

## UC Libraries Open Access Resource Management Task Force Charge (FINAL)

### Background

In March 2021, the SILS Public Services Escalation Leaders Group (PSELG) responded to the escalated question, "Should we, as a system, use CDI to manage OA resources?" with a recommendation for the immediate formation of a temporary, cross-functional subteam to investigate aspects of open access resource management across the UC Libraries, and the potential integration of the [Ex Libris Central Discovery Index \(CDI\)](#) into open access resource management workflows. An initial investigation of the question pointed to multiple intersections between work underway within the SILS Phase 4 implementation project and that of existing UC Libraries groups outside of SILS.

Given the broad scope of the question and in recognition of its importance, the PSELG chairs (Callahan, Ogawa), representatives of DOC (Little, Poe), and the SILS Shared Governance Task Force co-chairs (Steel, Sotelo) met with the SILS Working Group to affirm that escalating the PSELG decision page to DOC for next steps was appropriate.

### Charge and High-Level Deliverables

Building and managing collections to provide access to a broad array of scholarly information resources remains one of the highest priorities for the UC Libraries, and significant resources are invested in ensuring the discoverability and use of collections, including open access resources.

Drawing upon the initial research and findings of the PSELG, including the [CDI to manage OA resources decision](#) and the [Summary of PSELG's findings on the question of using CDI to manage OA resources](#), the Open Access Management Task Force will:

- 1) Investigate how best to manage OA resource activation across the UC Libraries system;
- 2) Develop a systemwide standard practice of how and when OA resources are included in the CDI; and
- 3) Conduct a review of current UC Libraries documents outlining the policies and procedures for shared cataloging, linking and management; recommend proposed revisions.

Where appropriate, the task force should distinguish between OA resources within which UC has explicitly made a financial commitment or where it is the publisher, from those OA resources "in the wild" which may be represented in the CDI. Examples of investments may range from transformative journal publishing agreements, to one-time or ongoing support for e-book initiatives. Examples of UC as a publisher include local repositories and curated collections.

### Principles

Early PSELG efforts to assess and build a framework for understanding the potential use of the CDI to manage OA resources quickly revealed a range of issues and divergent opinions. The group's findings include:

*“The question touches on many issues at the campus level and the organization as a whole, for functions where substantial expertise, personal identity, and financial investment have been made and will be made in the future... With each group, and even within groups, there is evidence of widely divergent views on the path forward, let alone the best solution. Any group taking up this question would need to balance philosophical and technical expertise with the ability to come to a practically implementable consensus.”*

The task force shall utilize the [SILS Mission, Principles and Shared Assumption \(2020\)](#) for guidance in decision-making and in finding common ground. Also of value is the [SILS Discovery Vision \(2020\)](#), developed by the SILS 4 Discovery Functional Group, and the [SILS Harmonization Principles \(2019\)](#).

## Projected Timeline & Activities

The UC Library Search service will launch on July 27, 2021. Phase 4 of the SILS implementation project will continue through December 22, 2021, at which time Phase 4 will officially conclude and the ongoing governance structure launch. The August-December transition period will be an opportunity for Phase 4 groups to turn attention to those activities not crucial to meeting the go-live date, and is expected to include post-implementation activities, clean-up and standardization work, refining workflows, drafting policy, and the like.

The Open Access Resource Management Task Force is encouraged and empowered to prioritize and act upon decisions that need to be made before the UC Library Search service launch on July 27<sup>th</sup>, and to defer those decisions that can be made during the August-December transition period.

The task force is broadly empowered to review and recommend revisions to the current [UC Libraries Policies and Procedures for Shared Cataloging, Linking, and Management](#). Consideration should be given to the potential use of the CDI to manage OA resources and to meet the discovery needs of UC Library Search users.

### **Phase 1: Months 1-4**

Collection development principles and scoping of cataloging and discovery

1. Develop principles for managing open access resources within the UC Libraries, including tools relating to the Central Discovery Index, locally cataloged OA resources, records for OA material cataloged in Alma, and other sources for OA metadata;
2. Define the theory and guidelines upon which consortial management of OA materials will be based, including when distinctions are to be made between OA resources where UC has explicitly made a financial commitment, or where UC acts as the publisher/curator of the collection; and
3. Propose a framework for ongoing consortial management of OA resources in the CDI, including recommendation for positioning activities within the UC Library Search governance structure.

### **Phase 2: Months 5-8**

Review and recommend workflows and management procedures for cataloging and discovery

1. Conduct a review of the [UC Libraries Policies and Procedures for Shared Cataloging, Linking, and Management](#);
2. Develop a systemwide standard of practice for how and when OA resources will be included in the CDI;
3. Recommend policy and procedure updates for JSC and/or SCLG; and
4. Recommend areas of harmonization for campus policies and practices.

## Responsibilities & Reporting Line

The Open Access Resource Management Task Force is charged by the Direction and Oversight Committee, and will report on all completed outcomes to DOC. There are numerous systemwide, campus and SILS Phase 4 stakeholders and this task force should consult with these groups and convey their findings and decisions to them in a timely and appropriate manner. In DOC's role, the following groups will also routinely be kept informed: ASAG, PSELG, SILS Working Group, and related SILS ongoing governance groups.

## Related Groups

- Shared Content Leadership Group (SCLG)
- Joint Steering Committee (JSC)
- CDL Shared Cataloging Program (SCP)
- SILS Phase 4 Functional Groups:
  - Resource Management
  - Discovery
  - Acquisitions/E-Resources

## Membership & Roles

Membership will be expertise-based and drawn from an appropriate cross-section of campus library staff and CDL; members should bring a range of skills and experience to the project team, including:

- Ability to envision a systemwide approach to the collective collection while remaining practical about operational impact;
- Experience administering or working with the evolving landscape of Open Access resources and collections; and
- Competencies in technical services, public services, and/or collection development.

## Membership

Name	Campus/CDL	Expertise
Tamara Pilko	UC Santa Cruz	Technical Services
Christopher Thomas	UC Irvine	Technical Services
Becky Culbertson	CDL	Technical Services
Erica Zhang	UCLA	Technical Services
Cynthia Johnson	UC Irvine	Public Services
Tiffany Moxham	UC Riverside	Collections / Transformative Agreements

Kerry Scott	UC Santa Cruz	Public Services/ Collections / Transformative Agreements
John Riemer	UCLA	Technical Services / Collections
Michael Walmsley	CDL	Shared Cataloging Program
Sarah Troy	DOC	DOC Liaison
Jo Anne Newyear Ramirez	UC Berkeley	Open Access/OERs, Technical Services, Discovery

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