Other Memory Institutions." shared by David Hansen (UCB Law School)
- CoUL continued its review of the UCLAS Assessment Report from consultant, Katherine Kott.

[Coordinating Committee \(CC\)](#)

- CC launched a new UC Libraries Advisory Structure blog: <https://uclasblog1.wordpress.com/>
- CC reviewed and commented on the initial draft of the report by the consultant, Katherine Kott, hired to conduct the advisory structure's assessment. The CC also created and shared with CoUL a set of recommendations based on the findings.
- CC reviewed and transmitted the following reports to CoUL: 1) SAG 3 FedDocArc update and request; 2) SAG 3 Shared ILS/RMS Feasibility Task Force Report; 3) UC Shared Print Strategy and Operations Teams' Print Journal Archiving Campaign and De-Duplication Service Proposal
- CC reviewed and provided feedback to the SAG3 CCDD Task Force's proposal entitled "Digitization of UC Library Collections via Collaborative Agreement with a Vendor"
- CC approved the formation of the Library IT CKG
- CC held its quarterly call with LAUC officers and LAUC UCLAS representatives.
- CC said good-bye to Marlo Young, CC Communications Manager, who left UC in December. Marlo will be greatly missed by the CC and all UCLAS.

[Strategic Action Group 1: Scholarly Research & Communication \(SAG1\)](#)

Workplan

- Created a workplan, mapped to [UC Libraries Systemwide Plan & Priorities, focus on 2014-2015](#) and the new [Office of Scholarly Communications](#) workplan. The SAG1 workplan revision focused on sharpening our focus on our core areas, taking stock of the work that has happened over the last year, and delineating where we need to put more energy. The workplan was sent to the Coordinating Committee.

- Based on the workplan, the group has started working on some of the deliverables, including creation of a stakeholders list for SAG1-related services, a working plan for how to deal with new requests that come to the SAG, and a Team Basics document that describes our working processes.

Specific Project Updates

- **ORCID.** A subgroup of SAG1 members began discussion for an [ORCID](#) project team. There has been an increasing use of ORCID on our campuses, but there is no UC-wide coordination of the service. The plan is to propose an official project team around ORCID in early 2015.
- **Transformative Scholarly Communication Initiatives.** Held group discussions on the "Final Report: Criteria for Investment in Transformative Scholarly Communication Initiatives," which was created by a group of members from SAG1, CLS and SAG3. Pending some minimal changes and suggestions, SAG1 approved this document.
- **OA Fund Assessment.** The group also discussed the "OA Fund Assessment Questions Task Group - Part 1." Again, pending some suggested edits, the group approved the document.

[Strategic Action Group 2: Access, Discovery & Infrastructure \(SAG2\)](#)

- Forwarded final UC Libraries Metadata Sharing Policy to Coordinating Committee for transmittal to CoUL
- Approved ILL CKG survey proposal; assigned SAG 2 liaison
- Proposed new design of SAG 2 wiki space, based upon SAG 3's example
- Submitted SAG 2 workplan mapped to [UC Libraries Systemwide Plan & Priorities, focused on 2014-2015](#) to the Coordinating Committee

Specific Project Updates

- [UCLDC project](#) reported on releases and milestones

[Strategic Action Group 3: Collection Building & Management \(SAG3\)](#)

SAG 3 Shared ILS/RMS Investigation Task Force

- SAG 3 sent the **SAG 3 Shared ILS/RMS Feasibility Task Force Report** to the CoUL (via the UCLAS Coordinating Committee) on October 7, 2014.
- CoUL is discussing the report. They have not yet made any decisions on the recommendations or actions in this report, but have shared this report with UCLAS and campus library employees.
 - The Executive Summary is publicly available on the SAG 3 website: <http://libraries.universityofcalifornia.edu/sag3/shared-ilsrms-tf-documents> under the "Shared ILS / Resource Management System Task Force" documents section. The full Task Force Report is also available to UC Library employees on the SAG 3 website as a password protected document.

UC Federal Documents Archive Task Force

- SAG 3 sent the UC FedDocArc update and requested actions to CoUL (via the UCLAS Coordinating Committee) on December 22, 2014.
 - The actions include a request for approval of a Shared Housing Agreement, and continued advocacy for free and open viewing and full PDF download of all federal documents in HathiTrust.

- The UC Federal Documents Archive Implementation Oversight Team has been charged and will begin in January 2015.

UC Shared Print Strategy and Operations Teams' Print Journal Archiving Campaign and De-Duplication Service Proposal

- SAG 3 forwarded the ***UC Shared Print Strategy and Operations Teams' Print Journal Archiving Campaign and De-Duplication Service Proposal*** to CoUL (via the UCLAS Coordinating Committee) on October 28, 2014.
- SAG 3 endorsed the ***Proposal*** "with the understanding that, since items will be volume-level verified (issue-verification is more costly), **volume-level validation standards will be developed** and **an assessment or audit process will be established** to determine how well the volume-level validation works, and to confirm the validation level proposed is sufficient. These two items can be developed by the Teams as the implementation process is worked out."
- SAG 3 requested CoUL's endorsement of the ***Proposal***.

