

## UC Libraries Direction & Oversight Committee (DOC)

Agenda  
 October 7, 2019, 10am-4pm  
 300 Lakeside @ 20<sup>th</sup>/Harrison, **Room 512**, Oakland, CA  
 BART Oakland 19<sup>th</sup> Street station (follow exit signs for 20<sup>th</sup> street)

Chair: Felicia Poe  
 Note Taker: Katie Ritchey

Zoom: <https://UCOP.zoom.us/j/516184237>

**Members in attendance:**

Beth Dupuis (UCB)	Edson Smith (UCLA)	Catherine Friedman (UCSD)	Sarah Troy (UCSC)
Rice Majors (UCD)	Donald Barclay (UCM)		Felicia Poe (CDL)
	Ann Frenkel (UCR)	Alan Grosenheider (UCSB)	Steve Mandeville-Gamble (UCR, CoUL)
			Joshua Hutchinson (UCI, LAUC)

**Regrets:** Alison Regan (UCI), John Renaud (UCI); Todd Grappone (UCLA), Polina Ilieva (UCSF)

**Guests:** Jeff Mackie-Mason (UCB), Alison Scott (UCLA), Caitlin Nelson (CDL)

Agenda Item	Time	Duration	Responsible	Documents	Notes
<p><b>Welcome, logistics, intros, agenda review</b>                      Exercise: Round Robin (10 min)                      "I'm hoping today's meeting will..."</p>	10:00am – 10:20am	20 min	Poe		DOC members shared their goals for the meeting.
<p><b>UC Libraries Shared Services</b></p> <ul style="list-style-type: none"> <li>• Intro / overview</li> <li>• Digital Reference Shared Service Team</li> <li>• ILL Courier Shared Service Team</li> </ul>	10:20am – 11:00am	40 min	F. Poe (intro) Friedman	<p><a href="#">UC Libraries Shared Services Inventory (2017)</a></p> <p>Note: The 2017 inventory is somewhat out-of-date but useful for discussion</p>	<p>Background: UC libraries contribute to 30+ shared systemwide services. Two shared services are managed by DOC, and the majority of the balance are maintained by CDL.</p> <p>DOC members agree that there are opportunities for collaboration. The SILS project could be a guide for prioritization.</p> <p>For future consideration:                      How do we effectively and efficiently review the work of systemwide groups?                      How can DOC help acculturate systemwide collaboration?</p> <p>Friedman offered updates on the Digital Reference Shared Service Team, ILL Courier Shared Service Team, Collaborative Reference Project Team, and the UC</p>

**UC Libraries Direction & Oversight Committee (DOC) – Meeting Agenda – 10/07/19**

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					<p>Libraries Materials Transportation RFP Project Team, including brief overview and update on current challenges.</p> <ul style="list-style-type: none"> <li><b>ACTION:</b> Felicia Poe will follow up with Alison Regan regarding the Digital Reference SST</li> </ul>
<b>Break</b>	11:00am - 11:10am	10 min			
<p><b>Digital Preservation Strategy WG Phase 2 charge</b></p> <p>Goal: Discuss/finalize draft charge; forward to CoUL for final approval</p>	11:10am – Noon	60 min	Troy	<p><a href="#">Phase One Report (April 2019)</a></p> <p><a href="#">Digital Preservation Strategy Working Group – Phase Two Charge (09/10/19) - DRAFT</a></p>	<p>The focus of the Phase 2 charge is on identifying common content, workflow and policy development rather than technology. Troy will serve as the DOC liaison to Phase 2.</p> <p><b>ACTION:</b> Troy will revise charge and share with Erik Mitchell (CoUL Liaison to DPSWG).</p> <p><b>ACTION:</b> Troy will confirm working group membership.</p>
<b>Lunch</b>	Noon – 12:45pm	45 min	All		
<p><b>Exercise – Round Robin (15 min)</b></p> <p>“A change I’d like to see in DOC...”</p>	12:45pm – 1:00pm	15 min	All		DOC members discussed changes they feel would improve DOC’s engagement, functionality, and purpose.
<p><b>SILS Shared Governance Task Force (SGTF)</b></p> <p>Note: DOC members who sit on SILS SGTF include Barclay, Poe, Regan, Troy</p> <p>Goal: Introduce DOC to SILS Shared Governance</p>	1:00pm – 1:30pm	30 min	D. Barclay F. Poe S. Troy	<p><a href="#">Shared Governance Principles</a></p> <p><a href="#">SILS Phase 3 org structure (see p9 of 11)</a></p>	<p>Background: As SILS Phase 3 (focus = RFP, harmonization) draws to a close, the Phase 4 governance model must be established. Phase 4 includes implementation and harmonization from the point at which contract is signed to go-live (and likely for another year or two before transitioning to an ongoing management structure).</p> <p>DOC reviewed sample structures created to try out different governance models. The samples are being reviewed by a subgroup of SGTF, which will then draft a single structure from which SGTF will work.</p>

