



OFFICE OF THE VICE PROVOST -  
ACADEMIC PERSONNEL

OFFICE OF THE PRESIDENT  
1111 Franklin Street, 11<sup>th</sup> Floor  
Oakland, California 94607-5200

March 25, 2014

**VICE PRESIDENT DUCKETT  
VICE PRESIDENT SAKAKI  
VICE PROVOSTS OF ACADEMIC AFFAIRS  
COUNCIL OF GRADUATE DEANS  
UNIVERSITY EXTENSION DEANS  
COUNCIL OF UNIVERSITY LIBRARIANS  
LAUC PRESIDENT ROBINSON**

**SUBJECT: PRELIMINARY REVIEW OF DRAFT OPEN ACCESS POLICY IN  
PREPARATION FOR SYSTEMWIDE REVIEW**

Dear Colleagues:

The Provost's Task Force on Open Access Policy has been asked to draft an open access policy for the University of California. The Task Force, chaired by UCLA Associate Professor Chris Kelty, has been appointed by Provost and Executive Vice President for Academic Affairs Aimée Dorr in response to a request by the Academic Senate to extend the rights and responsibilities of the policy that the Senate passed on July 24, 2013. While the Senate's policy covers only Senate faculty, this draft policy will cover other faculty, academic appointees, staff, and students who author scholarly articles. (For the Senate policy, see [http://senate.universityofcalifornia.edu/RLP2Dorr\\_OpenAccess.pdf](http://senate.universityofcalifornia.edu/RLP2Dorr_OpenAccess.pdf).) Because so many of the people who would be covered by such a policy are not represented by the Senate, we are asking for preliminary input and feedback from those who would be affected by this policy.

Soon this draft policy will go out for the standard systemwide review; in advance of that review, we are seeking advice, suggestions and/or support for this policy so that we can incorporate feedback into the draft that circulates for review.

You are not required to respond to this query if you have no immediate concerns, but if you do, please let us know before April 28, 2014. Your responses should be sent to [ADV-VPCARLSON-SA@ucop.edu](mailto:ADV-VPCARLSON-SA@ucop.edu).

Sincerely,

A handwritten signature in cursive script that reads "Susan Carlson".

Susan Carlson  
Vice Provost  
Academic Personnel

Enclosures: Presidential Open Access Policy Briefing  
Proposed Draft Presidential Open Access Policy

cc: President Napolitano  
Provost and Executive Vice President for Academic Affairs Dorr  
Academic Council Chair Jacob  
Senior Vice President Vacca  
Vice President Beckwith  
Chief of Staff Grossman  
Deputy/UCOP Compliance Officer Lane  
Executive Director Farley  
Executive Director Streit  
Executive Director Tanaka  
Executive Director Winnacker  
Director Chester  
Director Greenspan  
Director Mitchell  
Chief of Staff/Director of HR Policy Skarakis  
Senior Counsel Hao  
Senior Counsel MacDonald  
Manager Lockwood  
Policy Coordinator Trifonov  
Senior Analyst Banaria  
Associate Professor Kelty, Chair, Open Access Task Force (UCLA)  
Professor Clare (UCR)  
Professor Konopelski (UCSC)  
Professor Manduchi (UCSC)  
Professor Schneider (UCSF)  
University Librarian Tanji (UCI)