Collaborative Collection Development via Digitization (CCDD) Task Force

- SAG 3 submitted the SAG3 CCDD Task Force's proposal entitled "Digitization of UC Library Collections via Collaborative Agreement with a Vendor" for review by the Coordinating Committee.
- The Coordinating Committee has provided feedback, which the Task Force is incorporating.
- SAG 3 anticipates this proposal moving forward to CoUL, with a request for endorsement, in January 2015.
- If the proposal is endorsed by CoUL, SAG3 will have the CCDD TF develop a project team charge for SAG 3's review and approval.

Collection Librarian/Bibliographer Groups Concept Proposal

- SAG 3 provided an update about the UC Bibliographer/Collection Librarian Groups on November 5, 2014.
- SAG 3 provided [an update via an email](#) distributed through the UCLAS communication channel on November 19, 2014, identifying these next steps for SAG 3:
- Update the **Guidelines for UC Bibliographer Groups**, outlining expectations for roles, responsibilities, activities, and participation to ensure they remain vital and effective.
 - Work with current UC Bibliographer Groups to self-identify appropriate groupings such as mergers, separation, dissolutions or creations aligned with the academic needs and staffing across the UC Libraries.
 - Recommend support from CoUL to provide a common suite of resources and tools for use by all UC Bibliographer Group members as a building block to encouraging deeper collaboration between campuses (e.g. central hosting of wikis, web sites, rosters, listservs; collaboration tools for calls, collaborative documents and project sites).
 - Develop a toolkit to aid UC Bibliographer Groups' function and communication with other UC collection-related groups.
 - Encourage continued clarification and communication about the roles, responsibilities, and activities of UC collection-related groups to determine where modifications and new approaches are beneficial.

- Draft guidelines have been prepared, and are being reviewed by CLS, with distribution to Collection Librarian Groups scheduled for January 2015.
- Current Bibliographer Group chairs have been contacted and requested to provide a brief, annual report to SAG 3 on their group's activities. 14 reports have been received thus far.

Portico Assessment and Audit

- The Preservation Advisory Group (PAG) had received a charge from CDC to define an ongoing audit practice for licensed e-journal packages. PAG is now the Preservation CKG, and that group forwarded to SAG 3 their report findings, which indicate that Portico may not in fact provide the level of preservation of e-journals that Portico is supposed to ensure.
- SAG 3 is forwarding the Portico audit report to the appropriate individuals, and is requesting guidance.

RLF Access Issues Task Force

- In response to RLF access issues raised by LAUC-Berkeley, SAG 3 appointed a task force (Lena Zentall, lead (CDL), Heather Christenson (CDL), Kendra Levine (UCB), and John Riemer (UCLA)) to investigate and assess the issues, and to provide recommendations to SAG 3 on how the discussion might move forward to a positive resolution.
- The Task Force provided an investigative summary to SAG 3, and Lena Zentall (CDL) and Patti Martin (CDL) with Beth Dupuis (UCB) and Erik Mitchell (UCB) to discuss the findings, which indicated that the issues were primarily UCB-specific. SAG 3 forwarded the Task Force's investigative summary officially to Patti Martin in her role as SAG 2 Chair, for SAG 2 to pursue as needed.
- Beth Dupuis and Erik Mitchell will review the investigative summary with the appropriate people at Berkeley.
- SAG 3 thanked and concluded the Task Force on November 19, 2014.

Presentation on UCLDC Project

- Sherri Berger (CDL) and Catherine Mitchell (CDL) joined SAG 3's November 19 call to discuss the UC digital landscape, and the UCLDC (DAMS) project, which focuses on the management, aggregation, and sharing of UC's digital collections.

SAG 3 Work plan

- As requested by the UCLAS Coordinating Committee, SAG 3 prepared and submitted our **Work plan 2015**, with SAG 3 activities mapped to [UC Libraries Systemwide Plan & Priorities, focused on 2014-2015](#)

SAG 3 Portfolio Manager

- Recruitment continues for a Portfolio Manager for SAG 3, to replace Leslie Wolf (CDL) who retired in October 2014.
- In the meantime, SAG 3 member Emily Lin (Merced) is serving as the Interim Portfolio Manager.

Strategic Action Group 3: Collection Licensing Subgroup (CLS)

- CLS worked on licensing issues; title transfers
- CLS jointly launched with SAG 1 the Open Access Pilot Survey Group. The Survey Pilot Group completed part I of its charge: defining the survey scope
- The jointly-sponsored (CLS/SAG 1) Transformative Publishing Models Group submitted their final report for Part I of their charge
- CLS reviewed and endorsed the JSC revised charge
- CLS completed and submitted its work plan mapped to the [UC Libraries Systemwide Plan & Priorities, focused on 2014-2015](#) to the Coordinating Committee

Additional details pertaining to the activities of the UCL Advisory Structure's Coordinating Committee and Strategic Action Groups can be found in public minutes regularly posted online:

<http://libraries.universityofcalifornia.edu/about/advisory-structure>

Any questions about advisory structure activities can be directed to campus and LAUC representatives on the Strategic Action Groups or directly to the Coordinating Committee at CCC-L [at] ucop [dot] edu