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Principles and draft SILS Phase 4 governance model. Discuss implications for current <a href="#">UC Libraries Advisory Structure</a> .				<a href="#">UCL Advisory Structure (rev 01/16)</a>	
<b>SILS Harmonization Task Force</b> Guest: <ul style="list-style-type: none"> <li>Caitlin Nelson (CDL) SILS Harmonization TF, chair</li> </ul>	1:30pm – 2:00pm	30 min	C. Nelson	<a href="#">Harmonization on Principles &amp; Guidelines</a>	Caitlin gave an overview of the Harmonization Task Force. (Will share notes to include) <ul style="list-style-type: none"> <li>As we move through this harmonization work as a system, it will be important to have a culture of humility and relinquishment and collaboration from top to bottom.</li> <li>One of the goals of this group will be to help campuses define and prioritize local needs in relation to system needs so that the data and processes are compatible.</li> <li>These conversations may need to happen on the campus level as well as system level. The people who care about these changes may be faculty or other stakeholders rather than staff.</li> </ul>
<b>Project Transform</b> Guests: <ul style="list-style-type: none"> <li>Jeff Mackie-Mason (UCB) Project Transform WG, co-chair</li> <li>Alison Scott (UCLA) Project Transform WG, SCLG chair</li> </ul>	2:00pm – 3:00pm	60 min	F. Poe (intro) J. Mackie-Mason A. Scott	Useful background docs: <a href="#">Project Transform Group Rosters</a>  <a href="#">Pathways to OA: Executive Summary and Chart (Feb 2018)</a>  <a href="#">Choosing Pathways to</a>	<a href="#">Project Transform Charge</a>  Background In 2016 an international conference on OA in Berlin issued an expression of interest which institutions could sign to commit to accelerating the change towards OA through transforming subscription agreements with publishers to publisher agreements. Many institutions across Europe signed, but no US institutions joined. In Winter 2016 CoUL began developing an OA roadmap that could work for US institutions. In 2018 they published the Pathways to OA document, a strategic plan on how to accelerate the transition to OA. It took a “big tent” approach to OA, incorporating a variety of different strategies based on needs. Around the same time the UC Academic Senate published their Statement of Rights and Principles, which stated their goals for moving towards OA. Both plans were combined to create a call to action that was released in June 2018. The plan focused on journal negotiations, charging us to change negotiations with existing publishers to include 100% OA for UC authored articles

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				<a href="#">Open Access (CP20A Forum) (April 2019)</a>	<p>at a net cost savings for the UC. This began with a pilot year of negotiations with 7-8 publishers with a goal of 3-4 agreements.</p> <p>In June 2019 CoUL established Project Transform (PT), which would continue the work begun in the pilot for a period of 2 years, at which point it would be reevaluated. PT is intended to be a finite project during the transition to OA. PT works with the support of the Academic Senate.</p> <p>SCLG receives an update from PT at all of their meetings. PT negotiates and makes a recommendation to CoUL. The recommendation is simultaneously vetted by SCLG, which makes its own recommendation to CoUL. Projects outside of the scope of transition to OA continue to be managed by SCLG. Once an agreement has been reached to move a publisher to OA, SCLG takes over management of that contract. The SCLG STAR team remains an independent group that focuses on born OA resources.</p>
<p><b>Systemwide Communications</b></p> <p>SPP FY2019-20 Goal 3: Strengthen systemwide communications</p> <p>Exercise: Donald Barclay will lead brainstorming exercise on developing scope and approach to charge</p>	3:00pm – 3:45 pm	45 min	D. Barclay	<a href="#">Systemwide Plans &amp; Priorities FY2019-20</a>  <a href="#">Communication and Collaboration Tools Final Report (06/15/17)</a>	<p>“Assess how the UC Libraries currently communicate with the libraries and employees around core systemwide activities. Assess current mechanisms for engaging employees and supporting collaboration and information exchange. Develop and implement a plan to strengthen the UC Libraries’ systemwide communications.”</p> <p>Barclay led DOC members in an activity designed to surface obstacles/challenges to effective systemwide communication. DOC discussed what types of communications are required/desired at the systemwide level.</p> <p><b>ACTION:</b> DOC SC will develop a draft charge to share with DOC and CoUL</p>
<p><b>Closing</b></p> <p>Exercise: Round Robin (10 min)</p> <p>“I’ll consider DOC successful if in six months we...”</p>	3:45pm – 4:00pm	15 min	All		Postponed in favor of celebrating CF’s retirement.